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Analysis of Departmental Bids Relating to Monitoring Rounds and Separate Covid-19 Exercise

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This briefing paper - commissioned by the Assembly's Finance Committee (the Committee) - aims to support the Committee's oversight of departments' Monitoring Round bids and the separate Covid-19 funding exercise; both processes directed by the Minister of the Department for Finance (DoF). The paper provides a brief analysis of those bids (Monitoring Round and Covid-19 exercise) overall, and at the departmental level. A table containing aggregated departmental bid responses is appended to facilitate the Committee's consideration.

This information is provided to MLAs in support of their Assembly duties, and is not intended to address the specific circumstances of any particular individual. It should not be relied upon as legal or professional advice, or as a substitute for it.

Introduction

This briefing paper - commissioned by the Assembly's Finance Committee (the Committee) - aims to support the Committee's oversight of departments' Monitoring Round bids (June monitoring and October monitoring) and the separate Covid-19 funding bid exercise, up to and including the October Monitoring Round; both processes directed by the Minister of the Department for Finance (DoF). The Committee requested the noted bid data and this analysis, to gain greater understanding of the overall picture of public finances and that at individual departmental level. The paper therefore presents key findings emerging from the departmental bids data, followed by a brief analysis of the bids.

The paper presents findings using three sections. Section 1 provides an overview of the budgeting processes and in-year monitoring exercises, including additional flexibilities afforded to departments for 2020-21 as a result of the pandemic. Section 2 then outlines the Covid-19 response in terms of Covid-19 funding available to NI to respond to the crisis. Section 3 then presents data contained within the departmental returns, including analysis of the departmental bids. A table containing aggregated departmental bid responses is included at Appendix 1 to the paper, to facilitate the Committee's consideration.

1 Budgeting processes and in-year monitoring exercises

The Executive Budget process sets expenditure plans for Northern Ireland departments for the forward looking financial years. Budget 2020-21, published on 31 March 2020, set out the Executive's spending plans for the period from April 2020 to March 2021.

The in-year monitoring (IYM) rounds provide a formal system for reviewing these spending plans and priorities during the financial year in question, in the light of more up to date information becoming available. The IYM process is designed to both aid good financial management and ensure that resources are directed towards the Executive's highest priority areas.¹ It is typical to have three monitoring rounds per financial year – i.e. June, October and January.

A previous RalSe blog article - entitled *What is in-year monitoring and how does it work?*² - provides a comprehensive overview of the IYM process. For purposes of this briefing, its key aspects from a departmental perspective are:

- Must give up any reduced requirements;
- May bid for additional funding;
- May propose proactive reallocations of their own budgets to meet higher priority pressures; and,
- May propose reclassifications of budget from one expenditure category to another, subject to restrictions.

To keep abreast of departmental IYM returns, Assembly committees routinely receive briefings on departmental bids made during IYM, along with reduced requirements and internal reallocations. It is important to note that reallocations falling below the *de minimis* threshold of £1 million do not require approval under the rules. Details of all those rules - i.e. the in-year monitoring process - are outlined in annually updated guidance issued by the DoF.³

¹ <https://www.finance-ni.gov.uk/node/44677>

² <https://www.assemblyresearchmatters.org/2016/06/13/what-is-in-year-monitoring-and-how-does-it-work/>

³ <https://www.finance-ni.gov.uk/sites/default/files/publications/dfp/2020-21%20In-Year%20Monitoring%20Guidelines.pdf>

The 2020-21 IYM guidance outlines revised arrangements for the 2020-21 IYM process, as outlined by the Finance Minister during his Budget Statement to the Assembly in March 2020.⁴ The arrangements were put in place to help manage the uncertainty relating to the Covid-19 pandemic. The revised arrangements provide departmental authority to reallocate funding across Spending Areas over and above the normal £1 million *de minimis* limit, without the need for further approval from the Executive.

However, the guidance states:⁵

While departments will have flexibility in the first monitoring round to reallocate funds to meet any emerging pressures...the need to report those changes still remains

It further states:⁶

Full details of how that will be captured and reported will be in the commissioning letter for the relevant exercise, however normal In-year Monitoring transactions will be used where possible.

Also of note is that the guidance extended departmental flexibility afforded by the DoF during the first monitoring round of the year, i.e. to include the October Monitoring Round.

2 Covid-19 Response

Over the course of this financial year, the Executive has received from Treasury, ring-fenced Covid-19 funding through the Barnett formula. This is to enable the Executive to tailor its response to Covid-19 and meet local needs arising from the pandemic.

The UK Budget 2020-21 was set at the start of the pandemic, meaning only limited funding for the Covid-19 response could be incorporated into the Executive Budget position. The Executive Budget 2020-21 states:⁷

Due to the need to be rapid and flexible, the response to COVID-19 has been developed mainly outside the Budget 2020-21 process. Only £120 million Resource DEL and £1.3 million Capital DEL was included in the Budget 2020-21 due to legislative restrictions.

It further states:

Of the £120 million Resource funding available, £99 million has been used to fund a Business Rates Holiday for three months. The balance of £21 million Resource DEL and £1.3 million Capital DEL COVID-19 funding is held centrally and will be allocated as part of the separate response.

Covid-19 allocations, while announced on an ongoing basis when agreed, were formalised during the IYM process in the usual way; albeit with increased flexibility to reallocate funding, as outlined in the 2020-21 IYM guidance.

In addition, the DoF commissioned a Covid-19 re-prioritisation exercise to run concurrently with the June monitoring round. The re-prioritisation exercise aimed to re-purpose funding within the department it had been allocated to initially, in order to address Covid-19 pressures faced by departments. It also sought to identify where funding could be reallocated to other departments, if the Executive decided to do so. Moreover, it revised bids for Resource and Capital pressures.

⁴ <http://www.niassembly.gov.uk/assembly-business/official-report/written-ministerial-statements/department-of-finance---covid-19-response-funding-allocations/>

⁵ [IN-YEAR MONITORING OF PUBLIC EXPENDITURE \(finance-ni.gov.uk\)](#) page 5

⁶ [IN-YEAR MONITORING OF PUBLIC EXPENDITURE \(finance-ni.gov.uk\)](#) page 5

⁷ [Budget 2020-21 \(finance-ni.gov.uk\)](#) page 20

3 Departmental bids

In October 2020, the Committee wrote to all Executive Ministers requesting:⁸

...an overview of all bids submitted by the Department within the in-year monitoring process and bids supported by costed proposals for COVID-19 related funding outside the in-year monitoring process including the purpose for each bid, the value of each bid and outcome of whether the bid was successful, partially successful or unsuccessful...

The letter set a response date of 6 November 2020, and responses were received from all Departments by 27 November 2020. The responses contained departmental bids submitted to the DoF, up to and including the October Monitoring Round.

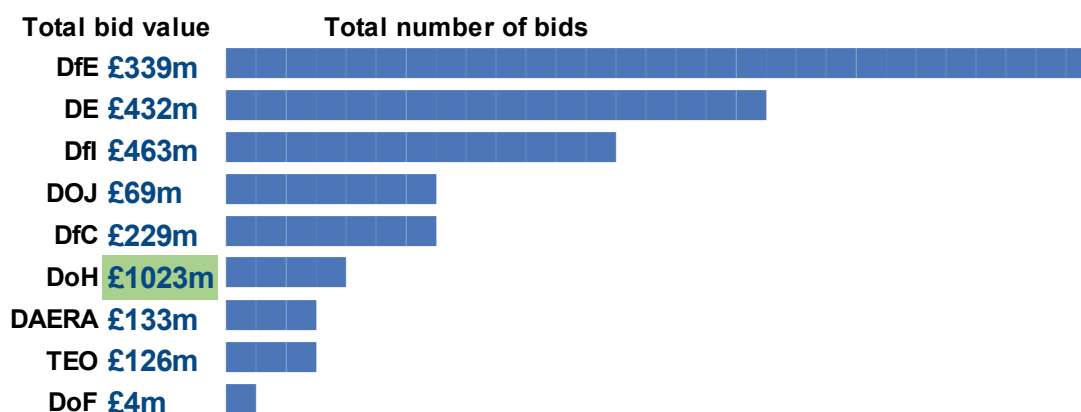
It should be noted that an incorrect file was sent in error by the Department for Infrastructure (DfI) initially, the corrected file was received by the Committee on 9 February 2021 and forwarded to RaSe at that time. The information received by the Committee has been collated and is presented in Appendix 1. This section provides analysis of the data contained within those departmental responses. Sub-section 3.1 provides preliminary analysis of overall trends emerging from the data, then 3.2 outlines key findings from departmental analysis.

3.1 Preliminary Analysis

The departmental responses contained a total of **263 bids**, with a combined total value of **£2.8 billion**.

Figure 1 below shows the number of bids submitted by each department, and their associated total value:

Figure 1: Total value of bids and number of bids by department



As Figure 1 above shows: the Department for Economy (DfE) submitted 88 bids, which was the highest number of bids submitted by any department; the Department of Education (DE) submitted 56 bids; while the Department for Infrastructure (DfI) submitted 40 bids. Moreover, the DoF submitted only one bid. The Department of Health (DoH) submitted 13 bids; totalling more than £1 billion, which is substantially higher than the value of bids submitted by any other department.

It also is worth noting that the vast majority of departmental bids concern Covid-19 funding, and therefore are classified as Covid bids. Overall, the departmental responses contain a

⁸ Letter from Chair of Committee for Finance to Executive Ministers dated October 7th, 2020

total of **219 Covid bids**, totalling **£2.4 billion**. This is compared with **44 non-Covid bids**, totalling **£0.4 billion**.

Figure 2 below shows the total number of Covid and non-Covid bids by departments:

Figure 2: Number of Covid bids and non-Covid bids by department

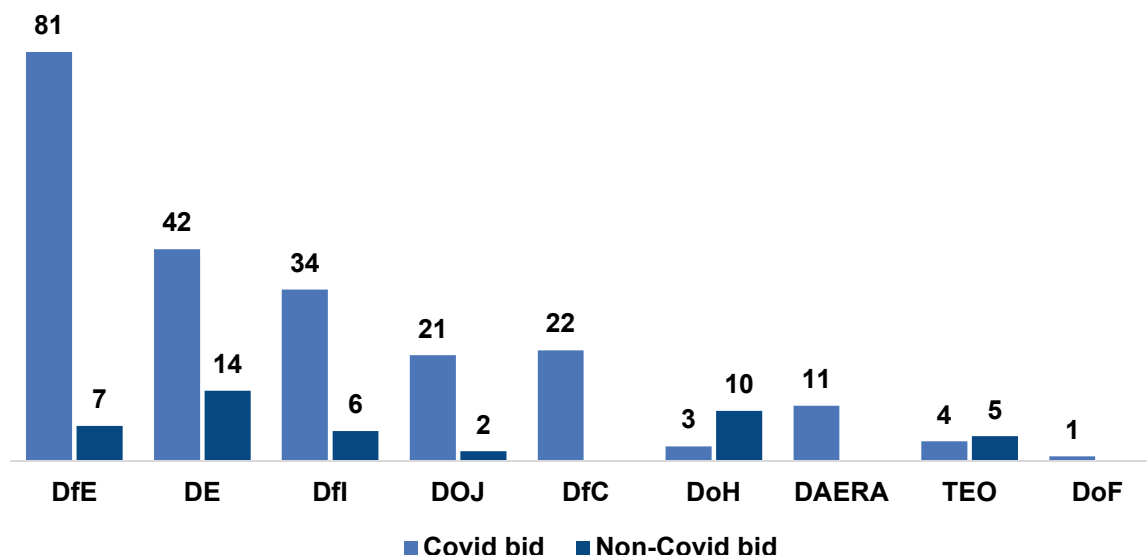


Figure 2 above shows that the DfE submitted 81 Covid bids, which is the highest number of Covid bids submitted by any of the departments. The DE submitted 42 Covid bids, followed by the DfI, which submitted 34 Covid bids. The DE also submitted 14 non-Covid bids, which is the highest number of non-Covid bids submitted by any of the departments. The Department for Communities (DfC), the Department for Agriculture, Environment and Rural Affairs (DAERA) and the DoF did not submit any non-Covid bids during the June and October Monitoring Rounds.

Figure 3 below shows the total value of Covid and non-Covid bids by departments:

Figure 3: Total value of Covid bids and non-Covid bids by department (£ million)

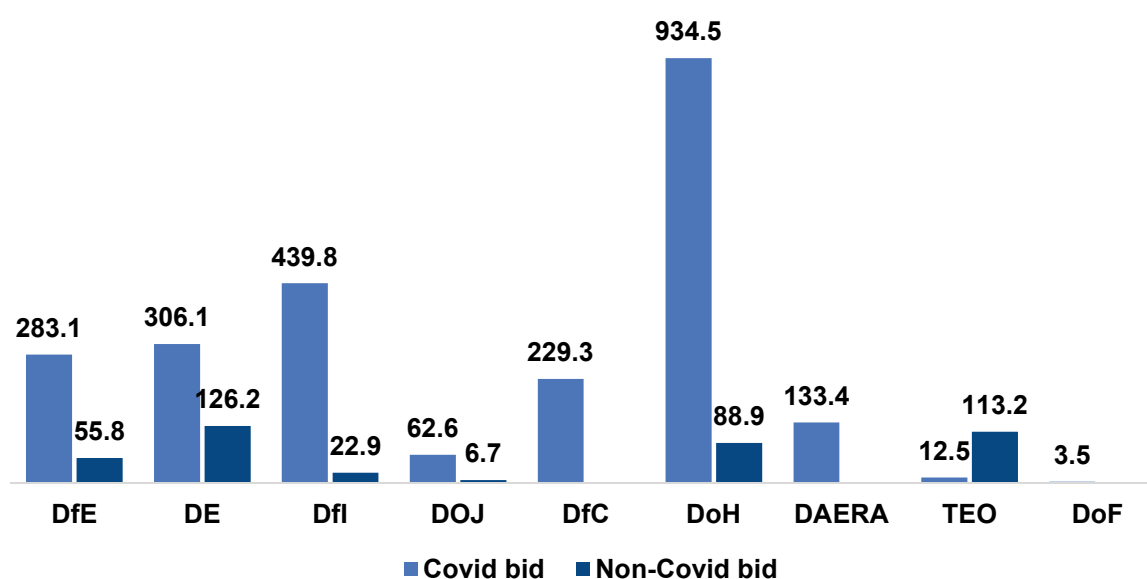


Figure 3 shows that Covid bids submitted by the DoH had a total value of £934.5 million, which is significantly higher than other departments. It is worth noting that the DoH

submitted only three Covid bids: each appears to be in aggregated form – i.e.: *DoH Covid Resource (other Covid-19)*, with a bid value of £866.7 million; *DoH Covid Capital (June Monitoring)*, with a bid value of £33.8 million; and, *DoH Covid Capital (other Covid-19)*, with a bid value of £34.0 million.

In comparison, the DfE had the highest number of Covid bid submissions, with a combined total value of £283.1 million, giving an average Covid bid value of £3.5 million. In addition to the DfE, the Department of Justice (DoJ), The Executive Office (TEO) and DoF each had an average Covid bid value of between £3.0 and £3.5 million. The DE submitted 42 Covid bids, with a total value of £306.1 million overall and an average Covid bid value of £7.3 million. The DfC, the DAERA, and the DfI each had an average Covid bid value of between £10.4 million and £12.9 million.

Figure 3 further shows that of the 263 bids submitted; **141 were unsuccessful** and **56 were partially successful**. This equates to a **shortfall of £966.4 million** between the £2.8 billion of funding bids submitted, and the £1.9 billion of funding allocated through the bidding process.

Figure 4 below presents the percentage of funding allocated through the bidding process, as a proportion of bids submitted by each department:

Figure 4: Percentage of funding allocated through the bidding process as a proportion of bids submitted

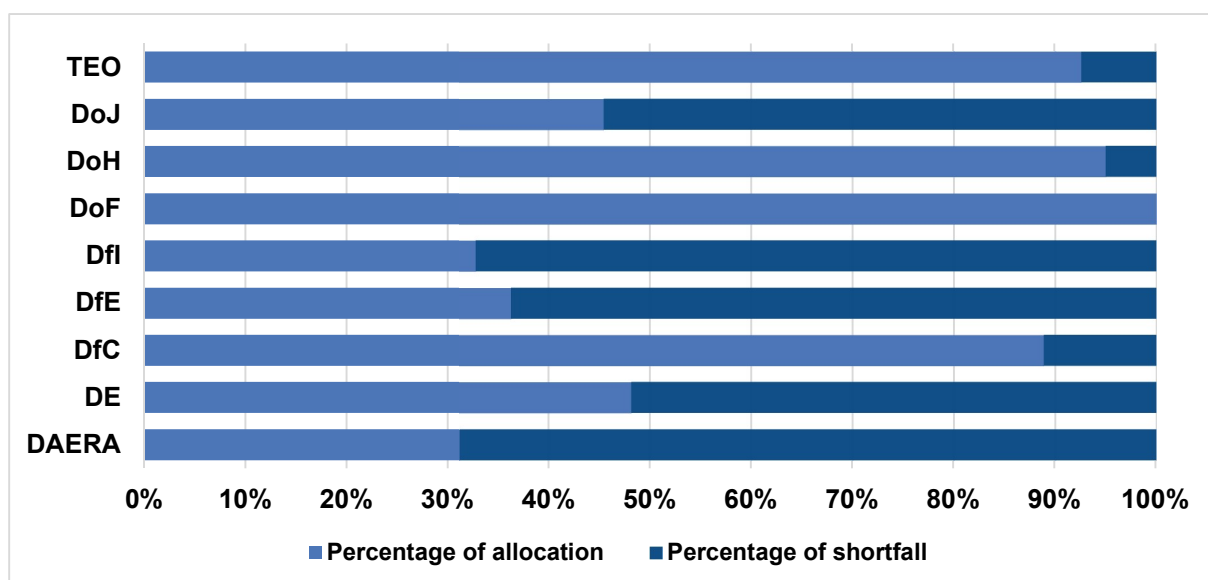


Figure 4 shows four departments were allocated more than 89% of the funding requested through the bidding process: the DoF -100%; the DoH – 95%; TEO – 93%; and, the DfC – 89%. It further shows three departments were allocated less than 36% of the funding requested through the bidding process: the DfE – 36%; the DfI – 33%; and, the DAERA – 31%.

Figure 5 below shows the percentage of bids that were successful, partially successful and unsuccessful by department:

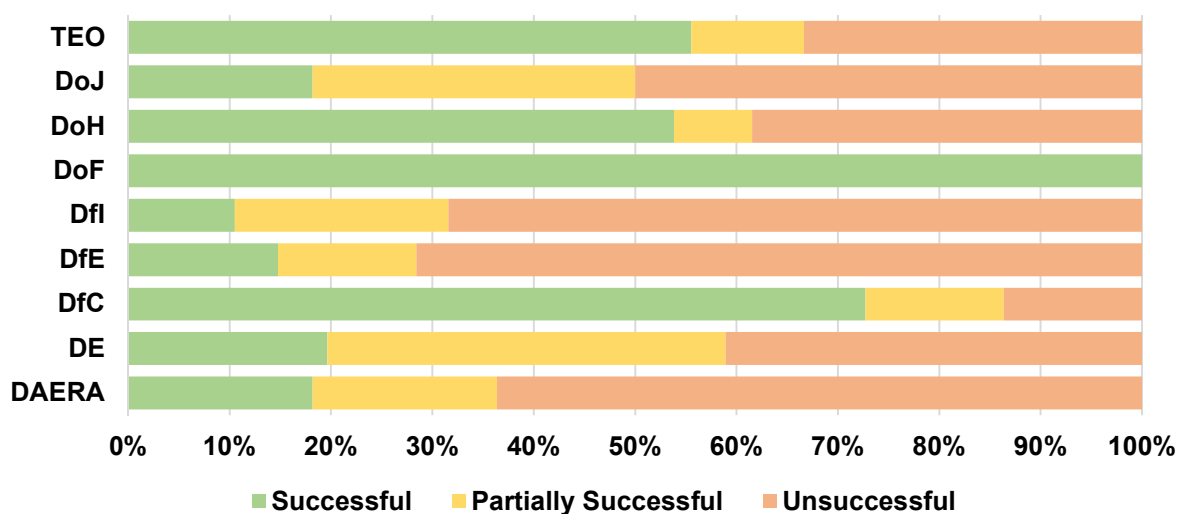
Figure 5: Percentage of successful, partially successful and unsuccessful bids by department

Figure 5 above shows that more than half of bids submitted by the DoF, the DfC, TEO, and the DoH were successful. However, it should be noted that the DoF submitted only one bid, which was approved: it therefore had a 100% bid success rate. Whereas, four other departments reported a bid success rate of less than 20%: the DfI; the DfE; the DAERA; and, the DoJ. While the DfI reported the lowest proportion of successful bids, with only 4 of their 38 submitted bids approved; equating to a success rate of 11%. Finally, the DfE reported a success rate of 15%; while the DAERA and the DoJ reported success rates of 18% each.

Figure 5 also shows that despite reporting a bid success rate of 18%, the DoJ reported a further 32% of bids with partial success. In addition, the DE reported the highest proportion of partially successful bids. It is important to look at the monetary value attached to these partially successful bids in order to gain a truer picture of what that partial success means, as Figure 6 below seeks to do.

Figure 6: Analysis of partially successful bids

Department	Number of partially successful bids	Total value submitted for partially successful bids (£ million)	Total value allocated for partially successful bids (£ million)	Allocation as a % of value of bids submitted
DAERA	2	112.5	28.8	25.6%
DE	22	255.1	149.9	58.8%
DfC	3	55.0	33.0	60.0%
DfE	12	91.2	47.6	52.1%
DfI	8	271.5	85.0	31.3%
DoH	1	36.2	14.6	40.4%
DOJ	7	33.8	24.4	72.2%
TEO	1	2.7	0.5	18.5%
Total	56	858.0	383.8	44.7%

Figure 6 above shows that the 56 bids resulting in partial success made up £858 million of the total value of bids submitted. Of that £858 million of funding requested, approximately 45% was allocated. However, the proportion of funding allocated as a percentage of funding requested varied between departments, with TEO allocated 18.5% of the value of their partially successful bids. This compares with the DoJ allocation of 72.2% of the value of its partially successful bids.

3.2 Departmental Analysis

3.2.1 DAERA

The DAERA submitted 11 bids during the pandemic, totalling £133.4 million. It received £41.7 million of funding as a result of successful bids or partially successful bids. All of the bids submitted by the DAERA were requests for Covid-19 funding through the Covid-19 bidding exercise, outside of the June and October Monitoring Rounds. Of the 11 bids submitted: two were successful; two were partially successful; and, seven were unsuccessful.

The DAERA's largest bid was for £107.5 million for *Support for Agri Food Sector*. That bid was partially successful, with an allocation of Covid-19 funding of £25 million. The DAERA provided the following comment concerning this shortfall:⁹

...The actual impacts of the pandemic on these sectors have not been as severe as originally anticipated when the bid was made and the £25m Executive allocation has been sufficient to cover these at this stage...

The other DAERA bid - which was only partially successful - was £5 million for *Council Emergency Funding for Waste* in July 2020, and received an allocation of £3.8 million. An additional bid for £11.4 million was submitted in September 2020 for *Council Emergency Funding for Waste*, which was successful. The DAERA stated that that allocation was sufficient to deal with the given pressure.

The seven unsuccessful bids called for £8 million of Covid-19 funding. Subsequently, funding was found from within DAERA to cover each identified pressure.

3.2.2 DoF

The DoF submitted one bid during the pandemic, totalling £3.5 million for *Support Scheme for Hospitality Businesses (in Derry City & Strabane)*. That bid was successful; and £3.5 million of Covid-19 funding was allocated to the DoF.

3.2.3 DfE

The DfE submitted 88 bids during the pandemic, totalling £338.8 million. It received £123.0 million of funding as a result of successful or partially successful bids. 13 bids were successful; and an additional 2 bids were revised prior to allocation and essentially are regarded as successful bids.

Of the 10 partially successful and 63 unsuccessful bids, a number of bids have been submitted multiple times. Figure 7 below shows an example of this in practice:

⁹ Table 1 in Appendix 1

Figure 7: An example of re-submission of bids during multiple monitoring rounds

Date	Brief Description of Bid (including required impact)	Bidding Process	Bid Value (£m)	Amount Allocated (£m)	Shortfall (£m)	Please provide details of the intended and actual impact of the outcome of the bid including, where applicable, how outcomes were achieved in the absence of funding
August	Support for University Research and Development	COVID-19 exercise	13.00	-	-13.00	Partly funded in Summer Allocation Exercise Part 2. Any consequences to shortfall outlined against most recent bid.
September	Support for University Research and Development	COVID-19 exercise	13.00	5.80	-7.20	N/A bid resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
October	Support for University Research and Development	October Monitoring	7.20	-	-7.20	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.

Figure 7 above shows that a bid of £13 million was submitted in August and was unsuccessful. The same bid was resubmitted in September and £5.8 million was allocated. This means the bid was partially successful at that time. Then, a revised bid of £7.2 million was again submitted in October and was unsuccessful. The comments suggest that this bid will again be submitted during the January Monitoring Round.

In addition, the comments in the DfE return highlight that six unsuccessful bids, totalling £47.4 million, were in fact withdrawn by the DfE. Among them, there was a bid for *Extension of European Social Fund* for £22.6 million. The reason for withdrawal was stated as: "...Withdrawn due to size and that it would not have provided an immediate impact...". This bid appears to have been submitted in August, and again in September, and then later withdrawn, and so appears in this dataset twice.

It is impossible, with the current level of detail provided by departments, to ascertain the number of stand-alone bids submitted from the DfE. But Figure 7 above, alongside comments in the table in Appendix 1, suggest that there are many multiples present within the DfE's 88 bids. The unsuccessful DfE bids have either been resubmitted, withdrawn or funded internally.

3.2.4 DE

The DE submitted 56 bids during the pandemic, totalling £432 million. It received £208.3 million of funding as a result of successful or partially successful bids. As is the case with the DfE, a number of bids appearing in the departmental returns have been submitted, and sometimes rejected, multiple times. For example, five non-Covid bids, totalling £47.3 million, which were unsuccessful during June Monitoring, were resubmitted during the October Monitoring. Two of which were reassessed, with reduced requirements submitted. Of the £41.4 million reduced submission during the October Monitoring Round, an allocation of £1 million was successful (the £1 million made for a partially successful bid as the amount requested was £4 million). For these non-Covid bids, the same bid name is used each time, and so they are easily identifiable.

3.2.5 DfC

The DfC submitted 22 bids during the pandemic, totalling £229.3 million. It received £203.8 million of funding as a result of successful or partially successful bids. It should be noted that all bids submitted by the DfC were for Covid-19 funding. The current allocation to the DfC for Covid-19 funding is £275.2 million.¹⁰ The DfC did not make any bids during the more recent January Monitoring Round. However, it appears that previously unsuccessful or partially unsuccessful bids have since been fulfilled.

3.2.6 TEO

TEO submitted nine bids during the pandemic, totalling £125.7 million. It received £116.4 million of funding as a result of successful and partially successful bids. Unsuccessful bids for Covid-19 funding, as well as non-Covid funding were resubmitted multiple times before their approval during the October Monitoring Round; albeit with a marginal reduction in allocation requested in both instances.

3.2.7 DoJ

The DoJ submitted 23 bids during the pandemic, totalling £69.3 million. It received just under half of the requested funding, at £31.5 million. Within the 23 bids provided by the department, two were resubmitted with reduced requirements. In addition, a number of unsuccessful bids were instead funded internally, or alternatively were accompanied by the comment “...*Cost originally anticipated and no longer required...*”.

3.2.8 DfI

The DfI submitted 38 bids during the pandemic, totalling £462.7 million. It, however, reported the largest shortfall of £311.1 million, with only 4 fully successful bids. Some of the larger bids associated with *Translink*, for example, have been submitted multiple times. That has the effect of making these figures seem misrepresentative. Nonetheless, 32 of the DfI bids were in relation to Covid-19 funding; the latest DoF figures confirm that DfI have a current Covid-19 allocation of £226.4 million.

3.2.9 DoH

The DoH submitted 13 bids during the pandemic, totalling £1 billion, which is the largest allocation of all of the departments. As mentioned earlier, most of that was made up of bids concerning Covid-19 funding. The current DoH Covid-19 funding allocation stands at more than £1 billion. However, despite the largest allocation of Covid-19 funding, only three bids were submitted, i.e.: *DoH Covid Capital* - £33.8 million; *DoH Covid Capital* - £34 million; and, *DoH Covid Resource* - £866.7 million. Each was approved in its aggregate form.

¹⁰ [Covid-19 funding allocations table \(finance-ni.gov.uk\)](https://www.finance-ni.gov.uk/covid-19-funding-allocations-table)

4 Concluding Remarks

- In order to respond rapidly to the Covid-19 situation the Executive is handling funding for the crisis outside its normal financial processes of budget and in-year monitoring exercises for this financial year. As a result, for this year, departments have submitted *non-Covid bids* and *Covid bids* as part of the normal IYM process and additional *Covid bids* (supported by costs proposals for Covid-19 related funding) as a separate exercise outside of the routine IYM process.
- This paper draws on the data contained in departmental returns containing all non-Covid bids submitted by departments to the DoF during the pandemic, during the June and October Monitoring Rounds, as well as Covid bids submitted to the DoF up until October 2020.
- The departmental responses contained a total of **263 bids**, with a combined total value of **£2.8 billion**.
- Of the 263 bids submitted: **141 were unsuccessful**; and, **56 were partially successful**. This equates to a **shortfall of £966.4 million** between the £2.8 billion of funding bids submitted, and the £1.9 billion of funding allocated through the bidding process.
- The departmental responses contain a total of **219 Covid bids**, totalling **£2.4 billion**. This is compared with **44 non-Covid bids**, totalling **£0.4 billion**.
- The DoH submitted 13 bids; totalling more than £1 billion, which is substantially higher than the value of bids submitted by any other department. Covid bids submitted by the DoH had a total value of £934.5 million, which is significantly higher than other departments.
- The DfE, the DE, and the DfI submitted the highest number of bids (88,56, and 40 respectively). However, much of this variability is due to bids submitted multiple times across Monitoring Rounds.
- A number of the larger bids submitted early on in the pandemic anticipated larger costs than was needed, for example, the DAERA's £107.5 million bid for *Support for Agri Food Sector*. It was partially successful, and the resulting £25 million allocation of Covid-19 funding was found to be sufficient.

Appendix 1: Table containing departmental bids

Department	Date	Brief Description of Bid (including required impact)	Type of Bid	Bidding Process	Type of funding	Bid Value (£m)	Amount Allocated (£m)	Shortfall (£m)	Please provide details of the intended and actual impact of the outcome of the bid including, where applicable, how outcomes were achieved in the absence of funding
DAERA	19/03/20	Support for Rural Communities	Covid Bid	Other COVID-19	Resource	1.00		-1.00	<p>For Rural Affairs funding of £1m would:</p> <ul style="list-style-type: none"> continue and enhance the Assisted Rural Travel Scheme to support isolated rural dwellers access assistance and essential services; assist the work of Rural Support in providing helpline and follow-up support services for rural dwellers and farmers during and after the COVID-19 outbreak; and provide community grants to organisations assisting the over 50s and those in rural areas in need of assistance in dealing with the impacts of COVID-19. <p>Although this bid was not met by the Executive, the Tackling Rural Poverty and Social isolation (TRPSI) Programme was reconfigured to take forward the proposal in light of the opening budget for 2020-21 that was subsequently confirmed.</p>
DAERA	01/04/20	Support for Fishing Sector	Covid Bid	Other COVID-19	Resource	1.50	1.50	0.00	<p>A number of potential support packages for the provision of short-term, financial assistance to the Northern Ireland Fishing Industry have been considered. This is as a result of the impact on markets and operational factors (crew health and crew availability) associated exclusively with the current COVID-19 situation. The Department has considered the other financial assistance schemes that are available to UK businesses, employees and the self-employed and which appear open to fishermen and vessel owners. The proposed assistance to Northern Ireland fishing vessels is tailored towards addressing a gap in the existing support. This relates to assistance with the fixed costs incurred by a vessel when it is unable to go to sea.</p> <p>This package, coupled to other support mechanisms available, would help the fish catching sector keep their workers and put them in a position to respond immediately and effectively when markets for fish recover and safe working practices are introduced. Most workers on sea fishing boats are classified as either contracted staff or self employed and the measures introduced should be available to vessel owners and their crews in respect of loss of earnings.</p> <p>The first payments were made in mid-May and the scheme is now closed. In total £1.33m has been paid out through this scheme to 171 fishing vessel owners.</p>
DAERA	05/05/20	Support for Agri Food Sector	Covid Bid	Other COVID-19	Resource	107.50	25.00	-82.50	<p>An initial £105m bid for Market Support was updated to £107.5m on 5 May of which £25m was allocated to it by the Executive. The Covid-19 Support Scheme is a contribution towards the losses incurred by businesses as a result of short term market disturbance. Since other HMG support measures are available to agricultural and horticultural businesses, rates of compensation for losses incurred have been set at 100% or 80%. The 80% rate is compatible with that set for the Self Employed Income Support Scheme and the amount of wages paid to furloughed workers through the Coronavirus Job retention Scheme.</p> <p>The subsequent allocation of the COVID-19 Support Fund is set out as follows.</p> <ul style="list-style-type: none"> - Beef producers will receive support for 100% of losses incurred in the period from mid-February – June. Farm businesses who presented beef cattle, cull cows or bulls and veal calves (from aged 8 months old and over), for slaughter between 16 February and 30 June are eligible for a payment of £33 per head. A second payment of £40 per head will be made if beef cattle, cull cows or bulls (aged 12 months old and over), were presented for slaughter between 29 March and 16 May 2020. A payment of £13.50 per head will be made if veal calves (from aged 8 months to less than 12 months) were presented for slaughter between 29 March and 16 May 2020. Payments will be made to the farm business that had the eligible cattle registered in their herd (on APHIS) on the dates that meet the qualifying criteria. These payments equate to £7million from the Support Fund. All cattle slaughtered as TB reactors and 'in contact' animals are excluded from the scheme as will all cattle slaughtered and certified on APHIS as unfit for human consumption. - Sheep producers will also receive 100% of losses incurred by receiving a flat rate payment of £6.88 per head based on the number of eligible sheep presented by a farm business for direct slaughter in NI, GB or in RoI between 22 March and 18 April 2020 inclusive. Payments will be made to the farm business that had eligible sheep registered in their flock (on APHIS) on the dates that meet the qualifying criteria. These payments equate to £232k from the Support Fund. - Milk producers will receive support for 80% of losses incurred in the months March, April, May and June with a flat rate payment of 1.28 p/l. This reflects an actual loss against a February reference price. These payments equate to £11m from the Support Fund. - Potato producers will also receive support for 80% of losses incurred in the period March 17 to July reflecting actual loss in sales or value of crop remaining in store against a March reference price. This equates to an allocation of up to up to £1.6m from the Support Fund. Support will be a payment per ton based (max of £136/t) on the loss in value of eligible potatoes during the period March 17 to July 31, 2020. - Ornamental horticulture producers are to receive support for 80% of losses incurred in the period March 1 to June 30 reflecting actual loss in accrued sales of eligible plants compared to averaged sales in previous three years. This equates to an allocation of up to £1.6m from the Support Fund. <p>The actual impacts of the pandemic on these sectors have not been as severe as originally anticipated when the bid was made and the £25m Executive allocation has been sufficient to cover these at this stage. Payments started to issue to applicants from 28 September.</p>
DAERA	05/05/20	AFBI - COVID-19 Testing	Covid Bid	Other COVID-19	Resource	1.30		-1.30	<p>AFBI is able to offer its technical and scientific expertise to support the HSC efforts in the critical area of diagnostic testing. This funding would allow AFBI to test up to 1,000 samples a day from suspect COVID-19 cases, via a newly established consortium with Queen's University Belfast and Ulster University, to support the health service in Northern Ireland and help in the fight against COVID-19. Funding to help take this forward has been found within DAERA.</p>
DAERA	30/07/20	Support Environmental Organisations	Covid Bid	Other COVID-19	Resource	0.40		-0.40	<p>This bid would promote the green economy and provide strategic sectoral support to the environmental not for profit sector which has been impacted by COVID-19 both financially and in terms of business operability. The delivery of projects associated with this funding would support job retention, business continuity and the development of future green economy initiatives. It would also support the tourism sector by helping to provide and maintain a quality outdoor product for visitors. Funding to help take this forward has been found within DAERA.</p>
DAERA	30/07/20	Supporting rural business and communities	Covid Bid	Other COVID-19	Resource	0.60		-0.60	<p>The funding would be used to fund two rural tourism COVID-19 recovery schemes. The first one is the 'Tourism Web Development Scheme' and would be delivered and jointly funded by Tourism NI and would assist tourism businesses to have fit for purpose trading websites to enable remote booking of activities. The second is the 'Rural Tourism Experiential Scheme' which would develop hubs of tourism experiences in council areas to encourage 'staycations' and give a better tourism experience. It would also provide additional funds to support Rural Community Development Support Service carry out additional work with rural organisations to assist recovery in the more isolated rural communities. This would involve capacity and capability building. Funding to help take this forward has been found within DAERA.</p>
DAERA	30/07/20	Council Emergency Funding for Waste	Covid Bid	Other COVID-19	Resource	5.00	3.80	-1.20	<p>Councils have faced significant financial challenges as a result of the COVID 19 pandemic. Local Government continues to play a key role in delivering essential services such as waste collection and disposal. Councils have re-opened Household Recycling Centres which has been extremely challenging due to social distancing requirements. Financial support from Government to alleviate the additional costs they have incurred to maintaining waste management services is critical to ensuring Councils can positively contribute to local economic, social and environmental recovery. This funding would also help support the additional costs of implementing social distancing measures and ensure that staff across councils are able to fulfil these crucial waste management roles in a safe manner. The Executive subsequently allocated a further £11.4m (below) to cover the costs over the rest of 2020-21.</p>

Department	Date	Brief Description of Bid (including required impact)	Type of Bid	Bidding Process	Type of funding	Bid Value (£m)	Amount Allocated (£m)	Shortfall (£m)	Please provide details of the intended and actual impact of the outcome of the bid including, where applicable, how outcomes were achieved in the absence of funding
DAERA	30/07/20	COVID-19 Town Centre and Rural Settlements Revitalisation Programme	Covid Bid	Other COVID-19	Capital	2.00		-2.00	<p>Following discussions between Ministers Ni Chuilin and Poots, DfC with support from DAERA will lead on a COVID-19 Town Centre and Rural Settlements Revitalisation Programme in partnership with the 11 Councils. The Councils have been requested to formulate short and medium term revitalisation Action Plans for their town centres and rural settlements. Funding to support town centres and rural settlements recover from the impacts of COVID-19 will be provided on the basis of these Action Plans. DAERA seeks £2m to enable collaboration in support of those rural settlements with a population below 5,000 while DfC has committed £10m to the Programme to fund initiatives in larger towns and city centres. This scheme led by DfC and delivered by the 11 Councils will support the revitalisation of town centres and rural settlements following the COVID-19 pandemic. In conjunction with stakeholders the Councils will determine the needs of their villages, towns and urban centres through the development of short and medium term Action Plans and funding will be provided to support these requirements. The new operating environment will present rural settlements, town and city centre businesses with many challenges and the response to this will require support from central and local government and a wide range of statutory and commercial stakeholders. To limit the negative long-term impact on the economies of our towns and cities the response from departments needs to be rapid and flexible if it is to be effective, hence the development of this Revitalisation Programme. Funding to help take this forward has been found within DAERA.</p> <p>Support for businesses to bounce back, recover and in some cases grow following the effects of the COVID-19 pandemic is a key priority for the Executive. The Rural Business Development Grant Scheme focus is on supporting businesses recover from the pandemic. A call for applications is proposed to open in August and it is estimated £1m of funding would be required.</p> <p>Rural Affairs Division in conjunction with Forest Service recently issued an Expression of Interest opportunity to Councils requesting them to consider a partnership approach with DAERA to developing and enhancing Forest Park and Community Trails in their area. After the completion of an initial eligibility check eight projects to the value of £1.3m (DAERA grant aid of circa £1m) have been approved and sponsor Councils have been asked to develop a comprehensive Business Case for their proposed scheme(s). This bid will support the delivery of these health and well-being initiatives, tackle local poverty and isolation issues, encourage visitors and address recreational opportunity deficits in rural areas. Increasing the number of indigenous tourists and 'staycations' would increase the economic value of local tourism. The funding would assist tourist operators develop their online presence, attracting more visitors and facilitate online reservations.</p>
DAERA	30/07/20	Supporting Rural Businesses and Communities	Covid Bid	Other COVID-19	Capital	2.00		-2.00	<p>A recent evaluation undertaken by the Councils and work undertaken to develop a Business Case has strongly indicated the need to provide grant aid funding to rural businesses to help them recover from the effects of the pandemic. In order for rural businesses to survive and thrive they require grant aid to develop new ways of working, provide sanitisation equipment, and safety measures and make necessary modifications to their premises to secure the confidence of their customers. In some cases businesses will also take the opportunity to expand and grow and this grant aid opportunity will allow them the flexibility to address their various needs at this time. Therefore providing grant aid (50%) at this time would help build economic confidence in rural businesses, assist in the economic recovery both locally and regionally and would provide a welcome boost to local businesses by virtue of the purchases and investments made.</p> <p>The COVID-19 pandemic has indicated how important it is for citizens across Northern Ireland to have access to open spaces such as forest parks and local community trails. Safe off road trails were extremely well utilised by people of all ages during the pandemic as they participated in exercise that was very important for their physical and mental health well-being. A recent survey undertaken by Outdoor Recreation Northern Ireland (ORNI) substantiated this view and also indicated the need for significant developments in this area. This bid for eight projects would support the delivery of these health and well-being initiatives, tackle local poverty and isolation issues, encourage visitors and address recreational opportunity deficits in rural areas. Funding to help take this forward has been found within DAERA.</p>
DAERA	30/07/20	Support Environmental Organisations	Covid Bid	Other COVID-19	Capital	0.70		-0.70	This bid would promote the green economy and provide strategic sectoral support to the environmental not for profit sector which has been impacted by COVID-19 both financially and in terms of business operability. The funding would also be distributed through the DAERA Environment Fund to support the creation and maintenance of outdoor recreation places and products. Funding to help take this forward has been found within DAERA.
DAERA	14/09/20	Council Emergency Funding for Waste	Covid Bid	Other COVID-19	Resource	11.40	11.40	0.00	This funding would build on the £3.8m previously allocated by the Executive (above) to meet anticipated costs until the end of the 2020-21 financial year.
DoF	06/10/20	Support Scheme for Hospitality Businesses (in Derry City & Strabane local Government District) directly affected by new Executive regulations announced from 5th October 2020	Covid Bid	Other COVID-19	Resource	3.50	3.50	0.00	On 5 October, were introduced measures to reduce the accelerating transmission of the Covid virus in the Derry City and Strabane District Council Area. The scheme will support the businesses directly affected by the new restrictions. The support will initially provide eligible businesses with support for two weeks. If the restrictions are extended for a longer period of time, further payments will be made for each additional week that the regulations apply. The support may also be extended to other geographical areas if the restrictions are imposed more widely. The £3.5 million bid is an initial amount to cover the estimated cost of providing the support in four council areas for four weeks in each. Further bids will be made if the restrictions and support payments are extended further than this.
DfE	June	Higher Education Commercial Research	Covid Bid	June Monitoring	Resource	10.74	1.00	-9.74	Bid increased in subsequent exercises but was partly funded in Summer Allocation Exercise Part 2. Any consequences to shortfall outlined against most recent bid.
DfE	June	Higher Education Teaching Grant	Covid Bid	June Monitoring	Resource	1.57	1.50	-0.07	N/A bid was resubmitted in subsequent exercises and has since been funded internally.
DfE	June	Invest NI – SME Process and Organisational Improvement Grant Support	Covid Bid	June Monitoring	Resource	1.50	1.35	-0.15	No impact - demand led scheme shortfall will be managed within funding allocated.
DfE	June	Invest NI Communication Campaigns	Covid Bid	June Monitoring	Resource	0.88	0.88	0.00	N/A
DfE	June	FTC Invest NI	Covid Bid	June Monitoring	FTC	10.00	10.00	0.00	N/A
DfE	June	FTC Tourism NI	Covid Bid	June Monitoring	FTC	10.00	10.00	0.00	N/A
DfE	June	Further Education - End Year Flexibility	Non-Covid Bid	June Monitoring	Capital	0.80	-	-0.80	N/A bid was resubmitted in subsequent exercise and any consequences to shortfall outlined against most recent bid.
DfE	June	Higher Education - Greater Belfast Development	Non-Covid Bid	June Monitoring	Capital	25.00	25.00	0.00	N/A
DfE	June	Further Education Colleges - Depreciation	Covid Bid	June Monitoring	Resource D/I	10.76	3.20	-7.56	Inescapable Pressure has been resubmitted in October Monitoring.
DfE	August	Fast Start: Northern Ireland Companies in the Blue Zone	Covid Bid	COVID-19 exercise	Resource	2.50	-	-2.50	N/A was resubmitted in Summer Allocation Exercise in Assistance to Business Bid and was successful.
DfE	August	Catalyst Co-Founders Initiative	Covid Bid	COVID-19 exercise	Resource	0.25	-	-0.25	N/A was resubmitted in Summer Allocation Exercise in Assistance to Business Bid and was successful.
DfE	August	Support for University Research and Development	Covid Bid	COVID-19 exercise	Resource	13.00	-	-13.00	Partly funded in Summer Allocation Exercise Part 2. Any consequences to shortfall outlined against most recent bid.
DfE	August	Additional Corporate Function Related Costs to Ensure the DfE Emergency Response to COVID-19	Covid Bid	COVID-19 exercise	Resource	0.32	-	-0.32	N/A bid was resubmitted in subsequent exercises and has since been funded internally.
DfE	August	Challenge Fund	Covid Bid	COVID-19 exercise	Resource	0.50	0.50	0.00	N/A

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DfE	August	All Age Apprenticeships	Covid Bid	COVID-19 exercise	Resource	1.30	-	-1.30	Withdrawn as could not be achieved within timeframe.
DfE	August	Youth Training Demand Pressure	Covid Bid	COVID-19 exercise	Resource	3.50	-	-3.50	N/A was resubmitted in Summer Allocation Exercise in Skills and Youth Training Bid and was successful.
DfE	August	Training for Success Increased Demand and Extended Age Eligibility	Covid Bid	COVID-19 exercise	Resource	5.00	-	-5.00	N/A was resubmitted in Summer Allocation Exercise in Skills and Youth Training Bid and was successful.
DfE	August	Advanced Vocational and Technical Award at Level 3	Covid Bid	COVID-19 exercise	Resource	0.30	-	-0.30	Withdrawn as could not be achieved within timeframe.
DfE	August	Hydrogen Economy – Electrolyser Factory and Power to X Portfolio	Covid Bid	COVID-19 exercise	Resource	1.35	-	-1.35	N/A was resubmitted in Summer Allocation Exercise and was successful.
DfE	August	Hydrogen Economy – Electrolyser Factory and Power to X Portfolio	Covid Bid	COVID-19 exercise	Capital	4.83	-	-4.83	N/A funded internally.
DfE	August	COVID Digital Innovation and Productivity Programme	Covid Bid	COVID-19 exercise	Resource	0.25	-	-0.25	N/A revised bid was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.
DfE	August	Robust Research, Insights and Market Intelligence Programme	Covid Bid	COVID-19 exercise	Resource	0.23	-	-0.23	N/A revised bid was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.
DfE	August	Short Term Skills Interventions	Covid Bid	COVID-19 exercise	Resource	3.00	-	-3.00	N/A was resubmitted in Summer Allocation Exercise in Skills and Youth Bid and was successful.
DfE	August	Additional Budget for Skills Focus Programme	Covid Bid	COVID-19 exercise	Resource	0.30	-	-0.30	N/A was resubmitted in Summer Allocation Exercise in Skills and Youth Bid and was successful.
DfE	August	Screen Industry Reboot	Covid Bid	COVID-19 exercise	Resource	0.40	-	-0.40	N/A was resubmitted in Summer Allocation Exercise in Assistance to Business Bid and was successful.
DfE	August	Additional Funding for Invest NI Innovation Support Schemes	Covid Bid	COVID-19 exercise	Resource	3.10	-	-3.10	N/A was resubmitted in Summer Allocation Exercise in Assistance to Business Bid and was successful.
DfE	August	Additional Support under Invest NI Skills Intervention Programme including Skills for Growth and Skills Advancement Grant	Covid Bid	COVID-19 exercise	Resource	2.30	-	-2.30	N/A was resubmitted in Summer Allocation Exercise in Assistance to Business Bid and was successful.
DfE	August	Additional Funding for the InnovateUs Programme	Covid Bid	COVID-19 exercise	Resource	0.30	-	-0.30	N/A was resubmitted in Summer Allocation Exercise in Skills and Youth Bid and was successful.
DfE	August	COVID International Market Access Programme	Covid Bid	COVID-19 exercise	Resource	0.20	-	-0.20	N/A was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.
DfE	August	COVID Market Led Product Development Programme	Covid Bid	COVID-19 exercise	Resource	1.50	-	-1.50	N/A revised bid was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.
DfE	August	COVID Recovery – Tour Operator and Destination Management Company Support	Covid Bid	COVID-19 exercise	Resource	0.55	-	-0.55	N/A revised bid was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.
DfE	August	Select Financial Assistance Project Acceleration	Covid Bid	COVID-19 exercise	Resource	2.50	-	-2.50	N/A revised bid was resubmitted in Summer Allocation Exercise in Further Assistance to Business and any consequences to shortfall outlined against most recent October Monitoring bid.
DfE	August	Select Financial Assistance Project Acceleration	Covid Bid	COVID-19 exercise	Capital	0.30	-	-0.30	N/A funded internally.
DfE	August	Additional Staffing to Provide Post-furlough Employment Support	Covid Bid	COVID-19 exercise	Resource	0.20	-	-0.20	N/A following unsuccessful resubmission of bids has had to be funded internally.
DfE	August	Additional Teaching Grant – 5% Phased Increase to Maximum Student Numbers	Covid Bid	COVID-19 exercise	Resource	0.07	-	-0.07	N/A following unsuccessful resubmission of bids has had to be funded internally.
DfE	August	Additional Staffing for Industrial Tribunals	Covid Bid	COVID-19 exercise	Resource	0.15	-	-0.15	N/A following unsuccessful resubmission of bids has had to be funded internally.
DfE	August	Great Britain – Rebuilding Over The Winter and Stimulating Demand for 2021	Covid Bid	COVID-19 exercise	Resource	6.00	-	-6.00	N/A revised bid partly funded in Summer Allocation Exercise. Resubmitted in subsequent exercise in Further Assistance to Tourism and any consequences to shortfall outlined against most recent October Monitoring bid.
DfE	August	Mainland Europe – Restarting Business from Germany, France and The Netherlands	Covid Bid	COVID-19 exercise	Resource	2.50	-	-2.50	N/A partly funded in Summer Allocation Exercise with revised bid resubmitted in subsequent exercise in Further Assistance to Tourism and any consequences to shortfall outlined against most recent October Monitoring bid.
DfE	August	Northern Ireland Industry and Overseas Trade Partners Driving Recovery	Covid Bid	COVID-19 exercise	Resource	1.50	-	-1.50	N/A partly funded in Summer Allocation Exercise with revised bid resubmitted in subsequent exercise in Further Assistance to Tourism and any consequences to shortfall outlined against most recent October Monitoring bid.
DfE	August	Regional Skills Hubs	Covid Bid	COVID-19 exercise	Resource	0.50	-	-0.50	Withdrawn as could not be achieved within timeframe.
DfE	August	Small Business Accelerator	Covid Bid	COVID-19 exercise	Resource	0.38	-	-0.38	N/A bid resubmitted in subsequent exercise under Further Assistance to Business and any consequences to shortfall outlined against most recent bid October Monitoring Bid.
DfE	August	Extension of European Social Fund	Covid Bid	COVID-19 exercise	Resource	22.60	-	-22.60	Withdrawn due to size and that it would not have provided an immediate impact.
DfE	August	COVID Recovery – Business Tourism and Conference Support	Covid Bid	COVID-19 exercise	Resource	0.90	-	-0.90	N/A Revised bid resubmitted in subsequent exercise in Further Assistance to Tourism and any consequences to shortfall outlined against most recent October Monitoring bid.
DfE	August	Project Spring	Covid Bid	COVID-19 exercise	Resource	1.00	-	-1.00	N/A revised bid resubmitted in subsequent exercise and any consequences to shortfall outlined against most recent bid.
DfE	August	Increasing Northern Ireland Consumer Confidence	Covid Bid	COVID-19 exercise	Resource	0.24	-	-0.24	N/A following unsuccessful resubmission of bids was funded internally.
DfE	August	Stranmillis Resource Pressure – Loss of Income due to COVID-19	Covid Bid	COVID-19 exercise	Resource	1.11	-	-1.11	N/A following unsuccessful resubmission of bids was funded internally.
DfE	August	Additional Teaching Grant – Funding to Support Part Time Provision at Open University	Covid Bid	COVID-19 exercise	Resource	0.75	-	-0.75	N/A following unsuccessful resubmission of bids was funded internally.
DfE	August	COVID-19 Related Additional Expenditure To Provide Safe Learning Environment	Covid Bid	COVID-19 exercise	Resource	6.51	5.50	-1.01	N/A revised bid resubmitted in Summer Allocation Exercise and was successful.
DfE	August	Free School Meals Allowances Pressure	Covid Bid	COVID-19 exercise	Resource	0.43	0.40	-0.03	N/A revised bid resubmitted in subsequent exercise and any consequences to shortfall outlined against most recent bid.
DfE	August	Loss of Income Due to COVID-19	Covid Bid	COVID-19 exercise	Resource	3.63	-	-3.63	N/A revised bid resubmitted in subsequent exercise and any consequences to shortfall outlined against most recent bid.
DfE	August	Retain your Apprentice – Return, Retention, Result	Covid Bid	COVID-19 exercise	Resource	8.40	8.40	0.00	N/A
DfE	August	Incentives to Support the Recruitment of New Apprenticeships	Covid Bid	COVID-19 exercise	Resource	12.50	8.30	-4.20	N/A this bid was subsequently reduced to £8.3m.
DfE	August	A COVID Recovery Heavyweight Consumer Advertising Programme Across Northern Ireland and the Republic of Ireland	Covid Bid	COVID-19 exercise	Resource	4.00	-	-4.00	N/A revised bid was resubmitted in Summer Allocation Exercise in Assistance to Tourism and was successful.

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DfE	August	Stimulating Air Access Restoration	Covid Bid	COVID-19 exercise	Resource	2.00	-	-2.00	N/A revised bid was resubmitted in Summer Allocation Exercise is Assistance to Tourism Bid and was successful.
DfE	August	COVID Holiday At Home Vouchers Campaign	Covid Bid	COVID-19 exercise	Resource	1.30	-	-1.30	N/A bid was resubmitted in Summer Allocation Exercise Assistance in Assistance to Tourism and was successful.
DfE	August	Promotion of "We're Good to Go" Industry Standard and Consumer Mark	Covid Bid	COVID-19 exercise	Resource	0.35	-	-0.35	N/A bid was resubmitted in Summer Allocation Exercise Assistance in Assistance to Tourism and was successful.
DfE	September	Assistance to Business	Covid Bid	COVID-19 exercise	Resource	8.55	8.50	-0.05	N/A
DfE	September	Skills and Youth Training	Covid Bid	COVID-19 exercise	Resource	12.10	8.35	-3.75	N/A bid was revised by £3.75m before allocation.
DfE	September	Assistance to Tourism	Covid Bid	COVID-19 exercise	Resource	9.85	9.85	0.00	N/A
DfE	September	Hydrogen Economy – Electrolyser Factory and Power to X Portfolio	Covid Bid	COVID-19 exercise	Resource	1.35	1.35	0.00	N/A
DfE	September	Support for University Research and Development	Covid Bid	COVID-19 exercise	Resource	13.00	5.80	-7.20	N/A bid resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
DfE	September	Further Assistance to Business	Covid Bid	COVID-19 exercise	Resource	2.88	-	-2.88	N/A bid resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
DfE	September	Assistance to Tourism	Covid Bid	COVID-19 exercise	Resource	5.90	1.85	-4.05	N/A bid reduced due to additional restrictions and resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
DfE	September	Additional Staffing	Covid Bid	COVID-19 exercise	Resource	0.35	-	-0.35	N/A funded internally.
DfE	September	Extension of European Social Fund	Covid Bid	COVID-19 exercise	Resource	22.60	-	-22.60	Withdrawn due to size and that it would not have provided an immediate impact.
DfE	September	Additional Teaching Grant – 5% Phased Increase to Maximum Student Numbers	Covid Bid	COVID-19 exercise	Resource	0.07	-	-0.07	N/A funded internally.
DfE	September	Increasing Northern Ireland Consumer Confidence	Covid Bid	COVID-19 exercise	Resource	0.24	-	-0.24	N/A funded internally.
DfE	September	COVID Cruise Hub Investment	Covid Bid	COVID-19 exercise	Resource	0.07	-	-0.07	Withdrawn as cannot be delivered in light of ongoing restrictions.
DfE	September	Project Spring	Covid Bid	COVID-19 exercise	Resource	1.00	-	-1.00	N/A bid resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
DfE	September	COVID-19 Related Additional Expenditure To Provide Safe Learning Environment	Covid Bid	COVID-19 exercise	Resource	1.00	1.00	0.00	N/A
DfE	September	Stranmillis College Loss of Income due to COVID-19	Covid Bid	COVID-19 exercise	Resource	1.11	-	-1.11	N/A funded internally.
DfE	September	Additional Teaching Grant – Funding to Support Part Time Provision at Open University	Covid Bid	COVID-19 exercise	Resource	0.75	-	-0.75	N/A funded internally.
DfE	September	Loss of Income Due to COVID-19	Covid Bid	COVID-19 exercise	Resource	3.50	-	-3.50	N/A bid resubmitted in October Monitoring and any consequences to shortfall outlined against most recent bid.
DfE	September	Additional Corporate Function Related Costs to Ensure the DfE Emergency Response to Covid-19	Covid Bid	COVID-19 exercise	Resource	0.31	-	-0.31	N/A funded internally.
DfE	September	Additional Higher Education Teaching Grant due to Change in Policy on Awarding of A-Level Results in 2020	Covid Bid	COVID-19 exercise	Resource	3.18	3.18	0.00	N/A
DfE	September	Additional Exercise - Higher Education Safe Learning Environment	Covid Bid	COVID-19 exercise	Resource	2.50	2.50	0.00	N/A
DfE	October	Support for University Research and Development	Covid Bid	October Monitoring	Resource	7.20	-	-7.20	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.
DfE	October	Further Assistance to Business	Covid Bid	October Monitoring	Resource	2.88	-	-2.88	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.
DfE	October	Assistance to Tourism	Covid Bid	October Monitoring	Resource	0.94	-	-0.94	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.
DfE	October	Project Spring	Covid Bid	October Monitoring	Resource	1.00	-	-1.00	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.
DfE	October	Loss of Income due to COVID-19	Covid Bid	October Monitoring	Resource	2.50	2.50	0.00	N/A fully met in October Monitoring.
DfE	October	Free School Meals Allowances Pressure Term 1	Covid Bid	October Monitoring	Resource	0.33	0.33	0.00	N/A fully met in October Monitoring.
DfE	October	Invest NI - Increase in Grant Assistance	Non-Covid Bid	October Monitoring	Resource	6.00	-	-6.00	This bid was not met. DfE is working with the business area to determine suitable mitigations to manage the funding pressure, either by reducing the amount of funding required, re-prioritising budgets from other business areas or by bidding for additional funding as part of January Monitoring.
DfE	October	Invest NI - Expected Credit Loss	Non-Covid Bid	October Monitoring	Resource	9.00	-	-9.00	The Finance Minister provided assurance that HM Treasury (HMT) officials have provided a commitment that funding will be provided for existing loans in 2020-21. We would therefore expect to secure funding for this pressure in January monitoring.
DfE	October	Further Education End Year Flexibility	Non-Covid Bid	October Monitoring	Resource	0.81	-	-0.81	It is anticipated this will be met at January Monitoring.
DfE	October	Additional Research Funding for Queen's University Belfast and Ulster University	Non-Covid Bid	October Monitoring	Resource	8.05	-	-8.05	This bid was unsuccessful and will be reconsidered as part of January Monitoring.
DfE	October	Further Education - Depreciation	Covid Bid	October Monitoring	Resource D/I	7.66	1.80	-5.86	This is ring-fenced funding, this will be bid for at January Monitoring.
DfE	October	Project Stratum - Expenditure Earlier than Anticipated	Non-Covid Bid	October Monitoring	Capital	6.10	-	-6.10	HM Treasury has confirmed they will meet the costs of Project Stratum therefore it is anticipated this element of funding will be funded in January Monitoring.
DE	24/03/20	Direct Payment to Families - Free School Meals	Covid Bid	Other COVID-19	Resource	29.07	18.90	-10.17	Funding of £18.9m was approved. The remaining pressure was reassessed and resubmitted - see row 20.
DE	24/03/20	Extension of Free School Meals to Youth	Covid Bid	Other COVID-19	Resource	0.62	0.40	-0.22	Funding of £0.4m was provided to cover the period March-June.
DE	31/03/20	Emergency Childcare Provisions	Covid Bid	Other COVID-19	Resource	24.90	12.00	-12.90	Funding of £12m was approved. The remaining pressure was reassessed and resubmitted - see row 14.
DE	07/04/20	Substitute Teachers	Covid Bid	Other COVID-19	Resource	14.00	4.00	-10.00	The £4m allocated was surrendered to DoF as it was no longer required, and £8m was set aside from within DE's budget but not all of this was needed, therefore no shortfall.

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DE	05/06/20	Childcare Strategy	Non-Covid Bid	June Monitoring	Resource	6.00	-	-6.00	Without the necessary funding required, it will be difficult to develop the Childcare Strategy in 2020-21. The pressure was reassessed and resubmitted - see row 36.
DE	05/06/20	Education Transformation Programme	Non-Covid Bid	June Monitoring	Resource	2.80	-	-2.80	A lack of funding would severely constrain the Programme's ability to recommence at pace and at the scale at which it was functioning previously before the Covid pandemic. The pressure was reassessed and resubmitted - see row 39.
DE	05/06/20	Mental Health & Emotional Wellbeing Framework	Non-Covid Bid	June Monitoring	Resource	5.00	-	-5.00	Without the necessary funding required, it will be difficult to develop the Mental Health and Wellbeing Framework.
DE	05/06/20	EA Budget Pressures - Rates	Non-Covid Bid	June Monitoring	Resource	5.30	3.10	-2.20	No additional funding would result in the EA incurring significant additional costs in its block grant budget which may mean resources will have to be diverted from key services to meet this inescapable pressure. A bid for the shortfall of £2.2m has been submitted at October Monitoring - see row 37.
DE	05/06/20	Schools Maintenance	Non-Covid Bid	June Monitoring	Resource	4.00	-	-4.00	This pressure is to address statutory requirements and inescapable reactive maintenance work. The pressure was reassessed and resubmitted - see row 34.
DE	05/06/20	Teaching & Non-Teaching Pay Awards	Non-Covid Bid	June Monitoring	Resource	32.00	-	-32.00	The Teaching Pay award is contractual in nature and will be incurred regardless of whether additional funding is secured. There is limited scope for self-funding from within the existing DE budget. The pressure was reassessed and resubmitted - see row 33.
DE	05/06/20	Voluntary Exit Scheme	Non-Covid Bid	June Monitoring	Resource	2.50	-	-2.50	This pressure is to enable schools and employing authorities to reduce staffing numbers to achieve the efficiencies that will be required to remain within budget. The pressure was reassessed and resubmitted - see row 35.
DE	05/06/20	Boarding Schools	Covid Bid	Other COVID-19	Resource	1.60	-	-1.60	The pressure relates to a loss of income following the Executive's decision to close schools. The pressure was reassessed and resubmitted - see row 44.
DE	05/06/20	Childcare	Covid Bid	Other COVID-19	Resource	13.00	10.50	-2.50	Funding of £10.5m was approved for Emergency Childcare Provision during the Summer.
DE	19/06/20	Summer Intervention & to Support Children and Learning	Covid Bid	Other COVID-19	Resource	12.80	12.40	-0.40	Funding of £12.4m was approved. The remaining unfunded pressure of £0.4m is no longer required.
DE	27/05/20	EA Maintenance	Covid Bid	Other COVID-19	Resource	2.80	-	-2.80	This pressure includes additional costs to cover water cleansing, additional response costs for maintenance staff to apply government guidance on Covid-19, including the use of PPE. The pressure was reassessed and resubmitted - see row 50.
DE	05/06/20	EA Pressures	Covid Bid	Other COVID-19	Resource	7.00	0.50	-6.50	This pressure is for additional costs for cleaning including soap, anti-bacterial and other cleaning materials and the requirement for extended cleaning in schools £0.5m pressure met for PPE.
DE	05/06/20	Education Restart Programme Initial Staff Costs	Covid Bid	Other COVID-19	Resource	0.80	-	-0.80	The core objective of the newly established Education Restart Programme is to ensure that the education system can restart in a safe and effective way. The pressure was reassessed and resubmitted - see row 48.
DE	19/06/20	Free School Meals (Summer)	Covid Bid	Other COVID-19	Resource	12.20	12.20	0.00	Funding of £12.2m was approved to fund Free School Meals over the Summer months.
DE	05/06/20	Free School Meals (Sept-Oct)	Covid Bid	Other COVID-19	Resource	9.70	-	-9.70	Resubmission of unfunded in-year pressure - see row 2. The pressure has been reassessed from £10.17m to £9.7m.
DE	07/04/20	Pathway	Covid Bid	Other COVID-19	Resource	0.70	-	-0.70	Continuation funding to providers of Early Years education and care in 2020/21. The pressure was reassessed and resubmitted - see row 46.
DE	25/03/20	Preparatory Schools	Covid Bid	Other COVID-19	Resource	2.30	-	-2.30	The pressure relates to a loss of income following the Executive's decision to close schools. The pressure was reassessed and resubmitted - see row 43.
DE	05/06/20	School Uniform Grants	Covid Bid	Other COVID-19	Resource	4.00	0.30	-3.70	£0.3m pressure met for School Uniform Grants. The unfunded pressure of £3.7m was resubmitted - see row 47.
DE	01/04/20	VG/GMI Canteen	Covid Bid	Other COVID-19	Resource	1.70	-	-1.70	The pressure relates to a loss of income following the Executive's decision to close schools.
DE	29/07/20	Schools Reopening	Covid Bid	Other COVID-19	Resource	40.40	35.00	-5.40	Funding of £35m was approved to cover additional costs to re-opening schools.
DE	29/07/20	Restart PPE	Covid Bid	Other COVID-19	Resource	6.40	6.40	0.00	Funding to provide PPE and other resources for teaching staff, non-teaching staff and pupils for EA Services (Youth, Schools and Special Schools).
DE	29/07/20	Free School Meals	Covid Bid	Other COVID-19	Resource	5.70	0.60	-5.10	Funding of £0.6m was approved in the Executive paper of 13th August.
DE	01/09/20	PPE	Covid Bid	Other COVID-19	Resource	19.20	19.20	0.00	Funding of £19.2m was approved.
DE	01/09/20	Schools	Covid Bid	Other COVID-19	Resource	17.90	8.00	-9.90	Outstanding School Restart Pressures.
DE	01/09/20	Non Statutory Pre-School Settings	Covid Bid	Other COVID-19	Resource	2.80	1.00	-1.80	Funding of £1m was approved. The remaining pressure was reassessed and resubmitted - see row 53.
DE	29/07/20	SEN	Covid Bid	Other COVID-19	Resource	1.90	0.20	-1.70	This bid covers potential sub cover for both teaching and non-teaching staff based in Special Schools who are unable to work due to COVID-19. Funding of £0.2m was approved.
DE	05/10/20	Education Authority (EA) Pressures (including Special Educational Needs (SEN))	Non-Covid Bid	October Monitoring	Resource	25.00	11.80	-13.20	The £25m pressure relates to SEN, Other Inescapable EA pressures & Schools Contingency under the Common Funding Scheme.
DE	05/10/20	Teaching & Non-Teaching Pay Awards	Non-Covid Bid	October Monitoring	Resource	32.00	-	-32.00	The Teaching Pay award is contractual in nature and will be incurred regardless of whether additional funding is secured
DE	05/10/20	Schools Maintenance	Non-Covid Bid	October Monitoring	Resource	4.00	1.00	-3.00	This pressure is to address statutory requirements and inescapable reactive maintenance work.
DE	05/10/20	Voluntary Exit Scheme	Non-Covid Bid	October Monitoring	Resource	2.50	-	-2.50	This pressure is to enable schools and employing authorities to reduce staffing numbers to achieve the efficiencies that will be required to remain within budget.
DE	05/10/20	Childcare Strategy	Non-Covid Bid	October Monitoring	Resource	0.50	-	-0.50	This pressure has been revised down to £500k from the previous bid of £6m. This is required to cover admin/salary costs for the DE Team that will be required to take forward partial Strategy implementation and the scoping/development of new extended early years and care offer as agreed in the NDNA agreement.
DE	05/10/20	Rates	Non-Covid Bid	October Monitoring	Resource	2.20	-	-2.20	A residual Rates pressure of £2.2m remains. As Rates are funded through the EA, this pressure will impact on the EA block grant rather than on individual schools. As the residual £2.2m pressure continues to be inescapable, it is has been re-submitted as a bid in this monitoring round
DE	05/10/20	Departmental Staffing and increased Legal Costs	Covid Bid	October Monitoring	Resource	1.00	-	-1.00	This pressure relates primarily to increased legal costs as a result of indemnities which were required to be put in place to support COVID-19 responses and an increase to the holiday pay accrual
DE	05/10/20	Education Transformation Programme	Non-Covid Bid	October Monitoring	Resource	2.40	-	-2.40	A lack of funding would severely constrain the Programme's ability to recommence at pace and at the scale at which it was functioning previously before the Covid pandemic
DE	05/10/20	Inescapable Depreciation/Impairments Pressures	Covid Bid	October Monitoring	Resource	0.10	-	-0.10	The pressure is to address inescapable ring fenced depreciation/impairment pressures.
DE	20/10/20	Response Measure Childcare Sector Funding Support (Sept to Dec)	Covid Bid	October Monitoring	Resource	14.30	8.50	-5.80	This pressure is associated with the continuation of the Childcare Recovery Support Fund from September to December.
DE	20/10/20	Response Measure School Meals	Covid Bid	October Monitoring	Resource	9.70	9.70	0.00	The pressure Includes: loss of Income; absent FSM children; transportation of FSM; staff overtime; disposables; bottled water; less estimated food savings
DE	20/10/20	Response Measure Preparatory Schools	Covid Bid	October Monitoring	Resource	0.80	-	-0.80	This pressure is to address lost income for Quarter one.

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DE	20/10/20	Response Measure Boarding Schools	Covid Bid	October Monitoring	Resource	1.30		-1.30	This pressure is to address lost income for Quarter one.
DE	20/10/20	Response Measure Other Voluntary Grammar (VG) / Grant Maintained Integrated (GMI) Lost Income	Covid Bid	October Monitoring	Resource	0.60	0.20	-0.40	This pressure is to address lost income for Quarter one.
DE	20/10/20	Response Measure Pathway Fund	Covid Bid	October Monitoring	Resource	0.50	0.50	0.00	Resubmission of unfunded in-year pressure - see row 21. The pressure has been reassessed from £0.7m to £0.5m.
DE	20/10/20	Response Measure School Uniform Grants	Covid Bid	October Monitoring	Resource	3.70	3.70	0.00	This pressure is to provide financial assistance for eligible families to help pay for school uniforms.
DE	20/10/20	Response Measure Education Education Restart Programme Initial Staff Costs	Covid Bid	October Monitoring	Resource	0.50	0.50	0.00	This pressure is to cover staff costs for the for the Education Restart Programme
DE	20/10/20	Response Measure EA Quarter 1 Block Grant	Covid Bid	October Monitoring	Resource	8.80	5.90	-2.90	This pressure is for additional costs for cleaning including soap, anti-bacterial and other cleaning materials and the requirement for extended cleaning in schools.
DE	20/10/20	Response Measure Schools' Maintenance	Covid Bid	October Monitoring	Resource	2.40	0.80	-1.60	This pressure includes additional costs to cover water cleansing, additional response costs for maintenance staff to apply government guidance on Covid-19, including the use of PPE
DE	20/10/20	Response Measure Holiday Food payments Oct and Dec	Covid Bid	October Monitoring	Resource	4.10		-4.10	This is a new pressure identified should partial lockdown/circuit breakers be introduced during the Halloween and Christmas breaks.
DE	20/10/20	Education Restart Schools Pressures	Covid Bid	October Monitoring	Resource	12.40	10.00	-2.40	This pressure is to address Schools Delegated and Non-Delegated Teaching and Non-Teaching Substitution Costs, Schools Delegated budgets, SEN-Special Schools only, Voluntary Grammar (VG) / Grant Maintained Integrated (GMI) Schools.
DE	20/10/20	Education Restart Non-Statutory Pre-School Settings	Covid Bid	October Monitoring	Resource	1.80	1.80	0.00	Residual pressures associated with the requirement for social distancing in nursery settings.
DE	20/10/20	Education Restart School Meals: Free School Meals (FSM) and Loss of Income	Covid Bid	October Monitoring	Resource	8.20	4.80	-3.40	This pressure still remains as uptake of paid meals has reduced significantly and income will be reduced as a result. Also staff overtime is needed for additional duties e.g. to provide meals in classrooms. Food parcels are being provided for increasing numbers of pupils unable to attend school due to COVID
DE	20/10/20	Education Restart Cleaning and Maintenance	Covid Bid	October Monitoring	Resource	1.10	1.10	0.00	This pressure includes cleaning chemicals and additional staff for cleaning. Maintenance pressure relates to loss of revenue attributed to staff related Covid-19 absence and the subsequent reduction in service delivery, due to the requirement to implement social distancing whilst travelling to schools and undertaking works
DE	20/10/20	Education Restart Childcare	Covid Bid	October Monitoring	Resource	2.80	2.80	0.00	For the period Sept onwards there will still be some outstanding issues that will impact on the sustainability of Childcare providers and therefore will require ongoing support.
DE	20/10/20	Education Restart C2K – Standards & Learning	Covid Bid	October Monitoring	Resource	0.50	0.50	0.00	This pressure is to address resources and upgrades specifically in relation to the online learning element of blended learning provision and tools to assist schools in communicating and providing services online where physical contact remains challenging, including increasing bandwidth in some schools, upgrading to existing and the introduction of new software packages and training resource to support schools in the roll out and delivery of blended learning.
DfC	June	Covid-19 - Discretionary Support Scheme	Covid Bid	June Monitoring	Resource	5.00	5.00	0.00	Discretionary Support funding provides a range of short term financial support for people during times of crisis or other exceptional need. This allocation extends the provision of emergency financial support to people affected by the COVID-19 outbreak.
DfC	June	Covid-19 - Supporting People Scheme	Covid Bid	June Monitoring	Resource	10.00	10.00	0.00	The objectives of the Supporting People Scheme is to provide support to the Supporting People Providers who have incurred additional costs and reduced income as a result of Covid-19.
DfC	June	Covid-19 - Homelessness Scheme	Covid Bid	June Monitoring	Resource	7.04	7.04	0.00	The purpose of this allocation is to safeguard as many homeless people as we can from COVID-19, and to provide accommodation to those on the streets to protect their health and stop wider transmission.
DfC	June	Covid-19 - Grants to Local Councils	Covid Bid	June Monitoring	Resource	20.30	20.30	0.00	Local Councils have had to close the majority of their services due to COVID-19 resulting in a very significant drop in income and placing an unsustainable pressure on already fragile Council finances. This financial support will allow Local Councils to continue delivering vital services to the public, in the face of lost income and COVID-19 impacts.
DfC	September	Covid-19 - Grants to Local Councils	Covid Bid	Other COVID-19	Resource	40.00	40.00	0.00	Local Councils have had to close the majority of their services due to COVID-19 resulting in a very significant drop in income and placing an unsustainable pressure on already fragile Council finances. This financial support will allow Local Councils to continue delivering vital services to the public, in the face of lost income and COVID-19 impacts.
DfC	June	Covid-19 - Shielding Package for Clinically High Risk	Covid Bid	June Monitoring	Resource	10.00	10.00	0.00	This allocation allows for the establishment and provision of a scheme providing critical food support to vulnerable people isolating during the COVID-19 pandemic and who did not have a ready network of family or friends to bring them food.
DfC	June	Covid-19 - Community Support Fund	Covid Bid	June Monitoring	Resource	6.50	6.50	0.00	This Fund provides additional financial support, via local councils, to grassroots organisations supporting actions in line with three broad criteria specifically related to the coronavirus pandemic; Financial; Food and Connectivity.
DfC	June	Covid-19 – Charitable Grants	Covid Bid	June Monitoring	Resource	15.50	15.50	0.00	This fund will provide essential access to funding to charities who have suffered a loss of income due to Covid-19, unable to meet unavoidable costs, facing imminent closure and unable to access finance from other government funding streams.
DfC	June	Covid-19 – Benefit Delivery Response	Covid Bid	June Monitoring	Resource	5.00	5.00	0.00	The volume of claims and numbers of calls for Universal Credit and Discretionary Support, has increased significantly as direct result of COVID-19. As a result of significant demand, the Department's staff have been required to work overtime to ensure benefits get delivered on time and additional recruitment is being progressed. This funding allocation is meeting the additional costs being incurred in 2020/21 as a result of the significant increases in demand.
DfC	June	Covid-19 – Culture Resilience Fund	Covid Bid	June Monitoring	Resource	4.00	4.00	0.00	DFC is responsible for sponsoring arts, culture and the creativity sector. This funding will provide support to the sector during the Coronavirus outbreak to ensure all relevant bodies can still operate given their loss of income.
DfC	June	Covid-19 – Sport Hardship Fund	Covid Bid	June Monitoring	Resource	2.00	2.00	0.00	This funding will provide financial support to sports clubs, organisations and Governing Bodies across the north, allowing them to continue to pay wages and other overheads in the face of the loss of income experienced as a result of the Covid-19 restrictions.
DfC	June	Covid-19 – NIHE Supporting People PPE	Covid Bid	June Monitoring	Resource	3.50	3.50	0.00	This funding will allow for the provision of PPE for frontline staff in the voluntary and community sector delivering Supporting People services.
DfC	September	Covid-19 – Community, Voluntary & Social Enterprise Sector PPE	Covid Bid	Other COVID-19	Resource	2.50	2.50	0.00	This fund will provide financial support for essential PPE equipment or purchases required to facilitate the reopening of facilities in the Voluntary and Community Sector and Social Enterprise sector in line with government advice.
DfC	September	Covid-19 – Social Enterprise support	Covid Bid	Other COVID-19	Resource	7.00	7.00	0.00	The scheme is designed to support the sustainability of the social economy sector and lead to greater opportunities for social value to be delivered.
DfC	September	Covid-19 – Culture Resilience Scheme	Covid Bid	Other COVID-19	Resource	29.00	29.00	0.00	DFC is responsible for sponsoring arts, culture and the creativity sector. This funding will provide support to the sector during the Coronavirus outbreak to ensure all relevant bodies can still operate given their loss of income.
DfC	October	Covid-19 – Emergency Community Support Fund	Covid Bid	October Monitoring	Resource	5.00	3.00	-2.00	This Fund will ensure the voluntary community sector can continue their key role in providing direct support to grassroots community organisations for the remainder of the financial year.
DfC	October	Covid-19 – Food Packages	Covid Bid	October Monitoring	Resource	3.50	3.50	0.00	This allocation allows for the establishment and provision of a scheme providing critical food support to vulnerable people isolating during the COVID-19 pandemic and who did not have a ready network of family or friends to bring them food.
DfC	October	Covid-19 - Grants to Local Councils	Covid Bid	October Monitoring	Resource	25.00	15.00	-10.00	Local Councils have had to close the majority of their services due to COVID-19 resulting in a very significant drop in income and placing an unsustainable pressure on already fragile Council finances. This financial support will allow Local Councils to continue delivering vital services to the public, in the face of lost income and COVID-19 impacts.
DfC	October	Covid-19 – Sport	Covid Bid	October Monitoring	Resource	25.00	15.00	-10.00	This funding will provide financial support to sports clubs, organisations and Governing Bodies across the north, allowing them to continue to pay wages and other overheads in the face of the loss of income experienced as a result of the Covid-19 restrictions.
DfC	October	Fuel Poverty/Winter Warmth	Covid Bid	October Monitoring	Resource	2.00	-	-2.00	To protect the most vulnerable from increased risk of Covid 19 due to lack of warmth. The actual funding requirement is currently being assessed and will depend on the finalised policy intent and the target recipient group.

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DfC	October	Community Wellbeing Support	Covid Bid	October Monitoring	Resource	0.50	-	-0.50	To support disadvantaged and vulnerable communities which have been most impacted by Covid 19 including through social responses to support wellbeing and mental health.
DfC	October	Digital Divide	Covid Bid	October Monitoring	Resource	1.00	-	-1.00	To ensure that vulnerable communities are not left behind and isolated due to a lack of connectivity.
TEO	June 2020 Monitoring Round	Victims Payments Scheme Initial Costs. This work had been taken on by TEO without additional budget - the Legislation regarding Victims payments came into force on 29 May 2020	Non-Covid Bid	June Monitoring	Resource	2.5	2.5	-	TEO was able to go forward on the implementation of the scheme and follow legislative requirements.
TEO	June 2020 Monitoring Round	Additional costs arising from the Executive's response to the Covid-19 pandemic	Covid Bid	June Monitoring	Resource	2.7	0.5	-2.20	Some spend on these costs had already taken place, this funding received offset some of this. TEO had to review what programmes would have to be cut if further funding was not met.
TEO	June 2020 Monitoring Round	Drawing down from Delivering Social Change funds to fund the ongoing delivery of the SIF programme.	Non-Covid Bid	June Monitoring	Resource	0.4	-	-0.40	Delivery of SIF programme could be impacted. It was decided to bid again in the October Monitoring Round.
TEO	29/07/2020	Additional costs arising from the Executive's response to the Covid-19 pandemic	Covid Bid	Other COVID-19	Resource	3.3	-	-3.30	No Funding received. TEO had to review what programmes would have to be cut if further funding was not met.
TEO	28/08/2020	Additional costs arising from the Executive's response to the Covid-19 pandemic	Covid Bid	Other COVID-19	Resource	3.4	-	-3.40	No Funding received. TEO had to review what programmes would have to be cut if further funding was not met.
TEO	28/08/2020	FTC loan to the Ulster University (UU) to support its Greater Belfast Development (GBD) project.	Non-Covid Bid	Other COVID-19	Capital	80	80	-	The completion of the UU Greater Belfast Development project will provide the University with modern, fit for purpose teaching facilities that will provide students with the skills they need to fulfil their potential and contribute to economic growth. It will also act as a catalyst for further development of the area
TEO	October 2020 Monitoring Round	Additional costs arising from the Executive's response to the Covid-19 pandemic	Covid Bid	October Monitoring	Resource	3.1	3.1	-	Pressures relating to Covid such as the recovery work and advertisement campaign are now funded
TEO	October 2020 Monitoring Round	Third tranche of FTC funding for the Northern Ireland Investment Fund (NIIF)	Non-Covid Bid	October Monitoring	Capital	30	30	-	The third tranche of FTC funding for the NIIF can proceed.
TEO	October 2020 Monitoring Round	Drawing down from Delivering Social Change funds to fund the ongoing delivery of the SIF programme.	Non-Covid Bid	October Monitoring	Resource	0.3	0.3	-	Work can now be taken forward in SIF.
DOJ	Apr-20	PSNI Maintain Critical Services	Covid Bid	Other COVID-19	Resource	12	8	-4.00	The PSNI's ability to maintain critical services could be impacted. £3.5m was re-bid for in June see below* .
DOJ	Apr-20	PSNI Maintain Critical Services IT	Covid Bid	Other COVID-19	Capital	2	-	-2.00	Cost originally anticipated and no longer required.
DOJ	Apr-20	NIPS Maintain Front Line Services	Covid Bid	Other COVID-19	Resource	2.9	2.4	-0.50	The NI Prison Service's ability to maintain a frontline service, providing stability and mitigating disruption.
DOJ	Apr-20	NIPS New sinks	Covid Bid	Other COVID-19	Capital	0	-	0.00	Cost originally anticipated and no longer required.
DOJ	Apr-20	Justice: Additional body storage facility	Covid Bid	Other COVID-19	Capital	4	-	-4.00	Provision of storage to store bodies in a dignified manner. This pressure was funded from within the Departments existing Capital DEL budget due to delays in other areas due to Covid-19. Pressure reduced to £3m.
DOJ	Apr-20	Justice: Additional body storage facility	Covid Bid	Other COVID-19	Resource	1.6	1.6	0.00	Provision of storage to store bodies in a dignified manner. £0.75 returned during June monitoring
DOJ	Apr-20	DoJ: Working from home	Covid Bid	Other COVID-19	Resource	0.3	-	-0.30	Enabled Business Areas to continue to function as normal and key work streams to be delivered. Staff safety requirements targeted through deep cleaning and cleaning supplies. Met through reductions in costs and internal easements.
DOJ	Apr-20	DoJ: Cleaning/ Supplies	Covid Bid	Other COVID-19	Resource	0.2	-	-0.20	Enabled Business Areas to continue to function as normal and key work streams to be delivered. Staff safety requirements targeted through deep cleaning and cleaning supplies. Met through reductions in costs and internal easements.
DOJ	Apr-20	DoJ: PPE Equipment	Covid Bid	Other COVID-19	Resource	0.1	0.3	0.20	Enabled Business Areas to continue to function as normal and key work streams to be delivered. Staff safety requirements targeted through deep cleaning and cleaning supplies. Met through reductions in costs and internal easements.
DOJ	Apr-20	DoJ: Overtime	Covid Bid	Other COVID-19	Resource	1.6	-	-1.60	Enabled Business Areas to continue to function as normal and key work streams to be delivered. Staff safety requirements targeted through deep cleaning and cleaning supplies. Met through reductions in costs and internal easements.
DOJ	Apr-20	LSA Interim Payments Scheme	Covid Bid	Other COVID-19	Resource	0.9	-	-0.90	Reduction in costs and managed from internal easements.
DOJ	Apr-20	DoJ: Rent - unable to vacate due to Covid-19	Covid Bid	Other COVID-19	Resource	0.1	-	-0.10	Cost originally anticipated no longer required.
DOJ	Apr-20	NICTS Loss of Income	Covid Bid	Other COVID-19	Resource	12.8	-	-12.80	Enabled public services may not be able to be maintained. £1.3m was re-bid for June see below* . Remainder managed by internal easements.
DOJ	Apr-20	FSNI: Loss of income	Covid Bid	Other COVID-19	Resource	0.1	0.1	0.00	FSNI- Loss of income due to travel restrictions. Managed by internal easements
DOJ	Apr-20	DoJ: Working from Home	Covid Bid	Other COVID-19	Capital	0.1	-	-0.10	Cost originally anticipated no longer required.
DOJ	Jun-20	NI Prison Service Maintain Critical Services	Covid Bid	Other COVID-19	Resource	6	5	-1.00	The NI Prison Service's ability to maintain a frontline service, providing stability, mitigating any disruption. Remaining pressure managed by internal easements.
DOJ	Jun-20	PSNI Maintain Critical Services	Covid Bid	Other COVID-19	Resource	4.9	2.4	-2.50	The PSNI's ability to maintain critical services. Remaining pressure managed by internal easements.
DOJ	Jun-20	NICTS Shortfall in Civil Fee Income	Covid Bid	Other COVID-19	Resource	1.3	1	-0.30	Essential services which aid public services delivered. Remaining pressure to be managed by internal easements.
DOJ	Jun-20	Remaining PPE across the DoJ - Various spending areas	Covid Bid	Other COVID-19	Resource	5.1	5.1	-	Ability to maintain critical front-line services.
DOJ	Jul-20	DoJ - Working Time Directive Requirement	Covid Bid	Other COVID-19	Resource	5.6	-	-5.60	Bid withdrawn and managed from internal easements.
DOJ	Jul-20	NI Prison Service - Economic Recovery Capital Bid	Covid Bid	Other COVID-19	Capital	1	-	-1.00	Bid withdrawn and managed from internal easements.
DOJ	Jun-20	PSNI - EU Exit Additional staff Costs	Non-Covid Bid	June Monitoring	Resource	5.3	4.5	-0.80	Bid reduced to £4.5m. Funding for 308 officers and staff.
DOJ	Jun-20	PSNI - EU Exit Capital Infrastructure	Non-Covid Bid	June Monitoring	Capital	1.4	1.1	-0.30	Bid reduced to £1.1m. Mainly IT.
DfI	April 2020	Translink Lost Passenger Revenue	Covid Bid	Other COVID-19	Resource	114.00	30.00	-84.00	Replacing Lost Income due to reduction in passenger numbers
DfI	April 2020	NI Water	Covid Bid	Other COVID-19	Resource	32.00	-	-32.00	Replacing Lost Income due to reduction in billed income from the non-domestic sector and increased costs due to PPE and bad debts
DfI	April 2020	DVA Lost Revenue	Covid Bid	Other COVID-19	Resource	30.70	-	-30.70	Covid 19 has led to the cessation of most services provided by the Driver & Vehicle Agency which has resulted in minimal fee income being collected.
DfI	April 2020	Crumlin Road Gaol Lost Revenue	Covid Bid	Other COVID-19	Resource	0.30	-	-0.30	Major reduction in tourist activity for at least 12 - 18 months and it may take 2- 3 years to get back to normal.

Department	Date	Brief Description of Bid (including required impact)	Type of Bid	Bidding Process	Type of funding	Bid Value (£m)	Amount Allocated (£m)	Shortfall (£m)	Please provide details of the intended and actual impact of the outcome of the bid including, where applicable, how outcomes were achieved in the absence of funding
DfI	April 2020	Rathlin Ferry Lost Revenue	Covid Bid	Other COVID-19	Resource	0.50		-0.50	Due to lost revenue, arising from significantly reduced passenger numbers. It should be noted that this is a PSO to provide the lifeline service to Rathlin.
DfI	April 2020	Strangford Ferry Lost Income	Covid Bid	Other COVID-19	Resource	0.70		-0.70	Reduction in income – customer numbers forecast reduction.
DfI	April 2020	Planning Application Fees - Lost Revenue	Covid Bid	Other COVID-19	Resource	0.10		-0.10	Less planning applications being submitted which decreases the income from Planning Application Fees
DfI	April 2020	On Street Parking Lost Income	Covid Bid	Other COVID-19	Resource	3.00		-3.00	Estimate £2m reduction in enforcement activity for 3-4 months (PCNs) and £1m reduction in on street parking income due to reducing travel by car
DfI	May 2020	Crumlin Road Gaol Lost Revenue	Covid Bid	Other COVID-19	Resource	0.30		-0.30	Major reduction in tourist activity for at least 12 - 18 months and it may take 2- 3 years to get back to normal.
DfI	May 2020	DVA Lost Revenue	Covid Bid	Other COVID-19	Resource	14.30	7.30	-7.00	DVA forecast losses reduced by £5.5m and they met £10m of the pressure from their reserves.
DfI	May 2020	NI Water	Covid Bid	Other COVID-19	Resource	32.50	32.50	0.00	Replacing Lost Income due to reduction in billed income from the non-domestic sector and increased costs due to PPE and bad debts
DfI	May 2020	On Street Parking Lost Income	Covid Bid	Other COVID-19	Resource	4.00	2.00	-2.00	Estimate £2m reduction in enforcement activity for 3-4 months (PCNs) and £1m reduction in on street parking income due to reducing travel by car
DfI	May 2020	Planning Application Fees - Lost Revenue	Covid Bid	Other COVID-19	Resource	0.10		-0.10	Less planning applications being submitted which decreases the income from Planning Application Fees
DfI	May 2020	Rathlin Ferry Lost Revenue	Covid Bid	Other COVID-19	Resource	0.50	0.30	-0.20	Due to lost revenue, arising from significantly reduced passenger numbers. It should be noted that this is a PSO to provide the lifeline service to Rathlin.
DfI	May 2020	Strangford Ferry Lost Income	Covid Bid	Other COVID-19	Resource	0.70	0.40	-0.30	Reduction in income – customer numbers forecast reduction.
DfI	May 2020	Translink Lost Passenger Revenue	Covid Bid	Other COVID-19	Resource	84.00	20.00	-64.00	Replacing Lost Income due to reduction in passenger numbers
DfI	Aug 2020	Translink Lost Passenger Revenue	Covid Bid	Other COVID-19	Resource	47.00	20.00	-20.00	Replacing Lost Income due to reduction in passenger numbers. Translink year pressure was reduced from £114m to £90m leaving a current pressure of £20m
DfI	Aug 2020	Roads - Full Winter Service	Covid Bid	Other COVID-19	Resource	7.00	5.00	-2.00	Effective winter treatment work will ensure roads are available and safe to allow transport to education, work and health services, which will be essential as recovery proceeds
DfI	Aug 2020	Roads – Enhanced Road Maintenance	Covid Bid	Other COVID-19	Resource	11.00		-11.00	Maintenance work will ensure the roads are fit for purpose, which will be essential as recovery proceeds. Well-maintained infrastructure is an essential building block for other measures to be effective. The employment generated through maintenance contractors will stimulate economic activity right across the North.
DfI	Aug 2020	DVA Lost Revenue	Covid Bid	Other COVID-19	Resource	11.00		-12.00	DVA pressure has increased to £12m
DfI	Aug 2020	Rathlin Ferry Lost Revenue	Covid Bid	Other COVID-19	Resource	0.30		-0.30	Due to lost revenue, arising from significantly reduced passenger numbers. It should be noted that this is a PSO to provide the lifeline service to Rathlin.
DfI	Aug 2020	Crumlin Road Gaol Lost Revenue	Covid Bid	Other COVID-19	Resource	0.20		-0.20	Major reduction in tourist activity for at least 12 - 18 months and it may take 2- 3 years to get back to normal.
DfI	Aug 2020	Planning Application Fees - Lost Revenue	Covid Bid	Other COVID-19	Resource	0.10		-0.10	Less planning applications being submitted which decreases the income from Planning Application Fees
DfI	Aug 2020	Roads Parking Charges and PCNs	Covid Bid	Other COVID-19	Resource	2.00		-3.70	Roads Shortfall has increased to £3.7m
DfI		Brexit Staff (with Treasury)	Non-Covid Bid			1.30		-1.30	Information only - this bid is now with Treasury for NI protocol
DfI		Airports	Covid Bid	Other COVID-19	Resource		3.32		A time-limited support package of assistance of £5.7m was introduced on 1 May, with the Executive meeting £3.3m of the costs, DoT the balance. This enabled the George Best Belfast City Airport and City of Derry Airport to continue to maintain passenger services between here and London. This pressure was met separately from the COVID bidding process
DfI		Taxis and Haulage Operators.	Covid Bid	Other COVID-19	Resource		1.40		Each eligible vehicle will receive an automatic licence for a maximum period of 12 months. This pressure was met separately from the COVID bidding process
DfI	Aug 2020	A6 Flagship - COVID Recovery	Covid Bid	Other COVID-19	Capital	14.80	14.80	0.00	The requirement for an additional £14.6m reflects a quicker than expected return to near full resourcing on the two phases of the project from the estimates in the early stages of Covid restrictions.
DfI	Aug 2020	NI Water - Acceleration of Works	Covid Bid	Other COVID-19	Capital	15.00	15.00	0.00	NI Water has indicated that it could spend a further £15m in capital if that is made available for projects that will each contribute significantly to upgrading critical infrastructure which is essential to the Executive's Recovery Plan
DfI	June 2020	Brexit Staff (with Treasury)	Non-Covid Bid	June Monitoring	Resource	1.30		-1.30	Information only - this bid is now with Treasury for NI protocol
DfI	June 2020	NI Water EU Exit Preparations	Non-Covid Bid	June Monitoring	Resource	2.30		-2.30	Northern Ireland will have specific risks associated with Brexit due to the land border with the EU and potential checks on the Irish Sea. NI Water has submitted a bid for Brexit Funding which amounts to some £2.3m broken down as Resources (£0.2m), Contractors (£0.4m), Materials and Equipment (£1.2m), Chemicals (£0.3m), alternative water supplies £0.1m and communications £0.1m. Information only - this bid is now with Treasury for NI protocol
DfI	June 2020	NI Water Rates and Inflation	Non-Covid Bid	June Monitoring	Resource	3.00		-3.00	NIW has estimated that it will still see an increase of approximately £2.0m on its rates bill, which has also been flagged by other departments, which is unaffordable in the current baseline. A further £1m of inflationary cost pressures are also not covered at this stage based on the proposed opening allocation.
DfI	June 2020	Roads Maintenance and Street Lighting Repairs	Non-Covid Bid	June Monitoring	Resource	11.00		-11.00	Funding from this bid will be used to assist in treating some of the backlog of maintenance which has been accruing such as patching, road markings, grass cutting and tree maintenance.
DfI	June 2020	Roads Winter Service	Non-Covid Bid	June Monitoring	Resource	4.00		-4.00	The bid will ensure the delivery of winter service, covering the last three months of the year.
DfI	October 2020	Winter Service and Routine Maintenance	Covid Bid	October Monitoring	Resource	3.00		-3.00	This bid is to fund a Winter Service programme in the 2020-21 financial year and provide other maintenance up to the capacity available to year end. This bid has been reduced from £7m to £2m to reflect the £5m allocation from Covid bidding exercise and amalgamated with a reduced Covid-19 bid to provide other maintenance - £1m
DfI	October 2020	Increased Holiday carry-forward accrual	Covid Bid	October Monitoring	Resource	1.60		-1.60	Staff in many cases have been unable to take up annual leave. All staff have been encouraged to use annual leave as normal. In line with the position adopted across the NICS, staff have been advised that the must continue to reduce their annual leave balance. However, it is anticipated that the vast majority of staff will carry over the full allowance of 9 days.
DfI	October 2020	Belfast Transport Hub	Covid Bid	October Monitoring	Capital	1.60		-1.60	The enabling works contractor ceased work on site in March 2020, but was able to return to site in May 2020. With appropriate social distancing restrictions in place, this has resulted in more work being progressed than the scenarios set out in the last funding round, including the site accommodation being progressed in 2020/21.

Department	Date	Brief Description of Bid (including required impact)	Type of Bid	Bidding Process	Type of funding	Bid Value (£m)	Amount Allocated (£m)	Shortfall (£m)	Please provide details of the intended and actual impact of the outcome of the bid including, where applicable, how outcomes were achieved in the absence of funding
DfI	October 2020	Structural Maintenance	Covid Bid	October Monitoring	Capital	5.50		-5.50	Re-assessments have been made of capacity to deliver additional actions. Included are costs for remedial works following Storm Francis. Failure to secure funding would result in an inevitable increase in the backlog and a further deterioration in the state of our roads. This bid would also allow DfI Roads to take forward some limited, but highly beneficial, Minor Works, Local Transport and Safety Measures (LTSM) which will include Traffic signal upgrades, some localised road widening work and vehicle restraint systems (crash barriers) and Bridge Strengthening schemes.
DfI	October 2020	Street Lighting	Covid Bid	October Monitoring	Capital	1.00		-1.00	Allow the replacement of 500 street lighting columns, which are greater than 40 years old.
DfI	October 2020	Regional Planning System	Covid Bid	October Monitoring	Capital	1.00		-1.00	To fund the re-profiling of the contract payments for the Regional Planning Portal System, which will result in an overall cost saving for the contract for the Department and Councils.
DoH	01/06/20	Elective Care (Reduction of Health Waiting Lists)	Non-Covid Bid	June Monitoring	Resource	10.30	10.30	0.00	Additional elective care provision largely for independent sector work and diagnostics and for red flag and urgent cases to address waiting lists that have risen significantly as a result of necessarily prioritising Covid-19 responses.
DoH	01/06/20	Transformation of Health and Social Care Services (Implementation of Changes to Improve Effectiveness and Efficiency of Delivery of Health Services)	Non-Covid Bid	June Monitoring	Resource	36.17	14.63	-21.55	Supporting the delivery of priorities set out in New Decade New Approach for which funding was not available in the existing budget. Priority has been given to areas of transformation identified as most critical or producing quickest paybacks with implementation of lower priority areas being delayed.
DoH	01/06/20	Mental Health Action Plan (Development of a Mental Health Action Plan to Inform Planning and Developments in this Area)	Non-Covid Bid	June Monitoring	Resource	1.50	1.50	0.00	Progressing development of a mental health action plan as set out in New Decade New Approach.
DoH	01/06/20	Palliative and End of Life Care (Improved Delivery of Palliative Care Treatments to Meet Patient Need)	Non-Covid Bid	June Monitoring	Resource	5.00	-	-5.00	Improving approaches to palliative care including developing community resources, promoting Advance Care Planning and addressing Covid-19 impacts which are making demands more complex due to the need for additional infection control measures for patients and carers. Funding was not provided and the most critical project elements were identified and included in a reduced October bid.
DoH	01/10/20	Critical Health Services (Maintaining Delivery of Critical Services for Which Funding is Not Currently Available)	Non-Covid Bid	October Monitoring	Resource	15.00	-	-15.00	Addressing priority deficits in the funding of essential HSC Trust services required to enable delivery of critical patient services in a safe environment including increasing costs from new practices required in a Covid-19 environment, reductions in the ability to deliver previously set savings targets and the need to ensure previously paused activity is adequately resourced to meet immediate patient and critical need.
DoH	01/10/20	Palliative and End of Life Care (Improved Delivery of Palliative Care Treatments to Meet Patient Need)	Non-Covid Bid	October Monitoring	Resource	1.50	-	-1.50	Improving public health approaches to palliative care including developing community resources, promoting Advance Care Planning and addressing Covid-19 impacts which are likely to make demands more complex because of the need for additional infection control processes for patients and carers. The reduction from the bid previously made in June reflects that this bid identifies the most critical and essential elements of the project.
DoH	01/10/20	Doctors and Dentists Pay (Implementation of National Recommendations on Remuneration)	Non-Covid Bid	October Monitoring	Resource	6.30	6.30	0.00	Addressing recommendations of the national Review Body on Doctors' and Dentists' Remuneration (DDRB) which advises Ministers in the UK Government and devolved administrations on the remuneration of doctors and dentists employed in or providing HSC services. Implementation will ensure continued alignment with pay in other UK nations and competitiveness in the recruitment and retention of staff.
DoH	01/10/20	Elective Care (Reduction of Health Waiting Lists)	Non-Covid Bid	October Monitoring	Resource	1.50	-	-1.50	Additional elective care provision including for cancer treatments delayed by Covid-19 to address increasing waiting lists in this critical area of treatment
DoH	01/06/20	Invest to Save	Non-Covid Bid	June Monitoring	Capital	4.90	4.90	0.00	A range of Invest to Save bids for specific HSC schemes that will yield total resource savings of £1.1m per annum. As the majority of these schemes are estates projects they will help aid recovery and boost economic performance in the local construction
DoH	01/06/20	DoH Covid Capital	Covid Bid	June Monitoring	Capital	33.80	33.80	0.00	investment for a range of equipment, capital works, additional oxygen supplies and decontamination units across the HSC. Essential respiratory support equipment and equipment reflective of multiple organ diagnostics and support were identified by the HSC Trusts in response to the initial Covid surge.
DoH	01/10/20	DoH Covid Capital	Covid Bid	Other COVID-19	Capital	34.00	34.00	0.00	The Department submitted a range of bids in response to Covid. In an initial exercise in July in response to the Executive's Economic Recovery exercise the Departmental bid was £19m. In subsequent exercises the Departmental bid was £30.6m and £1.6m was allocated and in the October monitoring exercise the bid had grown to £32.4m. The bid relates to a range of essential equipment and capital works which are required to meet the Department's response to Covid-19. Included are bids for the Test trace and Protect projects, the Nightingale Hospital at Whiteabbey, storage of NI HSC PPE and bids for surge, testing and rebuild of the Health Service.
DoH	01/10/20	General capital pressures	Non-Covid Bid	October Monitoring	Capital	6.70	-	-6.70	This bid is for a range of general capital items including equipment and medical devices, transport and minor works. Without funding the Department's estate will continue to deteriorate, medical equipment and essential fleet will continue to fail.
DoH	01/10/20	DoH Covid Resource	Covid Bid	Other COVID-19	Resource	866.70	866.70	0.00	The Department requires this bid to be met to meet the pressures in relation to Covid 19 for both the response to and rebuilding of HSC services in the context of Covid 19 including pressures relating to Second Surge, Annual Leave and Personal Protective Equipment (PPE).

KEY:

Successful

Partially Successful

Unsuccessful