



Northern Health and Social Care Trust

This is an official Northern Trust policy and should not be edited in any way			
Please note that the policy library on Staffnet will contain the most up to date version of Trust policies			
Reference No:	NHSCT/17/1077		
Title:	Code of Conduct for HSC Employees		
Key words within policy (max 10 words)	standards, accountability, conduct		
Policy Author(s):	Department of Health		
Responsible Director:	Elizabeth Brownlees, Director of Human Resources, Organisational Development and Corporate Communications		
Policy Type:	Trust Wide <input checked="" type="checkbox"/>	Division Specific <input type="checkbox"/>	Clinical and/or social care <input type="checkbox"/>
Policy Replacement:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
Directors/Divisions policy to be issued to:	Nursing <input checked="" type="checkbox"/> Medicine <input checked="" type="checkbox"/> Finance <input checked="" type="checkbox"/> Medicine and Emergency Medicine <input checked="" type="checkbox"/> Human Resources, Organisational Development and Corporate Communications <input checked="" type="checkbox"/> Community Care <input checked="" type="checkbox"/> Surgical and Clinical Services <input checked="" type="checkbox"/> Mental Health, Learning Disability and Community Wellbeing <input checked="" type="checkbox"/> Women, Children and Families <input checked="" type="checkbox"/> Strategic Development and Business Services <input checked="" type="checkbox"/>		
Target Audience, ie, specific staff groups	All Health and Social Care employees		
Approved by:	Tony Stevens, Chief Executive	10 January 2017	
Operational Date:	2 February 2017	Review Date	31 January 2020
Policy Library Categories: (Please tick as appropriate)	Clinical and Social Care - Hospital (incl Comm Hosp) <input type="checkbox"/> Clinical and Social Care - Children's Hospital & Community <input type="checkbox"/> Clinical and Social Care - Community <input type="checkbox"/> Clinical and Social Care - Mental Health and/or Learning Disability <input type="checkbox"/> Children's Nursing <input type="checkbox"/> Maternity & Gynae <input type="checkbox"/> Estates <input type="checkbox"/> Health & Safety <input type="checkbox"/> Human Resources <input type="checkbox"/> Palliative Care <input type="checkbox"/> Major Incident Plan <input type="checkbox"/> Infection Control <input type="checkbox"/> Information Management <input type="checkbox"/> Family Planning <input type="checkbox"/> Allied Health Professions <input type="checkbox"/> Finance <input type="checkbox"/> Trust Wide <input checked="" type="checkbox"/> Safeguarding Children <input type="checkbox"/>		
<u>No more than 3 categories to be selected</u>			
NHSCT Vision			
To deliver excellent integrated services in partnership with our community.			



Code of Conduct for HSC Employees
September 2016

Foreword

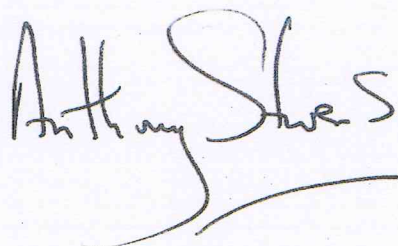
I have pleasure in introducing the HSC revised Code of Conduct 2016.

The Code provides a framework for core standards of conduct for all HSC employees, including managers. The purpose of the Code is to ensure that everyone is aware of these standards and knows how to address any potential breach of them.

This Code sets out the standards that apply to all employees and has been developed in consultation with our Trade Union colleagues. It is intended to complement and be read alongside existing professional codes and is consistent with the 7 principles of public life (Nolan Principles). It is the responsibility of employees to read the Code of Conduct and ensure awareness of the standards.

The code of conduct also reflects and supports our own set of core values: compassion, openness, respect, and excellence. These values underpin how we work and spell out indicative behaviors that we would expect from staff.

If there is anything you are unclear about you must seek advice from your line manager or the Workforce Governance Department, Human Resources. If you have raised a matter and you do not receive what you consider to be a proper response, then you should report the matter to me.

A handwritten signature in black ink that reads "Anthony Stevens". The signature is written in a cursive style with a long horizontal flourish at the bottom.

Dr Anthony Stevens
Chief Executive

HSC Code of Conduct for Employees

This Code of Conduct is about the values for which we, as HSC staff, stand. The Code sets out the core standards of conduct expected of all HSC Staff. It has been written to complement existing professional codes of practice.

Professional staff are expected to follow the code of conduct for their own professions as well as this code.

The code aims:

- To guide staff, managers and employers in the work that they do and the decisions and choices they have to make; and
- To reassure the public that these important decisions are being made against a background of professional standards and accountability.

Adherence to the Code is mandatory for all employees, regardless of their status and breaches of the Code will be regarded as serious.

HSC staff are highly principled and value-driven people who will welcome this Code and exemplify the expected behaviours. All staff within HSC are responsible for, and have a duty of care, to ensure their conduct does not fall below the standards detailed in this Code and that no act or omission, within the sphere of their role, harms the safety and wellbeing of patients/clients and service users and their families. The Code should make us all think exactly how we work, how we make the care and safety of patients our first concern and how we respect the public, patients, clients, service users relatives and carers. If, however, the conduct and behaviours of staff falls short of the public's expectations this reflects poorly on the HSC as seriously as failures by clinical and care staff.

Breaches of the Code must be investigated fairly and employers should adopt a proportionate approach. Just as the Code sets out how all staff should behave and their responsibilities, you also have rights. You have the right to be treated with respect, evaluated consistently and fairly, encouraged to maintain and improve your knowledge and skills and to be helped to balance your work and home lives properly.

This Code of Conduct applies to **all** HSC Staff, across all HSC Trusts and HSC Arms Length Bodies. This code incorporates the principles contained within the Code of Conduct for HPSS Managers 2003 and supersedes it.

This Code is also consistent with the 7 principles of public life, ('the Nolan Principles') which applies to everyone working as a public office-holder and therefore should govern the conduct of all health and social care staff.¹

¹ <https://www.gov.uk/government/publications/the-7-principles-of-public-life>

1. INTRODUCTION

This Code of Conduct (the Code) sets out the standards of conduct expected of all staff in the Northern Health and Social Care Trust. It presents the standards of conduct and behaviours required of all staff and informs employers, colleagues, patients/clients service users and the public about these.

The Code refers to “employees”, however, for the purposes of this document, this definition also applies to all workers (Agency & Bank), volunteers and work placements.

Adherence to the Code is an integral part of employees’ contractual responsibilities during their employment with the Organisation.

- The behaviour of employees should reflect the organisation’s vision and values.
- Employees must not use their privileged position to neglect, harm, abuse or exploit patients/clients/service users or their families.
- Employees should familiarise themselves with the contents of the Code and should act in accordance with the principles set out in it.

1.1 Overall principles and undertakings

As an HSC employee, I will observe the following principles:

- make the **care and safety of patients and clients** my first concern and act to protect them from risk;
- contribute to improving and protecting the health of the population as appropriate to my role;
- maintain **confidentiality**, respecting and protecting, at all times patients/clients, service users and their families’ right to confidentiality, privacy and dignity;
- **communicate openly and honestly** to promote the health and wellbeing of patients/clients, service users and their families;
- **respect** the public, patients, clients, relatives, carers, HSC employees and teams and partners in other agencies. I will also **show my commitment** to working constructively as a team member by working collaboratively with all my colleagues in the HSC and the wider community;
- **be accountable** and accept **responsibility** for my own work and be **honest and act with integrity**;
- sharing **responsibility for my own learning and development** in order to improve the quality of care to patients/ clients/service users and their families

Employers'/Managers' Responsibilities

I will also endeavour to ensure that HSC staff in my team are:

- valued as individuals, colleagues and are treated with dignity and respect;
- appropriately informed about the management of the HSC;
- given appropriate opportunities to take part in continuous design, review and improvement of services;
- have their ideas and realistic ambitions taken seriously;
- given protection from harassment and bullying;
- provided with a safe working environment;
- helped to maintain and improve their knowledge and skills and developed to achieve their potential; and
- helped to achieve a reasonable balance between their working and personal lives.

These principles are described in more detail in [Section 2](#) below.

2. DESCRIPTION OF PRINCIPLES

2.1.1 Care & safety of patients & clients

I will:

- use the resources available to me in an effective, efficient and timely manner having proper regard to the best interests of the public, patients and clients;
- be guided by the interests of patients and clients;
- ensure a safe working environment;
- act to protect patients and clients from risk by adhering to relevant legislation and putting into practice appropriate policies and procedures;
- work collaboratively with colleagues across all disciplines to support person-centred care/services.

2.2 Confidentiality

I will:

- respect patient, client and staff confidentiality;
- not, except in the performance my job role and duties with the organisation, divulge to any person in any manner whatsoever, any confidential information covering the business or transactions of the organisation and its activities and/or its patients, clients or employees², unless ordered to do so by a Court or Tribunal. I will make every effort to prevent disclosure of such information. I will not use social media to share information about the environment I work in or the patients/clients/service users for whom I care.
- comply with all relevant organisation policies in relation to the use of information associated with my role and in particular with reference to the personal use of social networking sites³.
- comply with my obligations under the Data Protection Act (1998) and Freedom of Information Act (2000) through the organisation's information governance training. ⁴

2.3 Respect for others & working as a team

I will:

- respect and treat with dignity and fairness, the public, patients and clients, relatives, carers, HSC employees and partners in other agencies. I will not unlawfully discriminate against, victimise or harass against anyone on grounds of their gender, marital/civil partnership status, sexual orientation, community background, political opinion, religious belief, race, age disability, family status, whether or not they have dependents or are persons who have undergone, are undergoing or intend to undergo gender reassignment.

² Link to Protection of Patient & Client Information Policies

³ Link to Social Media Policy

⁴ Link to Data Protection Policy

- seek to ensure that anyone with a genuine concern is treated reasonably and fairly
- show my commitment to working as part of the department and organisation team

I will show my commitment to team working by working constructively with all my colleagues across the HSC and in the wider community, contributing to an environment in which:

- teams of staff are able to work together in the best interests of service users; and
- leadership is encouraged and developed at all levels and in all staff groups

2.4 Accountability, Responsibility, Honesty & Integrity

I will:

- establish and maintain clear and appropriate boundaries at all times in my relationships with patients/clients/service users and their families, and with colleagues, always behaving in a professional manner;
- accept responsibility for my own work and ensure that I am responsible for answering any questions and complaints in an open, honest way.
- be honest and act with integrity and probity at all times and ensure that HSC resources are protected from fraud, bribery and other forms of corruption⁵
- not use my official position to receive, agree to accept or attempt to obtain any financial or other advantage for doing, or not doing, anything or showing favour, or disfavour, to any person⁶.
- not receive benefits of any kind from a third party which might reasonably be seen to compromise my personal judgment and integrity.
- not deceive or mislead my employer, or any other organisation it deals with, or the public during the course of my employment with the HSC.
- abide by the rules adopted by my employer in relation to private interest and possible conflict with public duty, the disclosure of official information and in any political activities.
- not misuse my official position or information acquired in my official duties to further my private interests or those of others.

I will ensure proper management of the performance of my team and I will seek to ensure that those I manage accept that they are responsible for their actions to both;

- the public and their representatives; and
- service users, relatives and carers by answering questions and complaints in an open and honest manner.

⁵ Link Theft Fraud & corruption Policy

⁶ Link Standards of Business Conduct

I will also:

- accept responsibility for the management of the performance of the people I manage;
- seek to ensure that judgements about colleagues (including appraisals and references) are consistent, fair and unbiased and include all information which affects a colleague's performance, eligibility for advancement/reward and conduct; and
- play my part in making sure that no-one is unlawfully discriminated against and that policies on equality, diversity and human rights are promoted and adhered to at all times.

2.6. Responsibility for my own learning and development

I will seek to:

- Participate in training and personal development required by my employer and take responsibility for the achievement of the competence essential for your role, in line with KSF and organisational requirements;
- Keep up to date with best practice and maintain an up-to-date record of your own learning and development;
- Share my learning as appropriate and contribute to the learning and development of others.

3 Employee concerns about improper conduct

If you believe you are being required to act in a way which is:

- illegal, improper, or unethical;
- in breach of a professional code;
- may involve possible maladministration, fraud or misuse of public funds; or
- otherwise inconsistent with this Code

You should either raise the matter through your line management or alternatively, approach in confidence, a nominated officer under your organisation's Public Interest Disclosure (Whistleblowing) Policy⁷.

You should make yourself aware of the provisions of your employer's Whistleblowing Policy

The Chief Executive, who is the designated accounting officer for the organisation, has overall responsibility for propriety in a broad sense, including conduct and discipline.

I will:

- act to protect service users from harm, injury or loss by identifying and reducing risk by putting into practice the appropriate support, supervisory and disciplinary procedures for staff;
- seek to ensure that anyone with a concern is taken seriously and treated fairly in accordance with relevant procedures; and

⁷ LINK

- contribute to the creation of an open and learning organisation where concerns about individuals perceived to be breaking the Code of Conduct can be raised without fear.

4 AFTER LEAVING EMPLOYMENT

You should continue to observe your duty of confidentiality after you have left the employment with the HSC.

our vision

To deliver excellent integrated services
in partnership with our community

our values


COMPASSION


OPENNESS

RESPECT

EXCELLENCE

www.northerntrust.hscni.net

 Northern Health and Social Care Trust

 @NHSCTrust

If you would like to give feedback on any of our
services please contact:

Email: user.feedback@northerntrust.hscni.net

Telephone: 028 9442 4655