

Arts Council of Northern Ireland Safeguarding Policy and Procedures For Children, Young People and Adults at Risk

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Arts Council of Northern Ireland Safeguarding Policy and Procedures

1.1 Policy statement

The Arts Council of Northern Ireland is the lead development agency for the arts in Northern Ireland. We are the main support for artists and arts organisations offering a broad range of funding opportunities through our Exchequer and National Lottery funds.

The Arts Council is committed to providing a high level of service to all our clients whether individual artists or arts organisations.

As the lead development organisation for the arts in Northern Ireland, we believe that:

- The welfare of the child, young person and adult at risk is paramount.
- All children, young person and adult at risk whatever their age, culture, disability, gender, language, racial origin, religious beliefs and / or sexual identity have the right to protection from abuse.
- All suspicions and allegations of abuse should be taken seriously and responded to swiftly and in an informed manner.
- Staff and volunteers should be clear on how to respond appropriately.

The Arts Council will take every reasonable step to ensure that children, young people and adults at risk are protected where:

- Our own staff are directly involved in the delivery of an arts project
- Our staff recruit or broker relationships between an artist or arts facilitator and a third party
- We fund an arts organisation for a programme of work, or for core work
- We seek to promote a positive ethos of collaborative work between the professional arts sector and children, young people and/or adults at risk.

If a complaint or criminal proceeding occurs between an artist and arts facilitator and a third party, because of the Arts Council's direct or indirect involvement, the Arts Council will treat the situation with the utmost urgency. All concerns, disclosures and allegations are dealt with by the Arts Council's Designated or Deputy Designated Officer for Safeguarding.

The Arts Council is Designated / Deputy Designated Officer is responsible for acting as a source of advice on safeguarding matters, for co-ordinating action within the Arts Council and for liaising with Health and Social Services Trusts and other agencies about suspected or actual cases of child abuse. The Arts Council of Northern Ireland with the support of The Volunteer Development Agency has developed overarching Safeguarding guidelines for use by organisations within the arts sector in Northern Ireland. This resource document outlines legislative and good practice guidelines for working with children, young people and adults at risk.

Organisations should take these guidelines and adapt them to suit their specific activities and organizational structure.

It is a requirement of the Arts Council of Northern Ireland funding conditions that an organisation intending to work with children ,young people and adults at risk commits to a Safeguarding Policy.

Arts Council Designated Officers

Everyone in the Arts Council should be aware of the Designated Officer for Safeguarding and their Deputy within the organisation who should always be informed of any concerns about a child, young person or adult at risk being abused. The following information should be referred to when making a report.

- 1. Designated officer/ Adult Safeguarding Champion : Gavin O'Connor
- 2. Deputy Designated Officer: Witney Williamson

Job/Role/Title:

- 1. Arts Development Officer-Designated Safeguarding Officer / Adult Safeguarding Champion.
- 2. Human Resources Officer- Deputy Designated Safeguarding Officer

Address:

Arts Council of Northern Ireland, Linen Hill House, 23 Linenhall Street, Lisburn, BT281FJ.

Telephone Number:

- 1. 02892 623539
- 2. 02892 623524

Email:

- 1. goconnor@artscouncil-ni.org
- 2. wwilliamson@artscouncil-ni.org

These Officers are the first people staff, volunteers or members of the public should approach with concerns, the Officer will be responsible for appropriately recording an allegation or reported incident .They will be responsible for contacting the statutory agency such as the Local Health and Social Care Trust or the PSNI if necessary. They will also have a responsibility to ensure that the policy and procedures are implemented.

Their role is to:

- Provide information and advice on training requirements in relation to safeguarding.
- Ensure that safeguarding policy and procedures are being followed.
- Contact local statutory organisations (Social Services Gateway Team, the PSNI and/or NSPCC) about concerns and make a formal referral, if applicable. The general procedure is that the Deputy/Designated Officer will contact a statutory organisation by phone and follow this up in writing.

Appointing a Designated Officer/Adult Safeguarding Champion and a Deputy Designated Officer means that if one Designated Officer is on holiday/off sick or if an allegation is made against them, this can be reported to the other Designated Officer.

Aims

The purpose of the following Safeguarding procedure is to protect children, young people and adults at risk by ensuring that everyone who works for The Arts Council of Northern Ireland has clear guidance on the necessary action required, where abuse or neglect of a child, young person or adult at risk is suspected.

We will endeavour to safeguard children, young people and adults at risk by:

- Adopting Safeguarding guidelines through a code of behaviour for staff, artists and volunteers.
- Sharing information about safeguarding children, young people and adults at risk and good practice with children, young people, adults at risk, parents, staff, artists, volunteers and relevant agencies/community groups.
- Reporting concerns with statutory agencies which need to know, and involving parents/carers and children, young people and adults at risk appropriately.
- Following carefully the procedures for safe recruitment, selection and vetting of staff, artists and volunteers.
- Providing effective management for staff, artists and volunteers through supervision, support and training.
- Recognising that younger volunteers may themselves be vulnerable and therefore consideration should be given to their safeguarding needs.
- Ensuring that safety procedures are adhered to.

We wish to ensure that everyone participates in an enjoyable and safe environment in which they can have fun and feel valued.

The principles and philosophy which underpin our work with children are those set out in the UN Convention on the Right of the Child and enshrined in the Children (Northern Ireland) Order 1995, effective from November 1996. In particular, the principle we support is that every child has the fundamental right to be safe from harm and with proper care given to their physical, emotional and spiritual well-being by those looking after them.

While no specific legislation exists for the protection of vulnerable adults, they are protected under general legislation. E.g. the Offences against the Person Act, Theft Act etc.

A proper balance must be struck between protecting children and vulnerable adults and respecting the rights and needs of others, but where there is conflict, the vulnerable person's interests must always come first.

Children and Adults at Risk have a right to be heard, to be listened to and taken

seriously.

In any incident the child's or vulnerable person's welfare must always be paramount, this overrides all other considerations.

Recognition

We use the following definitions for **Child Abuse**:

Neglect

- Persistent or significant failure to meet a child's physical, emotional and/or psychological needs, likely to cause significant harm.
- It may involve a parent or carer failing to provide adequate food, shelter and clothing, failing to protect a child from physical harm or danger, failing to ensure access to medical care or treatment and lack of stimulation or supervision.
- It also may include non-organic failure to thrive.

Physical Abuse

- Deliberate physical injury to a child, or the willful or neglectful failure to prevent physical injury or suffering.
- This may include hitting, shaking, throwing, poisoning, burning or scalding, drowning or suffocation, confinement to a room or cot or inappropriate giving of drugs to control behaviour.

Sexual Abuse

- Sexual abuse involves forcing or enticing a child to take part in sexual activities.
 The activities may involve physical contact including penetrative or non-penetrative acts.
- Sexual abuse occurs when others use and exploit children sexually for their own gratification or gain or the gratification of others. Sexual abuse may involve physical contact, including assault by penetration (for example, rape, or oral sex) or non-penetrative acts such as masturbation, kissing, rubbing and touching outside clothing. It may include non-contact activities, such as involving children in the production of sexual images, forcing children to look at sexual images or watch sexual activities, encouraging children to behave in sexually inappropriate ways or grooming a child in preparation for abuse (including via e-technology).
- Sexual abuse is not solely perpetrated by adult males. Women can commit acts of sexual abuse, as can other children.
- They may include non-contact activities such as involving children in looking at or the production of pornographic material or watching sexual activities, or encouraging children to behave in sexually inappropriate way.

Emotional Abuse

- Emotional Abuse is the persistent emotional maltreatment of a child. It is also sometimes called psychological abuse and it can have severe and persistent adverse effects on a child's emotional development.
- Emotional abuse may involve deliberately telling a child that they are worthless, or unloved and inadequate.
- It may include not giving a child opportunities to express their views, deliberately silencing them, or 'making fun' of what they say or how they communicate.
- Emotional abuse may involve bullying including online bullying through social networks, online games or mobile phones by a child's peers.
- It may cause conveying to a child that he/she is worthless or unloved, inadequate or valued only insofar as they meet the needs of another person.
- It may involve a child to feel frightened or in danger or the exploitation or corruption of a child.
- Some level of emotional abuse is involved in all types of ill treatment, though it may occur alone.
- Domestic violence, adult mental health problems and parental substance misuse may expose a child to emotional harm. Domestic Abuse is defined as: 'threatening behaviour, violence or abuse, psychological, physical, verbal, sexual, financial or emotional, inflicted on one person by another where they are, or have been, intimate partners or family members, irrespective of age, gender or sexual orientation.'

Exploitation

Exploitation the intentional ill-treatment, manipulation or abuse of power and control over a child or young person; to take selfish or unfair advantage of a child or young person or situation, for personal gain. It may manifest itself in many forms such as child labour, slavery, servitude, and engagement in criminal activity, begging, benefit or other financial fraud or child trafficking. It extends to the recruitment, transportation, transfer, harbouring or receipt of children for the purpose of exploitation. Exploitation can be sexual in nature.

While not a form of abuse, we understand the need to be vigilant in the Arts Council with regards to the potential risk to children, young people and adults at risk of self-harm and suicide.

We are also vigilant to the potential for Female Genital Mutilation (FGM) and Forced Marriage.

We use the following definitions for Abuse of Adults at Risk:

Abuse is a 'single or repeated act, or lack of appropriate action, occurring within any relationship where there is an expectation of trust, which causes harm or distress to another individual or violates their human or civil rights'.

Abuse is the misuse of power and control that one person has over another. It can involve direct and indirect contact and can include online abuse.

"Adult Safeguarding: Prevention and Protection in Partnership" (DOH and DOJ, July 2015) outlines the main forms of abuse:

Physical abuse is the use of physical force or mistreatment of one person by another which may or may not result in actual physical injury. This may include hitting, pushing, rough handling, exposure to heat or cold, force feeding, improper administration of medication, denial of treatment, misuse or illegal use of restraint and deprivation of liberty. Female Genital Mutilation (FGM) is considered a form of physical AND sexual abuse.

Sexual violence and abuse is 'any behaviour (physical, psychological, verbal, virtual/online) perceived to be of a sexual nature which is controlling, coercive, exploitative, harmful, or unwanted that is inflicted on anyone (irrespective of age, ethnicity, religion, gender, gender identity, sexual orientation or any form of disability). Sexual violence and abuse can take many forms and may include non-contact sexual activities, such as indecent exposure, stalking, grooming, being made to look at or be involved in the production of sexually abusive material, or being made to watch sexual activities. It may involve physical contact, including but not limited to non-consensual penetrative sexual activities or non penetrative sexual activities, such as intentional touching (known as groping). Sexual violence can be found across all sections of society, irrelevant of gender, age, ability, religion, race, ethnicity, personal circumstances, financial background or sexual orientation.

Psychological/emotional abuse is behaviour that is psychologically harmful or inflicts mental distress by threat, humiliation, or other verbal/non-verbal conduct. This may include threats, humiliation or ridicule, provoking fear of violence, shouting, yelling and swearing, blaming, controlling, intimidation and coercion.

Financial abuse is actual or attempted theft, fraud or burglary. It is the misappropriation or misuse of money, property, benefits, material goods or other asset transactions which the person did not or could not consent to, or which were invalidated by intimidation, coercion or deception. This may include exploitation, embezzlement, withholding pension or benefits or pressure exerted around wills, property or inheritance.

Institutional abuse is the mistreatment or neglect of an adult by a regime or individuals in settings which adults who may be at risk reside in or use. This can happen in any organisation, within and outside Health and Social Care (HSC) provision. Institutional abuse may occur when the routines, systems and regimes result in poor standards of

care, poor practice and behaviours, inflexible regimes and rigid routines which violate the dignity and human rights of the adults and place them at risk of harm. Institutional abuse may occur within a culture that denies, restricts or curtails privacy, dignity, choice and independence. It involves the collective failure of a service provider or an organisation to provide safe and appropriate services, and includes a failure to ensure that the necessary preventative and/or protective measures are in place.

Neglect occurs when a person deliberately withholds, or fails to provide, appropriate and adequate care and support which is required by another adult. It may be through a lack of knowledge or awareness, or through a failure to take reasonable action given the information and facts available to them at the time. It may include physical neglect to the extent that health or well-being is impaired, administering too much or too little medication, failure to provide access to appropriate health or social care, withholding the necessities of life, such as adequate nutrition, heating or clothing, or failure to intervene in situations that are dangerous to the person concerned or to others particularly where the person lacks the capacity to assess risk.

"Adult Safeguarding: Prevention and Protection in Partnership" does not include self harm or self-neglect within the definition of an 'adult in need of protection'.

Each case will require a professional HSC assessment to determine the appropriate response and consider if any underlying factors require a protection response.

For example self-harm may be the manifestation of harm which has been perpetrated by a third party and which the adult feels unable to disclose.

Exploitation is the deliberate maltreatment, manipulation or abuse of power and control over another person; to take advantage of another person or situation usually, but not always, for personal gain from using them as a commodity. It may manifest itself in many forms including slavery, servitude, forced or compulsory labour, domestic violence and abuse, sexual violence and abuse, or human trafficking.

This list of types of harmful conduct is not exhaustive, nor listed here in any order of priority. There are other indicators which should not be ignored. It is also possible that if a person is being harmed in one way, s/he may very well be experiencing harm in other ways.

Related Definitions

There are related definitions which interface with Adult Safeguarding, each of which have their own associated adult protection processes in place.

Domestic violence and abuse is 'threatening, controlling, coercive behaviour, violence or abuse (psychological, virtual, physical, verbal, sexual, financial or emotional) inflicted on anyone (irrespective of age, ethnicity, religion, gender, gender identity, sexual orientation or any form of disability) by a current or former intimate partner or family member'. Domestic violence and abuse is essentially a pattern of behaviour which is characterised

by the exercise of control and the misuse of power by one person over another. It is usually frequent and persistent. It can include violence by a son, daughter, mother, father, husband, wife, life partner or any other person who has a close relationship with the victim. It occurs right across society, regardless of age, gender, race, ethnic or religious group, sexual orientation, wealth, disability or geography. The response to any adult facing this situation will usually require a referral to specialist services such as Women's Aid or the Men's Advisory Project. In high risk cases a referral will also be made to the Multi-Agency Risk Assessment (MARAC) process. Specialist services will then decide if the case needs to be referred to a HSC Trust for action under the safeguarding procedures.

If in doubt anyone with a concern can contact the Domestic and Sexual Violence helpline (0808 802 1414) to receive advice and guidance about how best to proceed.

Human trafficking/Modern Slavery involves the acquisition and movement of people by improper means, such as force, threat, or deception, for the purposes of exploiting them. It can take many forms, such as domestic servitude, forced criminality, forced labour, sexual exploitation and organ harvesting. Victims of human trafficking/modern slavery can come from all walks of life; they can be male or female, children or adults, and they may come from migrant or indigenous communities.

Hate crime is any incident which constitutes a criminal offence perceived by the victim or any other person as being motivated by prejudice, discrimination or hate towards a person's actual or perceived race, religious belief, sexual orientation, disability, political opinion or gender identity. The response to adults at risk experiencing hate crime will usually be to report the incident to the Police Service.

Where might abuse occur?

Abuse can happen anywhere:

- In someone's own home;
- At a carer's home;
- Within day care, residential care, nursing care or other institutional settings;
- At work or in educational settings;
- In rented accommodation or commercial premises; or
- In public places.

Who can abuse?

Staff and volunteers should be aware that abusers come from all sections of society, all professions and all races and can be male or female.

Physical abuse

Including - hitting, slapping, pushing, burning, giving a person medicine that may harm them, restraining or disciplining a person in an inappropriate way.

Possible signs - fractures, bruising, burns, pain, marks, not wanting to be touched.

Psychological abuse

Policy on Safeguarding Children, Young People and Adults at Risk.

Including - emotional abuse, verbal abuse, humiliation, bullying and the use of threats.

Possible signs - being withdrawn, too eager to do everything they are asked, showing compulsive behaviour, not being able to do things they used to, not being able to concentrate or focus.

Financial or material abuse

Including - misusing or stealing the person's property, possessions or benefits, cheating them, using them for financial gain, putting pressure on them about wills, property, inheritance or financial transactions.

Possible signs - having unusual difficulty with finances, not having enough money, being too protective of money and things they own, not paying bills, not having normal home comforts.

Sexual abuse

Including - direct or indirect sexual activity where the adult cannot or does not consent to it.

Possible signs - physical symptoms including genital itching or soreness or having a sexually transmitted disease, using bad language, not wanting to be touched, behaving in a sexually inappropriate way, changes in appearance.

Neglect or acts of omission

Including - withdrawing or not giving the help that an adult needs, so causing them to suffer.

Possible signs - having pain or discomfort, being very hungry, thirsty or untidy, failing health, changes in behaviour.

Discriminatory abuse

Including - the abuse of a person because of their ethnic origin, religion, language, age, sexuality, gender or disability.

Possible signs - the person not receiving the care services they require, their carer being overly critical or making insulting remarks about the person, the person being made to dress differently from how they wish.

Institutional abuse

We are aware of the diversity within our society and will seek to support all people regardless of their ethnicity, religious or community background, sexual orientation, gender identity or disability.

The Arts Council of Northern Ireland recognise our five main responsibilities in the area of Safeguarding. These are:

- Prevention;
- Recognition;
- Response;

- Referral;
- Record keeping and confidentiality

Responsibilities of all staff

All staff and volunteers have a responsibility to initially inform and report to the Designated Safeguarding Officer(s) any concerns they have in connection with Safeguarding issues.

All staff and volunteers should refrain from any action which could be construed as abuse.

Responsibilities of the designated officer (and deputy)

The Designated Safeguarding Officer / Adult Safeguarding Champion and Deputy Safeguarding Officer will receive training and assist in the annual review of the Safeguarding Policy. The policy should be disseminated to all staff and volunteers and measures put in place to ensure it is adhered to. Appropriate training and support will be given to staff and volunteers and the Designated Officers will act as a point of contact for staff. The Designated Safeguarding Officer in conjunction with the Deputy Safeguarding Officer/ Adult Safeguarding Champion will be responsible for making any referrals to Social Services.

- Establish contact with the senior member of Social Services staff responsible for safeguarding children in the organisation's catchment area.
- Provide information and advice on safeguarding within the organization.
- Ensure that the organisation's Safeguarding policy and procedures are followed and particularly to inform Social Services within the appropriate Trust of relevant concerns about individual children, young people and adults at risk.
- Ensure that appropriate information is available at the time of referral and that the referral is confirmed in writing, under confidential cover.
- Liaise with Social Services and other agencies as appropriate.
- Keep relevant people in the organisation, particularly the CEO of the organisation, informed about any action taken and any further action required.
- Ensure that an individual case record is maintained of the action taken by the organisation, the liaison with other agencies and the outcome.
- Advise the organisation of safeguarding needs

The Designated Safeguarding Officer / Adult Safeguarding Champion is responsible for acting as a source of advice on safeguarding , for co—coordinating action within the organisation and for liaising with Health & Social Services Trusts and other agencies about suspected or actual cases of child abuse.

The Designated Safeguarding Officer / Adult Safeguarding Champion and Deputy Safeguarding Officer will be responsible for making any referrals to Social Services.

Whistleblowing

Whistleblowing occurs when a member of staff or volunteer raises a concern about misconduct, illegal or underhand practices by individuals and/or an organisation, where such practices have or could cause harm or risk of harm. This will include situations where a staff member or volunteer's concerns are not acted upon by the Designated Officer/Adult Safeguarding Champion, appointed person, or Head of the organisation.

See Appendix 7 page 35 or ACNI Public Server Staff Matters / Staff Handbook.

Procedures – Recording and Reporting

Staff should immediately refer their concerns to the Designated Safeguarding Officer/ Adult Safeguarding Champion (**Gavin O'Connor**) or to the Deputy Designated Officer (Witney Williamson). A written record of the concern must be completed on the proforma (Appendix 2) and given to the DSO/ASC or DDSO at the earliest possible opportunity. This written note must be signed and dated and the DSO/ASC or DDSO will file the notes in a locked cupboard. The DSO/ASC and/or DDSO will decide how to progress the issue at this point. It is important that the person who reported the incident treats the matter in confidence.

If the allegation requires urgent or immediate action for the protection of a child, young person or adult at risk or to prevent the destruction of evidence of abuse, the DSO/ASC or DDSO may, in the interim, take whatever action they deem to be reasonable, necessary and required for such a purpose, without prior consultation with the other named bodies. This includes immediate referrals to Social Services and the Police Service. A meeting as described above will then be convened as soon as possible to discuss the allegation further.

In the unlikely event that the DSO/ASC or the DSO cannot be contacted and an immediate referral is required, any staff member can make a direct referral to Social Services. Designated Officers' and regional Gateway Team contact details (including Out of Hours numbers) are outlined at the end of this document. (Appendix 4)

Any Safeguarding Incidents reported to the Designated Safeguarding Officer and or Deputy Safeguarding Officer will be recorded in line with ACNI Safeguarding Policy / Procedures - PROCEDURES – RECORDING AND REPORTING (Appendix 2) and reported to the CEO.

Allegations about a member of the arts council's staff

The person receiving the allegation should report it to the DSO/ ASC or DDSOs as soon as possible. An urgent meeting will then be convened to deal with the allegation. This meeting will be attended by the DSO/ASC and DDSOs and the Director of Operations.

The appropriate action for dealing with concerns will be implemented, with the DSO/ASC/DDSO having responsibility to report the matter to Social Services.

If the allegation requires urgent or immediate action for the protection of a child, young person or adult at risk or to prevent the destruction of evidence of abuse, the DSO/ASC or DDSO may, in the interim, take whatever action they deem to be reasonable, necessary and required for such a purpose, without prior consultation with the other named bodies. This includes immediate referrals to Social Services and the Police Service. A meeting as described above will then be convened as soon as possible to discuss the allegation further.

Confidentiality

Records will be kept by the DSO/ASC in a locked cupboard. These records are confidential and will only be accessed by the DSO/ASC and the DDSOs. It should be noted that not all information given to members of staff about possible child abuse can always be held in confidence. In the best interest of the child, staff may need to share certain information with other professionals. However, only those who need to know will be told.

Sharing Information about Concerns and Suspicions

Even for those experienced in working with children, young people and adults at risk it is not always easy to recognise a situation where abuse may occur or has taken place. It is important to remember that the responsibility for investigating and dealing with child abuse lies with experts in Social Services, the Police Service of Northern Ireland and the NSPCC who all have legal powers and responsibilities.

All concerns and allegations of abuse will be taken seriously by the Arts Council of Northern Ireland and responded to appropriately.

It is important to remember that one person is not in a position to evaluate the situation fully because it is unlikely s/he will know everything there is to know about the child, young person or adult at risk. The information s/he has may be only one piece of the jigsaw which, when added together with other pieces, may or may not show a picture of an individual who is suffering abuse.

When there are concerns, many people feel anxious about reporting them, but concerns cannot be dismissed outright – the sharing of information is one of the most important ways to prevent abuse.

What might constitute a concern?

A concern relates to the possibility of a child, young person or adult at risk <u>suffering</u> from harm. Signs and indicators of abuse include:

Physical - Bruising / Nervous Behaviour / Bite or Burn marks/ Self-Harm / Flinching / Sudden behaviour changes / Hinting at Secrets / Fear of specific Individual.

Emotional – Aggressive / Nervous Behaviour / Lack of Confidence / Fear of making mistakes / Flinching / Self Harm / Sudden behaviour changes / Lack of friends / Fear of specific individual.

Sexual - Sexualised language / Nervous Behaviour / Lack of Confidence / Fear of making mistakes / Flinching / Self Harm / Sudden behaviour changes / Lack of friends / Fear of specific individual / Hinting at secrets

Neglect - Nervous behaviour / Lack of Confidence / Stealing Food / Sudden Behaviour Changes / Frequent Absences / Lack of Friends / Fear of a Specific Individual

It is the responsibility of all staff, volunteers and anyone involved in Arts Council activity to record and report any concerns as soon as they arise.

What should I do if I have concerns?

In the event of alleged or actual abuse, or concerns about the general well being of a child or young person or adult at risk "The Arts Council of Northern Ireland' Safeguarding Procedures should be followed:

- Record what has been said immediately or as soon as possible;
- Remain sensitive and calm;
- Reassure the child, young person, adult at risk that they
 - o are safe
 - o were right to tell
 - o are not to blame
 - are being taken seriously;
- Let the individual talk it is very important that you don't interview the individual or ask intrusive questions. That is a matter for the specially trained police and social services staff;
- Listen and hear, give the person time to say what they want;
- Ensure a positive experience;
- Explain that you must report, but will maintain confidentiality;
- Tell the individual what will happen next, in a supportive manner;
- Involve and formally report to appropriate individuals immediately;
- Stay calm; and
- Reassure them that they have done the right thing in telling and that it will be dealt with appropriately.

Never

- Question unless for clarification;
- Agree to keep secrets;
- Make promises you cannot keep;
- Rush into actions that may be inappropriate;
- Make/pass a judgment on the person disclosing or on the alleged abuser;
- Take sole responsibility, consult the designated officer so you can begin to protect the individual and gain support for yourself;

Any discussions or actions must be recorded within 24 hours.

APPENDIX 1: Staff Code of Conduct STAFF CODE OF CONDUCT

At The Arts Council we want all children, young people and adults at risk and staff to feel happy, safe and secure so that they can benefit fully from their time and be enabled to contribute wholeheartedly to the "arts" experience that we resource and support.

We aim, at all times, to behave appropriately and warmly towards each other and to support one another personally and professionally.

As staff members with the Arts Council, we are mindful that our behaviour towards children, young people and adults at risk should always be above reproach and we acknowledge the need to exercise prudence in our dealings with the children, young people and adults at risk we have contact with.

We subscribe to the following good practice in this area:

- Except for the exceptional circumstances of a 'disclosure' where the child, young person, adult at risk will not speak to more than one person, we should never be alone with a child, young person, adult at risk. It is not appropriate, for example, to accompany a child alone to the toilet. If the need arises to speak to a child alone i.e. Disclosure, we let another member of staff know that the meeting is happening and where it will be taking place. The venue should, if at all possible, have a window and, if this is not possible, a door should be left ajar and another adult should have a view of the meeting.
- Unnecessary physical contact with children, young people, adult at risk must be
 avoided. Contact should only take place where absolutely necessary, for
 example, administering first aid, however where a child indicates that he/ she is
 uncomfortable with such contact, it should never take place. Additionally, it is
 prudent to avoid any physical contact which might be open to misinterpretation
 by the child or by others.
- Where physical contact is required to maintain the safety of the child, young person, adult at risk or others around him/her, that safety must take precedence over all other considerations.
- If it is necessary to administer first-aid this should normally be done with another adult present. The welfare of the child, young person, adult at risk is, however, paramount, and intervention in serious cases should **never** be delayed because there is no other adult present.
- Members of staff must not allow children, young person, adult at risk to have access to their personal mobile phone numbers or to their personal email addresses.

- If contact via mobile phone is necessary a mobile phone (or a SIM card) provided by the organisation should be used or staff members should withhold their number before allowing the phone to be used.
- Social Networking Sites present particular difficulties. No member of our staff should communicate with children via social networking sites.

APPENDIX 2: Concern for Vulnerable Person Report Form

Confidential When Completed

Work Location:
Name of Vulnerable Person:
Age / Date of Birth:
Gender: M F
Other
Names of Carers (If Known)
Home Address (If Known)
Please complete those sections that are relevant
1. Disclosure by Vulnerable Person
When was the disclosure made? (Dates & Times)
To whom did the Vulnerable Person make the disclosure to?
What did the Vulnerable Person actually say?
2. Own Observations
Describe any signs or indicators of abuse observed by you (with times and

Has the Vulnerable Person alleged that any particular person is the abuser? (if so, please record details and relationship, if any, to the vulnerable adult)
, , , , , , , , , , , , , , , , , , , ,
3. Concerns expressed by another person about a vulnerable Person
Record the concerns that were passed to you (with dates and times) and if possible ask the person who expressed the concerns to confirm that the details as written are correct
4. Dataile of any immediate action taken a cofinct aid ata
4. Details of any immediate action taken, e.g. first aid etc
5. Has the Vulnerable Person expressed any reservations about you talking to the Designated Safeguarding Officer about the matter?
6. Does the Vulnerable Person have any particular needs, e.g. Communication etc

Signatures
To be signed by the person reporting the concern
Name:
Job Title:
Signed:
Date:
Date received and actioned by DSO
Name:
Signed:
Date:
Date.
Action Taken

Appendix 3: Recruitment & Management Recruitment of Staff and Volunteers

The Arts Council recruitment procedures for staff include:

- 1. The production of a detailed job description and person specification setting out clear criteria for selection.
- 2. Advertising widely to ensure equality of opportunity for prospective applicants.
- 3. The submission of a written application form including a declaration of criminal record.
- 4. Shortlisting according to criteria set.
- 5. Interviewing to select the best candidate.
- 6. Candidates to bring documentary evidence of their academic and professional qualifications required for the post to the interview.
- 7. All appointments are subject to the receipt of two satisfactory written references; and
- 8. Obtain forms of identification i.e. birth certificate.
- 9. If the job requires the appointee to have substantial access to children or vulnerable adults an Enhanced Disclosure ACCESS NI check is carried out and the appointment will be subject to a satisfactory outcome of this.

Volunteers

The Arts Council recruitment process for volunteers

- 1. A written application form
- 2. The receipt of two references.
- 3. Interview procedure and placement consultation.
- 4. Discussion of tasks, role and skills and appropriate written information relating to these.
- 5. If the volunteer is to have substantial access to children or vulnerable adults an Enhanced Disclosure ACCESS NI check is carried out. The volunteer does not begin work with children or vulnerable adults until the outcome of the ACCESS NI check is known to be satisfactory.

Effective Management of Staff and Volunteers

The Arts Council is committed to ensuring that once recruited, all staff and volunteers should be well informed, trained, supervised and supported, so that they are less likely to become involved in actions which can lead to harm or could be misunderstood. With particular reference to training, the Arts Council is committed to recognising and reviewing the work of staff, artists and volunteers.

Induction

- 1. New staff, artists and volunteers are given clear instructions on tasks and the limits that apply to them.
- 2. They will be made familiar with the Arts Council Safeguarding Policy and Code of Behaviour.

Probationary/Trial Period

- 1. The development and suitability of staff is reviewed within six months of appointment.
- 2. The review of volunteer positions are ongoing through supervision and support.

Supervision and Support

- 1. Staff members are supervised under a line management system.
- 2. Supervision is regarded as a two way process whereby staff, artists and volunteers have access to support and opportunity to reflect on what has been achieved and plan future actions.
- 3. Supervision provides an opportunity for staff and volunteers to share concerns, anxieties or worries about their work or the environment. These meetings may be formal or informal and include opportunities for staff and volunteers to discuss issues of importance and identify training needs.
- 4. Staff members undergo an annual appraisal.

Training

- 1. Line managers will identify both individual/ organisational training needs of staff and volunteers. This includes training in policies as appropriate.
- 2. Staff and volunteers will be encouraged to undertake relevant identified training to support their development and enhance skills.

Appendix 4: Safeguarding Contact Details Designated Safeguarding Officer (DSO)/ Adult Safeguarding Champion (ASC)

Gavin O' Connor

Arts Council of Northern Ireland Linen Hill House 23 Linenhall Street Lisburn BT281FJ

goconnor@artscouncil-ni.org

Deputy Designated Safeguarding (DDSO) Officer Witney Williamson

Arts Council of Northern Ireland Linen Hill House 23 Linenhall Street Lisburn BT281FJ

wwilliamson@artscouncil-ni.org

In matters pertaining to Adults at Risk the DSO/ ASC will directly liaise with the Director of Operations who will convey required information to Council Members.

If Arts Council Designated Safeguarding Officers are Unavailable in an Emergency:

Northern Ireland Health and Social Care (HSC) Trusts Gateway Services for Children's Social Work Out of Hours Emergency Service (after 5pm/ weekends/ public & bank holidays):

02895 049999 - single, regional number came into effect 25/05/13

Belfast HSC Trust Telephone (for referral) 028 90507000

Areas: Greater Belfast area

Website www.belfasttrust.hscni.net

Further Contact Details (for ongoing professional liaison) Greater Belfast Gateway Team

110 Saintfield Road, Belfast BT8 6HD

South Eastern HSC Trust

Telephone (for referral): 03001000300

Areas: Lisburn, Dunmurry, Moira, Hillsborough, Bangor, Newtownards, Ards Peninsula, Comber,

Downpatrick, Newcastle and Ballynahinch

Website www.setrust.hscni.net

Further Contact Details (for ongoing professional liaison): Greater Lisburn Gateway Team

Stewartstown Road Health Centre, 212 Stewartstown Road, Dunmurry, Belfast BT17 OFG Tel:

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028 90602705/ Fax: 028 90629827

North Down Gateway Team

James Street, Newtownards, BT23 4EP Tel: 028 91818518/ Fax: 028 90564830

Down Gateway Team

Children's Services, 81 Market Street, Downpatrick, BT30 6LZ

Tel: 028 44613511/ Fax: 028 44615734

Northern HSC Trust

Telephone (for referral) 03001234333

Areas: Antrim, Carrickfergus, Newtownabbey, Larne, Ballymena, Cookstown, Magherafelt, Ballycastle, Ballymoney, Portrush and Coleraine

Website www.northerntrust.hscni.net

Further Contact Details (for ongoing professional liaison): Central Gateway Team

Unit 5A, Toome Business Park, Hillhead Road, Toomebridge, BT41 3SF

Tel: 028 7965 1020/ Fax: 028 7965 1036

South Eastern Gateway Team

The Beeches, 76 Avondale Drive, Ballyclare, BT39 9DB

Tel: 028 93340165/ Fax: 028 9334 2531

Northern Gateway Team

Coleraine Child Care Team, 7A Castlerock Road, Coleraine, BT51 3HP

Tel: 028 7032 5462/ Fax: 028 7035 7614

Southern HSC Trust

Telephone (for referral): 08007837745

Areas: Craigavon, Banbridge, Dromore, Lurgan, Portadown, Gilford, Armagh, Coalisland, Dungannon, Fivemiletown, Markethill, Moy, Tandragee, Ballygawley, Newry City, Bessbrook, Annalong,

Rathfriland, Warrenpoint, Crossmaglen, Kilkeel and Newtownhamilton

Website www.southerntrust.hscni.net

Further Contact Details (for ongoing professional liaison): Craigavon/Banbridge Gateway Team

Brownlow H&SS Centre, 1 Legahory Centre, Craigavon, BT65 5BE

Tel: 028 38343011/ Fax: 028 38324366

Newry/Mourne Gateway Team

Dromalane House, Dromalane Road, Newry, BT35 8AP

Tel: 028 30825000 Option 1/ Fax: 028 30825016

Armagh /Dungannon Gateway Team

E Floor, South Tyrone Hospital, Carland Road, Dungannon, BT71 4AU

Tel: 028 87713506/ Fax: 028 87713671

Central Gateway Team

Gosford Place, The Mall West, Armagh, BT61 9AR

Tel: 028 37415285/ Fax: 028 37522544

Western HSC Trust

Telephone (for referral): 028 71314090

Areas Derry, Limavady, Strabane, Omagh and Enniskillen

Website www.westerntrust.hscni.net

Further Contact Details (for ongoing professional liaison)

Derry Gateway Team

Whitehill, 106 Irish Street, Derry, BT47 2ND

Tel: 028 71314090/ Fax:028 7131409

Omagh Gateway Team

Tyrone and Fermanagh Hospital, 1 Donaghanie Road, Omagh, BT79 ONS

Tel: 028 66344103/ Fax: n/a

Enniskillen Gateway Team

2 Coleshill Road, Enniskillen BT747HG

Tel: 028 66344103/ Fax: n/a

Updated August 2014 - see Department for Health (opens in a new tab)

ACE (Advisory Centre for Education)	www.ace-ed.org.uk
Advice on bullying	Helpline 0808 800 5793
Anti-bullying Alliance	www.antibullyingalliance.org
Behaviour Management	www.parenting-ed.org
Bullying Good advice for children on bullying is outlined here - how to recognise it, and what to do if you are the victim or know of someone who is. For those unwilling to tell anybody, help is available on the site via email.	www.bullying.co.uk
Child Exploitation Online Protection	www.ceop.gov.uk
Child Protection in Sport Unit (CPSU) Newsletter email "subscribe" to cpsu@nspcc.org.uk	pstephenson@nspcc.org.uk www.thecpsu.org.uk 028 90351135
Childline Northern Ireland	www.childline.org.uk
Got a problem? Childline has helped hundreds of thousands of children in trouble or danger. If you feel you can't face ringing them, check out their website. There are fact sheets on many subjects including bullying.	Helpline 0800 11 11 1st Floor, Queens House , 14 Queen Street, Belfast BT1 6ED. Tel: 0870 336 2945
Children's Law Centre	www.childrenslawacentre.org
	Philips House, York St, Belfast, BT15 1AB
	CHALKY Helpline 0808 808 5678
Counselling for young people	www.contactyouth.org
Department Of Culture Arts and Leisure	www.dcalni.gov.uk
Domestic Violence	www.womensaid.org.uk
Drugs and alcohol	www.contactyouth.org
Health	www.kidsallergies.co.uk
Health and Social Care Trust Gateway Teams	

Belfast HSC Trust Gateway Team Northern	028 90507000
HSC Trust Gateway Team South-Eastern	0300 1234333
HSC Trust Gateway Team Southern HSC	0300 1000300
Trust Gateway Team Western HSC Trust	08007837745
Gateway Team	028 71314090
Internet Safety	www.iwf.org.uk
Kidscape (Bullying)	www.kidscape.org.u
Open Monday to Friday between 10am and 4pm.	<u>k</u> 020 7730 3300
National Children's Bureau	www.ncb.org.uk
NI Anti-Bullying Forum	www.niabf.org.uk
NI Commissioner for Children & Young People	www.niccy.org
NSPCC Child Protection Helpline	www.nspcc.org.uk
	Helplines
	Help for adults concerned about a child
	Call on 0808 800 5000
	Help for children and young people
	Call Childline on 0800 1111
	Helpline 0808 800 5000
Parentline Plus	www.parentlineplus.org.uk
Advice for parents on supporting a child who is being bullied.	Freephone 0808 800 2222
Parenting NI	
Parenting NI is a leading organisation	www.parentingni.org/
for parent support in NI. Offering a freephone helpline service, counselling and parenting programmes.	Freephone 0808 8010 722
Parents Centre	www.parentscentre.gov.uk
Special Education Needs	www.throughtheroof.org
Suicide and self-harm	www.pipsproject.com

	www.samaritans.org
There4Me	www.there4me.org.uk
NSPCC On line advice service for 11- 16 year olds	
Volunteer Now 028 90232020	For further information on developing Safeguarding policy and procedures.

Appendix 6: Code of Behaviour

Code of Behaviour for Arts Council Staff and Volunteers

The Arts Council have developed this code of behaviour for staff and volunteers to ensure the safety and welfare of children, young people and adults. It outlines acceptable and unacceptable behaviours which all staff and volunteers are expected to adhere to and they should be encouraged to highlight any issues or areas about which they are uncertain. Failure to comply with the code of behaviour will result in disciplinary action in respect of staff and sanctions in respect of volunteers.

The code of behaviour will be explained to all new staff members and volunteers and clients of the Arts Council. Arts Council staff and volunteers and client organisations must ensure it is applied consistently so that children know what to expect and to encourage acceptable behaviour.

3.1 Code of Behaviour for staff and volunteers and client organisations

Do

- Be supportive, approachable and reassuring.
- Show respect, be patient and listen to children, young people and adults at risk.
- Respect a child's, young person's, adult at risk right to personal privacy.
- Treat and value children, young people and adults at risk as individuals.
- Treat children, young people and adults at risk with consistency, fairness and equality.
- Set a good example by using appropriate attitude, demeanour and language at all times
- Wear clothing that is appropriate to the art form and artistic need.
- Offer support and empathy in a manner appropriate to age, stage and gender of a child ,young person and adults at risk - always in an open and transparent/guardian manner and within context e.g. if child distressed.
- Ensure that any time spent with children, young people and adults at risk takes place in as open a setting as possible.
- Provide clear instruction, clarify meaning and establish clear boundaries.
- Involve children young people and adults at risk in the decision making process as much as possible (e.g. activities).
- Focus on the child young people and adults at risk what they really want to do (i.e. it is more damaging to push a child who is not ready, for example, to take part in a performance).
- Encourage leadership, responsibility and participation in activities.
- Encourage children young people and adults at risk to do as much as possible for themselves and instill confidence - support them to make choices and to find acceptable ways to express their feelings. This will enable children to have the self-confidence and vocabulary to resist inappropriate approaches.
- If there is a need to change clothes, separate changing facilities should be used.

Some activities may involve discussion about sensitive topics, such as drugs, bullying or racism. Staff and volunteers should ensure that such activities are appropriate to the age and stage of the children young people and adults at risk in the group, within context and only allow this to take place with guidance and within a controlled environment (e.g. a role play activity).

*Some art forms will require a greater amount of physical contact than others.

Please see guidelines on Physical Contact (below) and Appendix 1 in the Best Practice Safeguarding Guidelines for Arts Sector Organisations.

Never

- Show favouritism towards a child, young people and adults at risk.
- Promise to keep secrets.
- Belittle or demean children young people and adults at risk or other workers.
- Shout at/argue with children young people and adults at risk or other workers in a humiliating / patronising / threatening manner.
- Embarrass, ignore or single out a child, young person and adult at risk
- Give unnecessary orders or orders which humiliate/instigate fear in others.
- Allow or engage in inappropriate touching (hugging, kissing, hitting, smacking etc.)
- Engage in sexually provocative/inappropriate games (including horseplay). Any
 contact activities must be part of the planned activities for the group and clearly
 supervised.
- Make sexually suggestive comments about or to a child, young person and adult at risk even in jest.
- Abuse privileges/own position.
- Give your personal contact details to children, young people and adult at risk;
 organisational details should be used instead.
- Text/telephone/e-mail children, young people and adults at risk on a one-to-one basis unless with parental / carer consent and for a specific purpose.
- Invite/accept invites from children, young people and adults at risk for social networking websites.
- Let allegations a child young people and adults at risk ,makes/a concern go unrecorded or leave issues unresolved.
- Teach or give instruction that is outside your remit.
- Be under the influence, or recovering from the effects of, alcohol/illegal substances.
- Leave children, young people and adults at risk unsupervised.
- Allow children, young people and adults at risk to use language that is deemed inappropriate or offensive to others within the group.
- Do things of a personal nature for children that they can do themselves.
- Take children, young people and adults at risk to your home (or their own home if a parent/guardian/carer is not there to meet them).

Staff and volunteers should be positive role models for children, young people and adults at risk in areas such as friendliness, care, respect and courtesy. Workers should praise and endorse desirable behaviour, such as kindness and willingness to share, and avoid

situations where a worker's attention is received only in return for undesirable behaviour.

Shouting at a child, young person and an adult at risk in a threatening, patronising or derogatory manner is unacceptable; however, appropriate shouting within the context of an activity (e.g. rehearsals or a theatre performance) may be required when children need to be alert and ready to respond. In many instances, workshops and technical rehearsals involve loud music, participants are excited and boisterous, the environment is often chaotic and the schedule may be running behind time. The importance of following direction and instruction given by the artistic team in these situations may make shouting appropriate and contextual as part of the learning process for participants.

Examples for a code of behaviour for children, young people and adult at risk

A code of behaviour (or a group agreement) should be developed for children, young people and adults at risk which outlines appropriate and inappropriate behaviours (including language), which should be valid for the duration of a group's involvement with an organisation (e.g. on an annual basis or for a one-off workshop). It is good practice to involve children, young people and adults at risk in developing a code of behaviour that is specific to their activity. The following key principles should apply:

Do	Do not
 Wear clothing that is appropriate to the activity. Include and encourage the participation of other group members. Listen to others. Ask questions if you are unsure about something. Respect other children and adults at all times. Use allocated toilet and changing facilities. Tell a leader straight away if you (or another child, young person adults) feel uncomfortable or frightened by the actions or words of another adult or child. Say 'no' if you feel uncomfortable at any time (e.g. during an activity). Follow safety guidelines and instructions for an activity. 	 Shout. Swear or use inappropriate language. Make fun of others. Exclude or make assumptions about others. Fight/push/pull/hit/nip/bite – even in fun. Tell jokes or stories that are rude or may offend or hurt others. Run in corridor areas/backstage. Keep bullying or inappropriate behaviour a secret. Promise to keep secrets. Use mobile telephones during activities (including breaks).

A specific code of behaviour should be drawn up with a group and its importance explained, with regards ensuring their safety while participating in an activity.

Depending on the age and stage of the group, the content should be discussed with members to ensure they understand and agree with the boundaries and understand the

sanctions for breaching it.

Organisations working with children and adults (aged 18 and over) should develop and implement procedures and provide guidance to protect <u>all</u> participants. Organisations should ensure that all participants are aware of, and adhere to, the code of behaviour. If supervisory responsibilities are to be given to a participant, they should be subject to an AccessNI check (opens in a new tab)

Participants aged 18 and over should be made aware of issues such as appropriate behaviour (including language and topics of conversation) and being a good role model to the younger participants within the group. Daytrips and residential activities should be carefully planned, with particular attention given to accommodation needs and supervision of group members.

Physical Contact

There will be instances when physical contact with a child, young person, adult at risk is unavoidable. Organisations should provide guidance about what is considered acceptable and unacceptable physical contact. Some examples include:

Appropriate

- Context dependent touch within a controlled and supervised environment (e.g. demonstrations for dance, music, drama, craft or singing e.g. a teacher demonstrating a breathing technique).
- Administration of first aid (with parental consent and only by a trained first-aider)
- Assistance to avoid embarrassment (e.g. offering to help a child to their feet if they fall).
- Support & guidance for performing arts such as drama, dance, circus and musical theatre (e.g. lifting/positioning/spotting).
- Offering comfort to a distressed child, young person, adult at risk in response to their respective needs
- Preventing injury (e.g. catching a falling child, appropriate restraint).
- Handshake and 'hi-fives'
- Group hug at the end of class/following a performance as a means of congratulations.
- Undertaking personal care (e.g. for very young or disabled children, young person, adult at risk) only with the full consent of parents/guardians and, if possible, by a worker of the same gender. In an emergency, personal care should only be undertaken with the full consent of a leader/supervisor andparents/guardians should be fully informed as soon as possible, if it was not possible to contact them beforehand.
- Fitting/checking/fixing microphones and sound equipment.
- Taking measurements/fittings for costume.
- Emergency costume repairs (e.g. while a child is wearing a costume during a performance).
- Fitting harnesses/checking safety equipment for 'flying'.
- Assisting children with planned costume changes in the wings/backstage.

Inappropriate

- Touch which is unnecessary/unexplained/out of context/out of normal environment/in response to adult's needs/without consent.
- Sustained and prolonged 'appropriate' touch.
- · Kissing and hugging.
- Touch in breast, groin or buttocks.
- Horseplay (adults child; between peers).
- Sexual gestures.
- Slapping/hitting (even in jest).
- Holding hands (unless in context e.g. assisting very young children with crossing the road).

In addition, physical touch should only occur:

- after the type of contact within an activity and reason for it has been explained to the child, young person, adult at risk.
- when the child's, young person, adult at risk consent has been gained.
- in an open and transparent/guardian manner, preferably in view of others.
- when it is appropriate to the age and developmental stage of the child, young person, adult at risk.
- in response to the particular needs of the child young person, adult at risk.
- when it is not in breach of appropriate physical contact guidelines.
- as lightly and sensitively as possible.
- care should also be taken to avoid standing behind the child, young person, adult at risk whenever possible.

More details on guidelines in respect of Safeguarding can be found in the Arts Council Safeguarding Best Practice Guidelines for Arts Organisations (available on the public server).



Arts Council of Northern Ireland

Whistleblowing Policy

June 2023

Version No.	Date	Update
5	30/06/2023	Approved by the Board 30/06/2023

1. Introduction

All of us at one time or another may have concerns about what is happening at work. However, when it is unlawful conduct, a possible fraud or a danger to the public or the environment, or other serious malpractice that affects others, it can be difficult to know what to do. (Further examples of malpractice, abuse or wrongdoing can be found in Annex A).

You may be worried about raising such a concern and may think it best to keep it to yourself, perhaps feeling it is none of your business or that it is only a suspicion. You may feel that raising the matter would be disloyal to colleagues, managers or to the Arts Council (ACNI). You may decide to say something but find that you have spoken to the wrong person or raised the issue in the wrong way and are not sure what to do next.

The purpose of these arrangements is to reassure you that it is safe and acceptable to speak up. They also enable you to raise your concern about such malpractice at an early stage and in the right way. Rather than wait for proof, we would prefer you to raise the matter when it is still a concern.

If something is troubling you, which you think we should know about or look into, please let us know. The type of concerns to be raised in this way are those about risk, wrongdoing or malpractice, illegality or danger in the workplace that have a public interest aspect to them.

We have implemented these whistleblowing arrangements for you to raise any concern where the interests of others or the organisation itself are at risk. If your concern is about possible fraud, you may also wish to refer to our Anti-Fraud and Bribery Policy and Fraud Response Plan which can be found in the Staff Handbook folder at Public / Staff Matters.

It is often members of staff who first become aware of areas of potential malpractice or wrongdoing by an organisation however this policy extends to trainees, agency workers, independent consultants, volunteers, contractors, suppliers, Board members and members of the public. We encourage anyone, internal or external to ACNI, who has a concern of this nature to raise it. The same principles will be applied in handling all whistleblowing cases.

ACNI encourages people to raise concerns because that way we can, if necessary, put things right; and the person raising the concern has performed an act of public service.

2. What is not a 'concern' under this policy?

Not all criticism of the work of government will be treated as a concern, and ACNI will need to determine whether an issue raised with them should be addressed as such.

Separate arrangements exist to deal with complaints about a ACNI's performance or standards of service. These are set out in each ACNI's Complaints Procedure.

A concern is also distinct from a grievance, which is when an employee raises issues about an employment-related matter. If an employee wishes to raise an issue about their employment or how they have been treated, they should use the ACNI Grievance Procedure or ACNI Dignity at Work Policy.

Concern, grievance or complaint?

Concern: Whistleblowing may be called speaking up or raising a concern. It is all about ensuring that if someone sees something wrong in the workplace, they are able to raise this within the organisation, or to a regulator, or more widely. Whistleblowing ultimately protects customers, staff, beneficiaries and the organisation itself by identifying harm before it's too late. Protect (formerly Public Concern at Work).

Grievance: Grievances are concerns, problems or complaints raised by a staff member with management. Anybody may at some time have problems with their working conditions or relationships with colleagues that they may wish to raise. Advisory, Conciliation and Arbitration Service (Acas).

Complaint: A complaint is when a customer brings a problem to the attention of the organisation and expects some redress, probably over and above simply supplying the original product or service that was the cause of the complaint. (The Institute of Customer Service).

3. Our Assurances to You

Our commitment

It is management's responsibility to:

- ensure a supportive organisational culture where raising concerns is welcomed.
- ensure that any concerns are investigated appropriately and by someone suitably skilled, experienced and independent (that is they should not have any connection to the allegations).

Your Safety

We are committed to making whistleblowing work. You do not need to have firm evidence of malpractice before raising a concern, however we do ask that when you raise a concern you do so in good faith and in the belief that the information and any allegation in it are substantially true. If you raise a genuine concern under these arrangements, you will not be at risk of losing your job or suffering any form of retribution as a result. In all cases employees who raise concerns will be protected and supported and appropriate swift sanctions will be taken against employees who victimise individuals raising such concerns. Workers who raise a concern about their employer are protected by law. Provided you are acting in good faith, it does not matter if you are mistaken. Of course, this assurance does not extend to someone who maliciously raises a matter they know to be untrue. The Arts Council will view very seriously any malicious allegations, which are made under this policy and may regard such allegations by any employee or Board Member of the Arts Council as subject to disciplinary proceedings.

Confidentiality

We will not tolerate the harassment or victimisation of anyone who raises a genuine concern and with these assurances, we hope you will raise your concern openly. However, we recognise that there may be circumstances when you would prefer to speak to someone in confidence first. If this is the case, please say so at the outset. Your confidentiality will be protected as far as possible. If you ask us not to disclose your identity, we will not do so without your consent unless required by

Arts Council of Northern Ireland

Policy on Safeguarding Children, Young People and Adults at Risk.

law. You should understand that there may be times when we are unable to resolve a concern without revealing your identity, for example where your personal evidence is essential. In such cases, we will discuss with you whether and how the matter can best proceed and, if possible, obtain your informed consent.

Anonymity

Individuals can choose to raise their concern anonymously, without giving anyone their name. Concerns raised anonymously will be considered in the same way as any other concern. Detailed investigations may, however, be more difficult, or even impossible if the person who originally raised the concern cannot be contacted for further information, and this must be made clear to those raising concerns. There is also a chance the documents or information provided might, unknown to ACNI, reveal the identity of the person raising a concern, making it more difficult to protect their anonymity.

Access to information and documentation relating to the concern will be restricted to protect the identity of all those involved, including those against whom allegations are made. All personal information must be handled in line with the UK-GDPR requirements.

4. How Members of the Public can Raise a Concern

ACNI wants it to be easy for members of the public to raise a concern. Members of the public should be able to raise a concern orally or in writing. For advice on how a member of the public may raise a concern, refer to section 6 of this policy.

ACNI must be ready to recognise when a concern has been raised by a member of the public through any other channel. This may be in writing or orally, and may come through any official, the Minister, or through an information line or general contact address.

Arrangements must be made to ensure that the handling of any personal data in connection to the raising of a concern is compliant with UK-GDPR.

5. How Members of Staff can Raise a Concern

5.1 How to Raise a Concern Internally

Step One

If you have a concern about malpractice, we hope you will feel able to raise it first with your line manager or with their immediate manager. This can be done verbally or in writing. Applications in writing should be made in line with Annex B. Line Managers responsibilities are outlined under Annex C.

Step Two

If, for whatever reason, you feel that raising it with your line manager or their immediate manager is not appropriate or it has not worked, please raise the matter with your Head of Department / Departmental Director, or with the Chief Executive of ACNI.

If you want to raise the matter in confidence, please say so at the outset so that appropriate arrangements can be made.

Step Three

If these channels have been followed and you believe that the original risk, danger, malpractice, wrongdoing or illegality remains unchanged, or you feel the matter is so serious that you cannot discuss it with any of the above, you should raise the matter with the Designated Officer. Designated Officers will be given special responsibility and training in dealing with concerns raised under this policy.

If you feel you cannot discuss the matter with the Designated Officer, or it relates to the Designated Officer, you can raise your concern directly with the Chairperson of the Board or the Audit and Risk Committee of ACNI.

You may also raise the matter directly with the Permanent Secretary of the Department that funds ACNI (see section 6: 'How to Raise a Concern Externally'). The Department has a dedicated inbox for individuals wishing to raise a concern with them: raising.concerns@communities-ni.gov.uk.

5.2 How ACNI Will Handle the Concern

Once you have told us of your concern, we will look into it to assess initially what action should be taken.

This may involve an informal review, an internal inquiry or a more formal investigation.

Examples of appropriate action may be:

- a) explaining the context of the issue, which may be enough to alleviate the concerns of the person raising them;
- b) minor concerns might be dealt with straightaway by line management;
- c) a review by internal audit as part of planned audit work might be sufficient to address the issue;
- d) there may be a role for external audit in addressing the concerns raised and either providing assurance or recommending changes to working practices;
- e) there may be a need for a formal investigation.

When you raise the concern you may be asked how you think the matter might best be resolved. If you do have any personal interest in the matter, we do ask that you tell us at the outset.

If the concern falls more properly within the Grievance Policy, Dignity at Work Policy, or other HR Policy; or is considered to be normal ACNI business / correspondence, we will advise the individual who raised the concern of this, and the issue will be passed to HR.

ACNI will take stock of the situation and take a thoughtful and measured response. Individuals cited in allegations should therefore not be confronted in the first instance.

A concern may include an allegation against an individual, or an allegation against an individual may come to light in any investigation. Such an allegation may need to be treated as a disciplinary matter, so the handling of any concerns will take into account the possibility that the allegations could lead

to disciplinary action against an individual.

Whistleblowing cases that involve the Chief Executive or Board members of ACNI must be immediately brought to the attention of the sponsoring Department before any action is taken by ACNI. The sponsoring Department will retain ownership of the investigation.

Where it is decided that a formal investigation is necessary the overall responsibility for the investigation will lie with a nominated "investigation officer." In any event, we will tell you who is dealing with the matter, how you can contact him or her, and whether your further assistance may be needed. We will write to you summarising your concern and setting out how we propose to handle it. If appropriate, you will be offered a meeting to fully discuss the issue. ACNI will ensure that the concern is promptly and properly investigated.

ACNI will ensure that anyone undertaking an investigation is independent and does not have any connection to the allegations.

We will give you as much feedback as we properly can in writing. However, we may not be able to tell you the precise action we take in line with UK-GDPR requirements or where this would infringe a duty of confidence owed by us to someone else. Sufficiently frequent contact will be maintained with you throughout the course of the investigation. Clear timescales will be given to you for reporting back on how your concern is being dealt with / progressing. As far as possible, the outcome of the investigation, and where appropriate any actions being taken as a result of it, will be reported back to you.

Any internal investigation should be undertaken without undue delay with the aim of completion, where possible, within 4 weeks. Where this timeframe is not possible, an alternative timetable will be agreed.

In the event that someone victimises or harasses you for raising a concern under this procedure, we will take appropriate and timely action against this person.

5.3 Designated Officer

The Designated Officer will seek to ensure a consistent approach to the handling of concerns across the organisation. They will maintain ACNI's database of concerns, including a record of how they are handled, whether the concern was upheld, and what the outcome was. They will monitor concerns, and report to their Board or Audit and Risk Committee on the number and types of concerns being raised.

The Designated Officer will also be a 'speak-up champion'. As well as managing the processes for dealing with concerns, as set out above, they will be responsible for raising general awareness about the value of receiving and responding to concerns. They will work together with their colleagues to support ACNI to respond effectively to concerns and to learn from instances when things go wrong.

The Director of Finance and Corporate Services is the Designated Officer in ACNI.

5.4 Where to Get Advice

Trade Union advice

Some employees may prefer to raise their concerns initially with their Trade Union side representative for advice. The Trade Union side representative will advise the employee how to use the Whistleblowing policy or any of the other policies of the ACNI which may be more appropriate.

Independent Advice

If you are unsure whether or how to raise a concern or you want confidential advice at any stage, you may contact your union. You may also contact the independent charity **Protect** on **020 3117 2520** or by email at whistle@protect-advice.org.uk. Their lawyers can talk you through your options and help you raise a concern about malpractice at work. For more information, you can visit Protect Advice (opens in a new tab)

Staff Care

At any stage during this process the employee(s) concerned may access ACNI's confidential staff counselling service Staff Care (Tel 0800 731 3674).

6. How to Raise a Concern Externally

Staff may raise a concern directly with the Designated Officer in ACNI. While we hope we have given you the reassurance you need to raise your concern internally with us, we recognise that there may be circumstances where you can properly report a concern to an outside body. In fact, we would rather you raise a matter with the appropriate regulator – such as the Northern Ireland Audit Office or the Health and Safety Executive of Northern Ireland, or with the Department for Communities - than not at all. **Protect** (or your union) will be able to advise you on such an option and on the circumstances in which you may be able to contact an outside body safely.

Department for Communities

Permanent Secretary Causeway Exchange 1-7 Bedford Street Belfast BT1 7FB

Tel: +44 (028) 9082 9000

DfC has a dedicated inbox for individuals wishing to raise a concern with them:

Email: raising.concerns@communities-ni.gov.uk

Northern Ireland Audit Office

The Comptroller and Auditor General 106 University Street Belfast BT7 1EU

Tel: +44 (028) 9025 1100

Health and Safety Executive NI

83 Ladas Drive Belfast BT6 9FR

Tel: +44 (028) 9024 3249

7. Conclusion

While we cannot guarantee that we will respond to all matters in the way that you might wish, we will strive to handle the matter fairly and properly. By using these whistleblowing arrangements you will help us to achieve this.

Please note, this document has been developed to meet best practice and comply with the Public Interest Disclosure (NI) Order 1998 (PIDO) which provides employment protection for whistleblowing.

For more information on the law, go to <u>Protect Advice (opens in a new tab)</u> for guidance and/or <u>NI</u> Direct Government Services, Protection of Whistle blowers (opens in a new tab)

Annex A

What is Malpractice, Abuse or Wrongdoing?

Malpractice, abuse and wrongdoing can include a whole variety of issues and some are listed as follows:

- Any unlawful act, whether criminal (e.g. theft) or a breach of the civil law (e.g. slander or libel);
- Misadministration (e.g. unjustified delay, incompetence, negligent advice);
- Health and safety risks, including risks to the public as well as other employees (e.g. faulty electrical equipment);
- Abuse of children and vulnerable adults (e.g. through physical, sexual, psychological or financial abuse, exploitation or neglect);
- Damage to the environment (e.g. pollution);
- The unauthorised use of public funds (e.g. expenditure for improper purpose);
- Fraud and corruption (e.g. to solicit or receive any gift/reward as a bribe);
- Breach of the Board Member of the Arts Council or Employee Code of Conduct;
- Abuse of power e.g. bullying / harassment. (Where any member of staff is allegedly being bullied, harassed, discriminated against or victimised however, the Dignity at Work Policy and Procedure should be implemented).
- Failing to safeguard personal and / or sensitive information (Data Protection).
- Other unethical conduct; and
- Deliberate concealment of information tending to show any of the above.

This is not an exhaustive list but is intended to illustrate the sort of issues that may be raised under this policy.

Annex B

Whistleblowing policy – Details of concern(s)				
What has occurred (or thought to have occurred)?				
When did it occur?				
Where did it occur?				
Who was involved?				
Have staff/the public been put at risk as a result?	Yes/No			
Has it happened before? If yes, please give details.	Yes/No			

Policy on Safeguarding Children, Young People and Adults at Risk.				
Are there any other witnes Please provide details.	sses?	Yes/No		
Is there any supporting info Please provide details.	ormation?			
How did the matter come	to light?			
Has it been raised with any If so, please provide details		Yes/No		
Signed:	Print name:			
Grade:	Department:			
Address:	Contact Tel no: _			
	Date:			

Arts Council of Northern Ireland

Annex C

As a Line Manager, what are your responsibilities towards staff who raise a concern?

Managers who receive disclosures from workers should:

- have a positive and supportive attitude towards workers raising a concern;
- record as much detail as possible about the concern being raised and agree this record with the employee;
- be aware of the process following the raising of a concern and explain this to the employee;
- make sure the worker knows what to expect, for example in relation to feedback on their concern;
- assure the worker that their confidentiality will be protected as far as possible, if they request this;
- make no promises and manage the expectations of the employee;
- make clear that your organisation will not tolerate harassment of anyone raising a genuine concern and ask the worker to let you know if this happens;
- refer the worker to available sources of support, for example **Protect** or a union; and
- pass the information as quickly as possible to those within your organisation who are responsible for
 dealing with concerns (usually someone within senior management), so that the appropriate
 procedures for consideration and investigation of the concern can be initiated.

Appendix 8

Safeguarding procedures relevant to Children / Young People & Entertainment

If your planned Programme of artistic activity includes performance of Children and Young People of school age it is important that you adhere to the Safeguarding procedures relevant to Children/Young People and Entertainment and Employment. The Arts Council will not release an award until you have demonstrated that you have contacted the **Education Authority** and complied with their Safeguarding processes/ procedures relevant to Children and Entertainment.

Children in Entertainment and Employment Team (CEET)

The Children in Entertainment and Employment Team (CEET) is part of the Education Authority's Child Protection Support Service for Schools (CPSS). It is responsible for ensuring that safeguarding responsibilities placed upon EA and other parties are met in respect of Children / Young People who are involved in entertainment and employment in Northern Ireland. The team is based at:

Children in Entertainment and Employment Team (CEET)

Education Authority Belfast Office 40 Academy Street Belfast BT1 2NQ

Phone number: 028 95985591 Email Address: Ceet@eani.org.uk

Child / Young Person Performance Licenses

The Education Authority issues performance licenses in respect of children (babies up to children of compulsory school leaving age) who live in Northern Ireland and propose to engage in public performances in Northern Ireland. This is in accordance with the provisions of the Children (Northern Ireland) Order 1995, Part X11, articles 137 – 145 & the Children (Public Performances) Regulations (Northern Ireland) 1996.

This legislation applies to Children/ Young People who are involved in broadcast performances including those on televisions, film, radio or by way of internet streaming. It also includes non-broadcast performances such as stage performances including those taking place in venues such as arts venues, civic spaces, sports clubs, community centers, hotels and church halls. Amateur may produce such performances or professional groups/companies and involve Children / Young People in drama, music, song, dance, circus and carnival.

Production companies should submit applications to CEET for a license for each Child / Young Person at least 30 days before the first performance is due to take place. This is to ensure that all applications are fully considered and licenses are issued with appropriate safeguards in place. Late applications may result in licenses not being issued and any performance that proceeds in the absence of a license will be deemed unlawful. EA will consider legal action against any production company, which proceeds with an unlawful performance.

The Designated Officer for CEET will advise applicants if a license and/or an exemption from a license applies in respect of the performance.

Applications for performance licenses must be accompanied by:

- Written consent from the parent/carer
- Written consent from the Principal of the school attended by the Child/ Young Person if the performance/s necessitate absence from school
- 1 photograph of the Child / Young Person with the individual's name written on the back
- Proof of age of the Child / Young Person (either passport or birth certificate)

If the CEET Designated Officer is satisfied that all legal and regulatory conditions are met, one or more performance licenses will be issued. Any performance, which proceeds in the absence of either performance licenses and/or an exemption letter, is unlawful.

Chaperone Licenses

The Education Authority approves registers and trains chaperones to safeguard Children / Young People who have been licensed to perform.

As required by law [Children (Public Performances) Regulations (Northern Ireland) 1996 Regulation 14], all licensed children who perform, if not accompanied by a parent/carer, must be accompanied by a licensed chaperone, who "shall have the care and control of the child with a view to securing his health, kind treatment and moral welfare" (Reg 14). The chaperone can care for a maximum of 12 children and is engaged / employed by the production company. For information on Chaperone Licenses contact:

Children in Entertainment and Employment Team (CEET)

Education Authority Belfast Office 40 Academy Street Belfast BT1 2NQ

Phone number: 028 95985591 Email Address: Ceet@eani.org.uk Policy on Safeguarding Children, Young People and Adults at Risk.

Introduction

As part of ACNI activities, staff may be charged with the duty of taking photo, audio and video recordings at functions we attend. These kinds of media are considered personal data for the purposes of GDPR and those recorded have certain rights (See ACNI Privacy Policy or Contact a Data Controller for more details). There are three key factors that must be considered when collecting this information

- 1. The ACNI Privacy Policy. (It is suggested you read this document again before going further)
- 2. Acquiring Consent from those involved
- 3. The type of event being attended.

ACNI Events Procedure

If ACNI is hosting/ running the event the following actions must be taken. If the event is open door.

- 1. The subject must be asked for explicit consent.
- 2. The subject must be made aware of what purposes the recording is for.
- 3. The subject must be informed of the existence of our privacy policy and that it is on our website.
- 4. You may begin recording.

If the event is by invitation or booking only.

- 1. The subject will have been informed in the invitation or during the booking procedure of the privacy policy and that we may record events.
- 2. You may begin recording.

Non-ACNI Events Procedure

If ACNI is attending the event as a guest the following actions must be taken.

- 1. The subject must be asked for explicit consent.
- 2. The subject must be made aware of what purposes the recording is for.
- 3. The subject must be informed of the existence of our privacy policy and that it is on our website.
- 4. You may begin recording.

ACNI Equipment and Personal Devices

It is strongly preferred that any multimedia recordings taken for use by ACNI be taken using ACNI equipment. That said, ACNI does recognise that this is not always possible. If you have used a personal device for this purpose, you must do the following as soon as reasonably possible and before any of the material on your device is used for ACNI purposes.

- 1. Contact a member of the ACNI Communications team.
- 2. They will copy the media from your device into a suitable location on ACNI's systems.
- 3. You must delete the original media from your personal device.

All GDPR material must be stored on ACNI systems or premises, any other locations are considered a security risk.

Dealing with Children and Vulnerable Individuals

Children and vulnerable individuals are subject to extra protection under the law and under GDPR. Obtaining consent to process data about these individuals requires additional steps be taken by ACNI.

Vulnerable Individuals

With regards to GDPR ACNI classifies vulnerable individuals as anyone who cannot give clear, unambiguous consent or for whom it is doubtful that they fully understand what they are consenting to, due to disability, medical conditions or altered consciousness. In these cases ACNI staff should always retrieve consent from a legal guardian, rather than the individual. The vulnerable individual should still be asked for consent to photograph/film as a matter of courtesy and ethics but our legal consent must come from a legal guardian.

Children

Within the UK children under the age of 13 cannot provide consent. ACNI however takes the position that anyone <u>under the age of 17</u> can only be filmed/photographed with the consent of their legal guardian.

Consent regarding children must always be in the form of a written and signed document.

FAQ

Individuals in the background

During photography or video recording, individuals may be captured in the back of shot. While it is preferable there be no-one in the back of shot as matter of good photo/videography. We do not have to approach these individuals for consent as they are not the subject matter of the media. (Consider how many people walk past TV presenters when they do a piece in a public place, they are not accosting potentially dozens of people to sign consent forms.)

How do I ask for consent?

Despite the stern wording of this guidance and the legal language of the GDPR itself. You do not have to speak to the subject in the same formality when asking for consent.

"Hello I'm from ACNI, could we do a short video interview with you?" (Consent)

"Before we begin, you can find our privacy policy on our website for GDPR."

Why are the procedures different for different types of event?

Invitational or pre-booked events give us the ability to inform and obtain consent in advance of the event itself. Events that are open to all comers or at which we are an invitee do not allow us this option, so we must be very clear with our Data Subjects.

Copyrighted Materials and Intellectual Property

During the course of recording, and depending on the event, you may find there is music or works of art in the background. These are not matters covered by GDPR and are instead dealt with under copyright and intellectual property law, specifically the section on fair dealing.

Minimising the workload of obtaining consent regarding children

If dealing with a large group of children it is likely you are doing so through a school, club or similar organisation. You may find it easier to have them distribute and collect your consent forms rather than trying to do it yourself. When it comes to storing these forms there are number of options; scanning them and storing them with the media, putting them in an arch file with a brief explanatory note (date, purpose, where the media is). How you want to do this within your team is up to you but once you've chosen a method you should stick with it.

Third Party Contractors collecting on our behalf

Where a third party has been commissioned to collect multimedia on our behalf the burden is on the third party to gather consent and manage their GDPR obligations. This will include notifying data subjects that the media will be shared with ACNI. If we wish for specific GDPR related actions to be taken by the third party it should be included in their contract. Data Subjects retain their full legal rights regardless of who collected or holds the data currently, though any disputes about consent or collection remain the responsibility of the third party.