NON-PHARMACEUTICAL OPTIONS

Measures relating to personal contacts

Options outlined below:

- a) Stay at home order ("lockdown") very high impact
- b) Planned, short stay at home order ("circuit breaker") moderate impact
- c) Reducing contacts between members of different households within the home moderate impact
- d) Restrictions on outdoor gatherings and prohibiting large events low impact

Intervention	Stay at home order ("lockdown"). Closure of leisure and hospitality sectors as well as non-essential retail.
	Only essential workers permitted to attend workplace. Schools (except for key workers and vulnerable
	children), colleges and universities shut. Places of worship shut. Contact within other households banned.
Impact on	Very high impact. Reduction of Rt from around 2.7 to around 0.6 post "lockdown" in most of the UK (i.e.
COVID	roughly 75% reduction). High confidence.
transmission	
Direct impact	Very high. Deaths and severe disease were reduced by stay-at-home orders, through reduction in
on COVID	transmission.
deaths and	High confidence.
severe disease	
Non-COVID	Large impact on health and wellbeing ⁱ . High confidence. Impacts of lockdown on social isolation and mental
impact (incl.	health, worse for those with existing conditions, older people and BAME communities.
social and	Future lockdowns could occur in colder weather which could lead to more isolation and more mental health
psychological;	problems ⁱⁱ .
excl.	School closures ⁱⁱⁱ associated with possible increases in school drop out, child injury, domestic violence, child
economic)	abuse but reductions in referrals. Reductions in social interaction erode social development and harm general
	wellbeing, and mental health of children and parents. Risk of division and anger in community if education of

	children suffers, without convincing explanation of the need.
	Equity issues: Economic impact of lockdown would impact most on the poorest given employment in jobs least
	amenable to home working with consequences for health inequalities. Increased risk of isolation and mental
	o 1
	health impact for BAME groups who rely on places of worship and extended social support networks.
Implementation	Substantial support (financial, social, informational, emotional) needed for people with limited support
issues	networks, low financial resources/precarious employment and other needs to ensure adherence ^{iv} . High levels
	of compliance with stay at home orders in March/April although trust in government communication, perceived
	risk and levels of worry have declined since early April. However, perceived risk may increase in line with
	urgency and magnitude of policy change and may be rising already.
	Messaging is critical. "Stay at home" is a simple, clear message, which will boost adherence. Positively
	frame adherence to these behaviours, e.g. recognising the sacrifice and challenge. Community-level co-
	production of support measures, messaging and guidance should follow national standards. This may improve
	trust and adherence. To clearly define community (e.g. based on ethnicity, culture, age, gender,
	intersection) and recognise within group differences to ensure measures and messages are relevant for target
	communityv. Harsh enforcement could exacerbate social divisions and lead to disorder. Note that allowing
	people outside the home for exercise was very important for mental health in the previous lockdown and not
	doing so would be hard to justify as the public now aware that this is low risk.
	Encourage vulnerable children to attend school e.g. by improving messaging to avoid stigmatisation.
	Substantial challenges for schools, further education and higher education with online teaching, including
	disparities between well-off and less well-off areas. Disruption of lab-based and medical courses (e.g.
	dentistry) will impact the graduate pipeline into health roles.

Intervention	Planned, short, stay-at- home order ("circuit breakers") General stay-at-home order of short duration (e.g.
	2-3 weeks). Could be timed around planned school holidays to help mitigate the impact, but not necessarily.
Impact on	Moderate impact (high confidence). Likely to have similar levels of effectiveness as national lockdown in
COVID	Spring, reducing Rt to below 1. However, would only apply for a short period and so have limited
transmission	effect. Modelling suggests that 14 days of significant reduction in transmission in October could put the
	epidemic back 28 days and could significantly reduce the prevalence of infection in December. As with all
	interventions the earlier it is implemented (in the face of growing incidence) the higher the impact.
Direct impact	Moderate impact (high confidence). Limited time period would limit the impact on deaths and hospitalisations,
on COVID	but reduction in these compared to the counterfactual would be maintained for several months and could be

very substantial. Time lag from infection to deaths means reduction in deaths is likely to start after the circuit
breaker ends. Lags also mean that impact on deaths likely to be appear as a flattening rather than decline.
Moderate negative impact
Moderate negative impact on health due to mental health impacts on adults and children; domestic abuse
prevalence.
Impacts as for immediate stay at home order (above), but impact can be planned for by services and members
of the public and so could be mitigated to some extent, though challenging e.g. provisions for education.
Risk of division and anger in community if education of children suffers, without explanation of the need ^{vi} . Low confidence.
Equity issues: as for "lockdown", above. Stay at home is easier for those who can work from home, have
sufficient space at home. Key workers, low income groups (which are disproportionately BAME) groups have
to continue to go to work so their workplaces have to be ensured to be Covid-secure. Important not to allow
travel abroad for holidays for equity and to avoid people engaging in high exposure activities abroad during the
lockdown period.
Mental health impact may begin prior to implementation, knowing there are upcoming periods of isolation.
Substantial support (financial, social, informational, emotional) needed for people with limited support
networks, low financial resources/precarious employment and other needs e.g. home schooling IT equipment
to minimise exacerbation of educational inequality. Adherence, health and trust will suffer if people are not
given the resources they need to adhere safely. Again, community-level co-production of support
measures can support adherence and financial support for mutual aid organisations from central government
is necessary. Provision of mental health support is required – increased risk of mental health problems in
BAME groups where service provision requires further cultural adaptations to maximise effectiveness. Ability to plan will reduce impact, but debate about necessity (e.g. "why plan this, if rates are currently low?")
may increase social divisions. This should include how time gained by a circuit break is to be
used eg to increase capacity for NHS T&T to cope with increased demand.
Risk that people will try to see one another and engage in 'allowed activities' in significantly higher numbers if
they know that restrictions are coming, causing increased transmission. This will require a targeted messaging
campaign. If these activities are to prepare for restrictions by making arrangements for vulnerable or isolated
friends and family then they should be presented in communications in a positive light.

Intervention	Reducing contacts between members of different households within the home
Impact on	Moderate impact (medium confidence). High risk of transmission within households from droplets, aerosols
COVID	and transmission from shared surfaces. While options are limited to control transmission in households, an
transmission	epidemic can only be sustained if there are transmission chains between households. PHE data show high
	secondary attack rates (up to 40%) between members of the same household. SPI-M modelling of relaxing
	lockdown concluded that allowing any one household to make contact with more than one other household
	would substantially increase R. Stopping all contacts between different households in the home might reduce
	Rt by ~0.1-0.2. Bubbling of single occupancy households has little effect (SPI-M result).
Direct impact	Moderate (medium confidence). Impact is through reduction in transmission, though some impact through
on COVID	reduced intergenerational close contact.
deaths and	
severe disease	
Non-COVID	Loss of social networks increases risk of deepening economic disadvantage (e.g. shared childcare and
impact (incl.	eldercare between homes) on which low income and BAME groups particularly rely. Provision for care
social and	arrangements would be important.
psychological;	People with pre-existing mental health disorder are particularly likely to suffer as a result of increased isolation
excl.	and loneliness.
economic)	Allowing existing bubbles to continue would mitigate some impact, but clear communication about what and who those bubbles might consist of under this NPI is essential ^{vii} . BAME and low-income households would
	suffer disproportionately if bubbles were reduced to a household joining with a lone individual or only two other individuals.
	May reduce social alcohol and drug use/misuse.
Implementation	Behaviour in homes difficult to enforce and may not be supported by existing housing stockviii.
issues	Policies may appear inconsistent (e.g. compared to work, schooling and hospitality if remain open), degrading trust, lowering perceived risk and impacting adherence. A clearly explained rationale, informed by likely effects on transmission as well as wider considerations such as social benefits and feasibility, may help to mitigate perceived inconsistencies to a degree. This should include allowing families to meet outside, as the public is aware that this is lower risk and where it is feasible it is highly valued.
	Where key workers benefit from extended kin networks and family support, especially inter-generationally, reducing household contact could preclude these key workers fulfilling essential roles ^{ix} . Differences between nations (number of households, inclusion of children) risk confusion and undermining the logic of the measure. It is important to be clear about why both numbers and networks (households) matter.

Considerations of different types of households when specifying number of households. Houses of multiple
occupation may limit ability to reduce contact due to shared communal areas and no social script/obligation
towards one another.
Language is key when communicating this as 'bubbles' was not well understood and did not translate well into
a different language having no cultural context or sufficient meaning when translated for some BAME groups.

Intervention	Restrictions on outdoor gatherings, including prohibiting large events
Impact on COVID transmission	Low impact . Small reduction in transmission (reduction in R likely to be <0.05). SARS-CoV2 does not persist in well-ventilated outdoor areas for long. High confidence. Virus survival on surfaces is reduced under UV light, however this effect may be less in winter. Large events/gatherings can have a role in seeding infections in and between communities, and are associated with outbreaks, but are less important for transmission as fewer people attend these events and the risk of transmission outdoors is reduced. ~2% of cases due to gatherings of over 50 people ^x . Transport to/from events and use of pubs and other shared facilities nearby may be more important.
Direct impact on COVID deaths and severe disease	Low impact. High confidence. Risk reduction is through reduction in transmission only, which is likely to be low. High confidence.
Non-COVID impact (incl. social and psychological; excl. economic)	Low / moderate. Gatherings at licenced and regulated cultural and sporting events are currently prohibited as are unregulated public assembly under the rule of six. Short-term social and psychological impacts should be moderate to minimal, although there will be higher long term harms particularly at the level of communities and social networks (e.g. football clubs & theatres will be forced to close, communities will lose important cultural platforms, unemployment & social isolation will increase). Imposing restrictions on public assembly may also create unintended harms by increasing levels of protest, amplifying the numbers of unlicenced music events/house parties and provoking confrontations with police, and have a disproportionate impact on young people. Closing playgrounds may disproportionately impact on families in cities without gardens.
Implementation issues	Need to avoid – and be seen to avoid - discriminating against different social, economic, religious, or ethnic groups. Consistency here may outweigh differential risk of transmission in different events (e.g. allowing hunting but not football, classical concerts but not pop concerts etc.) ^{xi}

Consideration is needed of how to justify a policy of preventing outdoor gatherings and large events but still allowing other forms of social gatherings (e.g. 'organised' sports, pubs, educational classes, work meetings; places of worship).
Need to explain the rationale behind closing playgrounds if the risk of transmission is lower between children.
Enforcement is a challenge whether this means complete restrictions or limited numbers gathered outdoors. Need to prioritise different kinds of events and their social value in order for measures to make sense to the
public. For example, Funerals, Weddings and Religious Festivals have a high importance in the life course of a community, while classical concerts do not. However, different events are valued by different communities,
and it will create a sense of inequity if those valued by one section of the community (e.g. people of faith) are allowed but not those valued by another (e.g. young people).
Consistency to increase legitimacy of this approach and avoid communities (e.g. BAME, low SES) feeling
targeted with closure of activities affordable to them with other activities remaining open.

Measures Related To Business Settings

Options outlined below:

- a) Encouragement to work from home wherever possible moderate impact
- b) Alternating week-in week-out low to moderate impact
- c) Closure of bars, clubs, cafes and restaurants moderate impact
- d) Closure of indoor gyms, leisure centres, fitness etc. low to moderate impact
- e) Closure of places of worship / community centres low to moderate impact
- f) Closure of non-essential retail low impact
- g) Closure of close contact personal services low impact

Intervention	Encouragement to work from home wherever possible
Impact on	Moderate impact (high confidence). Typically, over 1/3rd of contacts are made at work, often long duration
COVID	and highly clustered. Modelling suggests that homeworking would have a significant effect on transmission.
transmission	Reduction in Rt of 0.2-0.4 if all who can work from home do so. There is evidence from PHE reports on role of
	workplaces in transmission. Transmission risk in workplace settings will vary significantly with the particular environment, activities and worker behaviours.
Direct impact	Moderate. Reduction largely through reduction in transmission, though also protects high risk individuals who
on COVID	are in the workplace. Occupational exposure in certain settings (e.g. bus and taxi drivers) has been linked with
deaths and	the increases in severe disease in BAME communities. ^{xii}
severe disease	
Non-COVID	Moderate impact: Mild harms associated with poor ergonomics at home, social isolation and increased
impact (incl.	prevalence of domestic violence Positive impact for those who prefer home working.
social and	
psychological;	Equity issues: In particular, younger people, those on lower incomes and those from BAME backgrounds are
excl.	less likely to be able to work from home and will therefore be more at risk. Those with less space at home will
economic)	find homeworking more difficult. Those with children may find it difficult to work from home, especially if schools are closed. This has the potential to exacerbate gendered workplace inequities. Challenging for those in flat or house shares and properties without appropriate wifi connections

Implementation	Creates a consistent message about the importance of avoiding contact with others outside the household.
issues	Creates inequities given that more privileged groups are more likely to be able to work at home but
	encouraging those who can work at home to go out to work increases risks to those who have to go out to
	work by increasing their contacts.
	Needs clear guidance to employers to encourage working from home and establishing facilities to support this,
	especially since it involves reversing guidance currently in operation.

Intervention	Alternating week in – week off, return to work
Impact on	Low to moderate impact. Low confidence. Modelling suggests that this might be moderately effective. Potential
COVID	reduction in Rt of up to 0.1, though precise estimation very difficult.
transmission	As well as cohorting, would reduce occupancy density enabling easier distancing and less pressure on shared
	facilities (e.g. kitchens, toilets) - likely to reduce environmental transmission risk. Would also reduce public
	transport use/crowding.
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Impact and equity issues will be similar to those for stay at home, and work from home.
impact (incl.	Week on / week off is likely to be impossible for particular sections of society and some professions that are
social and	high-contact or highly networked (e.g. teachers) increasing their relative risk compared to either 'blanket' work
psychological;	from home or compared to other professions
excl.	
economic)	
Implementation	Logistically complex for some organisations.
issues	Logistically complex for households, especially households with children and low income households.
	Requires support package to be put in place for staff on the "off" weeks.
	Potentially confusing message unless rationale and mechanism of mitigation explained clearly.

Intervention	Closure of bars, pubs, cafés and restaurants
Impact on	Moderate impact (medium confidence). Potential reduction in Rt of 0.1-0.2, though precise estimation very
COVID	difficult.
transmission	Environmental risk in bars, pubs etc is likely to be higher than many other indoor settings due to close proximity of people, long duration of exposure, no wearing of face coverings by customers, loud talking that can generate more aerosols. Some venues are poorly ventilated, especially in winter. Consumption of alcohol impacts on behaviour. Multiple anectodoatal reports of outbreaks linked to bars in the UK, Europe, US. CDC report suggests those who test positive twice as likely to have eaten at a restaurant. PHE case control study also identifies visits to entertainment venues as a risk factor. Curfews likely to have a marginal impact. Low confidence. Allowing customers to sit outside only likely to be much lower risk.
Direct impact	Reduction largely through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	High indirect impacts resulting from loss of income for hospitality employees and low psychological impact
impact (incl.	through reduced social contact for customers.
social and	Loss of confidence and loss of trust, especially for the businesses that opened. Will they have the confidence
psychological;	and ability to open again?
excl.	Could have positive impact on adherence to other measures as it will reduce perceived inconsistencies
economic)	between home and non-home restrictions.
	Small benefits through reduced alcohol (and drug) misuse, and reduced calorie intake.
Implementation	Improves consistency of policies, particularly if non-recreational interaction is to be restricted in addition (e.g.
issues	seeing family members in their homes). However, high risk of displacement of social gatherings to other
	locations harder to regulate and maintain low risk behaviour (e.g. homes, illegal outdoor gatherings). Sends clear signal about the social value of interactions that are still enabled, which the public is likely to
	agree with, when these are schools, universities and kin/friend networks.
	Increased social support provision, e.g. online support, as pubs, restaurants and bars provide platform for
	social support for some groups in society.
	social support for some groups in society.

Intervention	Closure of indoor gyms, leisure centres, fitness etc.
Impact on	Low to moderate impact (moderate confidence)
COVID	Potential reduction in Rt of up to 0.1, though precise estimation very difficult. Some evidence from outbreak
transmission	data e.g. in Korea associated with fitness class.
	Environmental risks linked to high touch surfaces in gyms, higher aerosol generation and breathing rates due to aerobic activity.
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Limits access to exercise for physical and mental health but high potential for substitution to outdoor physical
impact (incl.	activity though may be harder in winter months.
social and	Risk of increasing mental health problems with closure of gyms.
psychological;	Potentially increasing health inequalities for some BAME groups that do not engage in outdoor physical activity
excl.	due to safety concerns, and areas with no garden or suitable outdoor space for physical activity.
economic)	
Implementation	As above, requires support package to be put in place for staff who are unable to work and for businesses
issues	especially as many gym/leisure centre employees are legally self-employed.
	Provision of safe community spaces to engage in outdoor physical activity.

Intervention	Closure of places of worship / community centres
Impact on	Low to moderate impact (moderate confidence)
COVID	Potential reduction in Rt of up to 0.1, though precise estimation very difficult.
transmission	Strong association with places of worship including significant outbreaks linked to religious community in South Korea, cases in churches in Singapore, and Germany (despite social distancing). Environmental risks vary depending on the building. Small venues higher risk than large spaces as the volume mitigates aerosol transmission. Some ceremonies involve touch surfaces and proximity for short duration (e.g. communion). Singing/loud talking can enhance risk.
Direct impact on COVID	High risk – vulnerable groups

deaths and severe disease	
Non-COVID	Mental health impacts from limiting social and spiritual connections.
impact (incl.	Risk of social division / anger if places of worship are closed ahead of recreational sectors (e.g. bars).
social and	Places of worship and community centres play a variety of roles beyond their core function: food banks,
psychological;	coordination of volunteers, child contact centres, and more Possible increases in domestic abuse without
excl.	community refuge.
economic)	
Implementation	Need to avoid – and be seen to avoid - discriminating against different social, economic, religious, or ethnic
issues	groups.
	Could be perceived as inequitable for those BAME communities with higher levels of participation in worship / community centres.
	Needs to be considered alongside restrictions on outdoor gatherings.
	Need to avoid disabling the work of mutual support networks associated with community centres and places of worship, which could be mitigated by financial and other support for mutual aid networks

Intervention	Closure of non-essential retail
Impact on	Low impact (low-moderate confidence)
COVID	SPI-M commission from 30 March 2020 included opening non-essential retail. Very minimal impact on R
transmission	values.
	Some limited evidence of transmission from China. Short duration and ability to distance in most settings + face coverings are likely to mitigate well.
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	High direct impacts resulting from loss of income for staff, and low psychological impact through reduced
impact (incl.	social contact for customers.
social and	Economic impact would most affect the poorest given employment in non-essential retail with consequences
psychological;	for health inequalities.
	Some road traffic accident/air pollution benefits

excl.	
economic)	
Implementation	Improves consistency of policies, particularly if other interaction is to be restricted in addition (e.g. seeing
issues	family members in their homes).
	Requires support package to be put in place for staff who are unable to work and for businesses.
	If kept open, the rationale for doing so should be communicated clearly in terms of the lower risk of
	transmission. Behaviour to reduce transmission risk should be strictly regulated (e.g. good ventilation, low
	density, regular cleaning, mask wearing).

Intervention	Closure of close-contact personal services (hairdressing, beauty therapy etc.)
Impact on COVID	Low impact (low confidence). Each event is likely to be high risk as it involves prolonged, close, face-to-face contact. However, use of these services is relatively infrequent, so the overall impact on R is more limited.
transmission	Potential reduction in Rt of up to 0.05, though precise estimation very difficult. Appropriate PPE can mitigate risk - CDC evidence suggests masks were effective at stopping transmission in a hair dressing salon but some evidence of infection transmission among hairdressers in the UK. Contact tracing generally easy (if complied with). Many places are already careful with hygiene.
Direct impact on COVID deaths and severe disease	Impact through reduction in transmission
Non-COVID impact (incl. social and psychological; excl. economic)	High direct impacts resulting from loss of income for staff, and low psychological impact through reduced social contact for customers. Likely to disproportionately affect poorest (and women) given employment in personal services with consequences for health inequalities
Implementation issues	Improves consistency of policies, particularly if other interaction is to be restricted in addition (e.g. seeing family members in their homes). May need to evaluate whether wearing face shields (as currently recommended in the UK) as effective in reducing transmission as wearing masks. Requires support package to be put in place for staff who are unable to work and for businesses.

Measures Aimed At Educational Settings

Options outlined below:

- a) Reactive closure of class/year group when outbreak detected low to moderate impact
- b) Reactive school closure moderate impact
- c) Mass school closure moderate impact
- d) Alternative week-on week-off with half class sizes Moderate to low impact
- e) Closure of further education moderate impact
- f) Closure of higher education moderate impact
- g) Quarantine new students in higher education low impact
- h) Closure of childcare low to moderate impact

Intervention	Reactive closure of class/year group when outbreak detected
Impact on	Low to moderate impact (low confidence). Not clear the role that children play in transmission, nor how
COVID	quickly outbreaks are detected and acted on.
transmission	
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Low. Disruption of education, impact on wellbeing of affected children with knock-on impacts due to parental
impact (incl.	child-care responsibilities.
social and	Equity issues: children from deprived and BAME backgrounds more likely to be adversely affected (e.g. less
psychological;	access to on-line learning / less space at home to study)
excl.	
economic)	
Implementation	Adequate guidance required on threshold for and extent of reactive closures required and communication
issues	between TTI system and schools for schools being notified of cases.

Important impact on equity between schools in high/low prevalence areas (which closely align with high/low deprivation areas) in terms of adequate preparation for public exams and therefore perceived fairness of the system. Might need to be weighed in moderation of exam grading. Schools will need to have several teaching modalities (online v in-person) prepared in advance to support reactive year group closures, which may stretch resources and school capacities especially when multiple modalities are used simultaneously. IT provision may be required for pupils, especially from poorer families, for
studying at home.

Intervention	Reactive school closure
Impact on	Moderate impact (low confidence). Impact depends on whether schools (especially secondary, FE) are found
COVID	to sustain transmission in local outbreaks. Modelling suggests that in such cases, reactive closure might
transmission	reduce local R by 0.12-0.45. Modelling of this intervention in the influenza context suggests that impact is
	sensitive to the choice of incidence threshold for school closure (lower threshold, higher impact).
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Moderate. Disruption of education, impact on wellbeing of affected children. Knock-on impacts due to parental
impact (incl.	child-care responsibilities.
social and	See above re equity of closures and year groups (younger vs 11/12+). For example, older students are more
psychological;	likely to have the maturity to engage with and benefit from online classes vs primary school students. They
excl.	are also more at risk vs early years and primary school children.
economic)	
Implementation	Equity considerations in terms of impact on most vulnerable and BAME groups. Schools which are more likely
issues	to be sites of transmission (high poverty, low resource), may be those with the least capacity to take up additional interventions due to background stressors and under-resourcing. Reactive closures add an additional stretch on these resources through need for preparation.
	Decision on whether to close school or merely class or year depends on adequate outbreak investigation including testing for asymptomatic infection of a) contacts of cases and b) if evidence of infection among

	contacts of other students in school. Currently PHE are not conducting thorough outbreak investigation in all cases.
S	See above re. equity and grades and provision of IT.

Intervention	Mass school closure to prevent community transmission
Impact on	Moderate impact. Closing all schools associated with a reduction in R of 0.2-~0.5. Moderate confidence.
COVID	Closure of secondary schools may be more effective (reduction in R of ~0.35) as link more households, higher
transmission	numbers of contacts within schools and transmission to/from younger children may be more limited. Overall,
	low confidence, as unclear how much schools may contribute to community transmission.
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	High. Disruption of education, wellbeing of children. Increases in domestic abuse, home accidents, and
impact (incl.	reductions in child and adult mental health.
social and	Likely to have a higher adverse impact (education, physical and mental well-being) on vulnerable children and
psychological;	low income and BAME communities.
excl.	See above for major harms and inequities.
economic)	
Implementation	As above, reactive closures add an additional stretch on these resources through need for preparation.
issues	Issue of digital inequality especially acute.

Intervention	Alternating week-on, week-off school closure with half class sizes
Impact on	Moderate to low impact. Modelling for SAGE Schools subgroup suggests this could reduce average R by
COVID	0.1-0.2, depending on how much transmission occurs in schools. Low confidence, as remains unclear how
transmission	infectious children may be.
	Many classroom environments are poorly ventilated and space makes it hard to maintain social distancing.
	Action would reduce occupancy density enabling better social distancing and partially mitigating ventilation
	issues.

Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Moderate. Disruption of education, impact on wellbeing of affected children as well as equity issues (see
impact (incl.	above). Knock-on economic impacts due to parental child-care responsibilities. Impacts may be able to be
social and	partially mitigated given planned nature of intervention, but with mitigations themselves being subject to equity
psychological;	issues (see above).
excl.	
economic)	
Implementation	Logistically complex for schools to implement (teachers would be obliged to provide face-to-face alongside
issues	remote provision at the same time) and knock-on effects on parental coordination of childcare
	responsibilities/employment. Complexity likely to be most felt by schools that are high deprivation/low resource/BAME.
	Guidance and support must be given to businesses in order to enable parents and carers to do this where possible.
	Additional thought must be given to enable parents/students where this pattern is not possible due to parental/care giver work

Intervention	Closure of Further Education
Impact on	Moderate impact (moderate confidence). Less data than from schools, though students are older and thus
COVID	more likely to be infectious. Cryptic transmission from asymptomatic individuals likely.
transmission	FE is highly networked linking households, FE setting and workplaces, but this tends to be local.
Direct impact	Impact primarily through reduction in transmission. However, FE workforce somewhat older and more high
on COVID	risk, and a greater fraction of students are BAME and live at home. Therefore there is the potential for
deaths and	transmission in FE to lead to infection of higher risk individuals.
severe disease	
Non-COVID	Moderate impact. Disruption of education, wellbeing of students. Knock-on impacts lower than for schools due
impact (incl.	to lower childcare needs. ^{xiii}
social and	
psychological;	

excl.	
economic)	
Implementation	Significant equity issues because FE students are of lower SES/higher BAME representation than school 6th
issues	form or HE students.
	Expanding online teaching provision including resources required, e.g. laptops, wifi could mimise disruption to
	education which could otherwise widen existing inequalities.

Intervention	Closure of Higher Education
Impact on	Moderate impact (high confidence). Outbreaks are very likely in universities, given their size and the degree
COVID	of close contact typical through shared living arrangements and while socialising and during lectures and
transmission	practicals. Universities associated with outbreaks of other diseases (e.g. mumps and meningitis) and clear
	evidence from the US of transmission of COVID in this setting. Closing universities associated with a ~0.3
	(0.2-0.5) reduction in the R number. Mitigations short of closure should include strong steer towards online
	learning for all but essential practical activities.
Direct impact	Risk within the HE workforce more than the student body – as FE.
on COVID	
deaths and	
severe disease	
Non-COVID	Less impact on broader health and wellbeing, equity than closing schools or FE.
impact (incl.	
social and	
psychological;	
excl.	
economic)	
Implementation	Students may remain in term accommodation even if campus activities are closed, so social events could
issues	continue regardless.
	Consider need to keep essential courses running (e.g. medical).xiv
	Universities will need to manage and address student welfare needs for students living in university and
	private housing. Disruption of lab-based and medical courses (e.g. dentistry) will impact the graduate pipeline into health roles.

Highly feasible for HE institutions to offer remote learning for many courses. Likely fewer issues with equity of
access for students, though these remain problematic.
A clear statement about online teaching for FE and HE could avoid institutions believing that they have to
maintain in-person tuition to avoid being at a competitive disadvantage.

Intervention	Quarantine for new students in HE to prevent seeding into University (or testing of all new university admissions and isolation of positives)
Impact on	Low impact (moderate confidence). Could help prevent the seeding of outbreaks in HE and spill-over to local
COVID	communities. One-off screening (or quarantine) has time-limited effect. May be more effective in campus
transmission	universities in areas of low community transmission.
Direct impact	Impact primarily through impact on transmission
on COVID	
deaths and	
severe disease	
Non-COVID	Low impact. Disruption of education for affected students.
impact (incl.	Loneliness is a likely impact and possible mental health problems arising from homesickness and isolation.
social and	
psychological;	
excl.	
economic)	
Implementation	Students in quarantine (or self-isolation if tested positive or contact traced) require substantial support from
issues	their institution during the period. Failing to provide support will lead to distress, poor adherence and loss of
	trust. Support must therefore include (a) practical needs (food etc.), (b). study needs (IT) and (c). emotional
	needs (e.g. a 'buddy' system)
	Enforcement is an issue within universities, and between universities and the police.
	Could be implemented in a simpler way by requiring online learning for the first two to three weeks of term to
	reduce number of contacts among students and staff and less seeding of infection. Followed by combination of online and face to face teaching alternated between weeks.

Intervention

Impact on COVID transmission	Low to moderate impact. Low confidence. Modelling suggests that resuming early years provision has a smaller relative impact than primary school, which in turn has a smaller relative impact than resuming secondary schooling. However, this analysis does not incorporate potential for indirect impacts on contacts outside of school – which may differ by age of child.
D'un af l'an ana f	Specific consideration could be given to limiting children to attending one setting.
Direct impact	Impact through reduction in transmission
on COVID	
deaths and	
severe disease	
Non-COVID	High impact on families reliant on childcare due to inability to attend work.
impact (incl.	Increased risk of grandparents assuming child care roles.
social and	Developmental, educational, and well-being impacts on children. Missed opportunities to spot child injury,
psychological;	domestic violence, child abuse but reductions in referrals. Reductions in social interaction erode social
excl.	development and harm general wellbeing, and mental health of children and parents. Mental health impacts
economic)	may be stronger for lower SES and BAME communities and those with pre-existing mental health problems
Implementation	Support will be needed for affected staff and families who are unable to work.
issues	Failure to provide support may result in families having to use informal childcare, reconnecting households and
	posing additional risks especially where this childcare is provided by vulnerable older adults.

Measures Aimed At High-Risk Settings and Individuals E.G. Hospitals And Care Homes

Options considered below:

- a) Prohibition of visitors low impact
- b) Shielding high risk individuals low impact

Intervention	Prohibition of visitors to hospitals and care homes
Impact on	Low impact on transmission (high confidence)
COVID	
transmission	
Direct impact	Low impact on deaths and severe infections., as most introduction of care homes is probably via staff.
on COVID	Nevertheless, if infection does get into care homes the impact can be devastating. Moderate confidence.
deaths and	Testing of visitors is a potential mitigation option
severe disease	
Non-COVID	Moderate to high. Substantial social and emotional impact on residents and, for end of life patients in
impact (incl.	particular, relatives. Could be mitigated by allowing very limited number of visits.
social and	
psychological;	
excl.	
economic)	
Implementation	Consider differentiated implementation by type of care and ability to support safe visiting, e.g. maternity vs
issues	A&E vs ICU. Clinical contexts differ in their preparedness/capacity to support infection control with respect to
	visitors.

Intervention	Shielding of high-risk individuals in their homes
Impact on COVID	Low impact on transmission (high confidence)
transmission	

Direct impact	Moderate impact on deaths and hospitalisations. Low confidence. Impact of shielding from Spring wave
on COVID	difficult to assess.
deaths and	
severe disease	
Non-COVID	Moderate to high. Substantial social and emotional impact on affected individuals.
impact (incl.	
social and	Significant equity issue re. age, BAME, disability; this includes extended kin networks and intergenerational
psychological;	households.
excl.	
economic)	
Implementation	Substantial support (financial, social, informational, emotional) needed for people with limited support
issues	networks, low financial resources, other needs. Adherence, health and trust will suffer if people are not given
	the resources they need to adhere safely.
	Equally, support is needed for those supporting. the shielders in terms of avoiding exposure and getting tested.
	Consider the role of language around vulnerability to avoid experiencing shame or stigma due to need to shield

Environmental Measures

Options considered below:

- a) Increasing covid-19 security in workplaces and settings low impact
- b) Use of face coverings outdoors very low impact
- c) Use of face coverings outdoors -low to moderate impact

Intervention	Increasing "COVID security" in workplaces and other settings
Impact on COVID transmission	Low Impact. From the "ready reckoners", "COVID security" more impactful when social distancing is not in place. 25% covid security (25% reduction in transmission for non-household contacts of people over 11 years old) < ~0.2 when R is around 1. Increasing this further is unlikely as actions already taken around hand/surface hygiene, face coverings and social distancing, and many settings have added barriers/screens, restricted occupancy and introduced one-way systems. Further action on ventilation may be beneficial to limit aerosol transmission – direct evidence on impact is lacking, although several outbreaks have been associated with poor ventilation.
Direct impact on COVID deaths and severe disease Non-COVID	Low impact – additional measures are not likely to have a substantial impact (low confidence).
impact (incl. social and psychological; excl. economic)	non-use that may be exacerbated if rationale is not accepted.
Implementation issues	Adherence to many behaviours will be improved by easy access to facilities (e.g. hand gel); redesign of spaces; promoting social norms around behaviour; monitoring behaviour. Adherence may be improved by co-production of measures to improve use of ventilation, redesign spaces, promote social norms and monitor behaviour. More robust procedures to ensure that workplaces are safe, that they are regularly inspected and that employees who report breaches are encouraged and protected will increase confidence.

It teasible, ce	rtification that public spaces (e.g. retail, bars, restaurants) are 'COVID compliant' will increase
consumer co	nfidence
Mandating w	earing of face coverings by employees in public facing roles - eg bars and restaurants - would
0	lic confidence.

Intervention	Requirement for use of face covering outdoors
Impact on	Very Low impact on community transmission. High confidence. Low transmission rates outdoors and most
COVID	risky contacts are made indoors. May have a small impact for those people who have to come into close
transmission	contact with others.
Direct impact	Impact only through (very low) impact on transmission.
on COVID	
deaths and	
severe disease	
Non-COVID	Low impact.
impact (incl.	There is evidence of anger between members of the community relating to face covering use / non-use that
social and	may be exacerbated if rationale is not accepted.
psychological;	Equity issue: availability of face coverings for those with lowest resources.
excl.	Credibility/ trust in guidance will be an issue. Many in the public didn't understand why the guidance changed
economic)	on masks indoors and attributed this to the other inconsistency and incompetence in the government.
Implementation	Wearing facemasks outside of the house could complement existing government messaging of social
issues	responsibility if communicated alongside the effectiveness of masks in protecting others who are not infected.
	However, there are also a number of issues, risks and potentially harmful behaviours associated with
	recommending or mandating use of facemasks which could reduce their effectiveness (e.g. misuse, use of
	ineffective homemade masks).
	Moreover, it is critical that recommendations are seen to be based on the science and proportionate otherwise
	the legitimacy of. mask wearing overall will be compromised. Given that the evidence suggests outdoor spread
	to be very limited this may be seen. as an excessive measure.

Intervention	Extend requirement for use of face covering indoors (e.g. shared offices, schools)
Impact on	Low-moderate impact overall but may be beneficial where distancing is harder or where ventilation is poor.
COVID	Reduction in risk due to source control likely to outweigh any risks of transmission from soiled face coverings
transmission	when worn for long durations. Evidence from healthcare suggests universal masking helped to bring hospital
	outbreaks under control. No evidence of effectiveness in children.
Direct impact	Some suggestion that the face covering may reduce viral exposure, leading to less severe symptoms.
on COVID	
deaths and	
severe disease	
Non-COVID	Low impact.
impact (incl.	There is evidence of anger between members of the community relating to face covering use / non-use that
social and	may be exacerbated if rationale is not accepted.
psychological;	Probable harms if implemented in primary schools given their role in promoting spoken language and social
excl.	skills. Additional difficulties for children with speech or hearing difficulties.
economic)	Equity issue: availability of face coverings for those with lowest resources.
Implementation	See 'Requirement for use of face covering outdoors' (above). ^{xv}
issues	Implementation in school classrooms likely feasible (at least in secondary schools) given experience in some
	UK schools and all schools in other countries e.g. France.
	Implementation in FE and Universities likely to be feasible.
	Given low tolerance of wearing face coverings for extended periods of time (EMG paper SAGE 57)
	implementation would require consideration of many factors including the type of face covering that is suitable
	and effective as well as making these available to those regardless of financial resources.

Measures Relating to Internal Travel

Options considered below:

- a) Restrict public transport to key workers low impact
- b) Local travel restrictions e.g. 5 mile low to moderate impact
- c) Restrict travel between UK nations impact unknown

Intervention	Restrict use of public transport to key workers
Impact on	Low impact. There is are already low levels of crowding on public transport and mandated mask- wearing. In
COVID	addition, there was inconclusive evidence of the risk of public transport for influenza-like-illness transmission.
transmission	Further restricting use is unlikely to reduce overall transmission. Moderate confidence.
Direct impact	Impact would be through reduction in transmission which would be very low. High confidence.
on COVID	
deaths and	
severe disease	
Non-COVID	Equity issue: travel among lower socio-economic and BAME groups may be most heavily affected.
impact (incl.	
social and	
psychological;	
excl.	
economic)	
Implementation	Proof of key worker status and checking thereof at entry points would be required.
issues	

Intervention	Impose local travel restrictions (e.g. 5-mile limit for non-essential travel)
Impact on	Low to moderate impact (low confidence). Reduces seeding to low risk areas. Impact depends on the level
COVID	of seeding of the epidemic. If the epidemic is already widespread, then internal travel restrictions will have little
transmission	benefit.
Direct impact on COVID	Impact would be through reduction in transmission (reduced seeding to low incidence areas).

deaths and severe disease	
Non-COVID impact (incl. social and psychological; excl. economic)	Potentially major difficulties for care home and hospital visits.
Implementation issues	Definition of local restrictions will differ between rural and urban areas – in rural areas the minimum distance needed to travel to essential services may be much further. Exemptions and enforcement likely to be very complicated.

Intervention	Restrict travel between UK nations or between subnational regions
Impact on	Prevent seeding from one region to another. Needs an understanding of travel patterns and existing levels of
COVID	seeding.
transmission	May be more important around holidays and movement of FE/HE students at term time/ when sick. Low impact, moderate confidence.
Direct impact	Impact would be through reduction in transmission (reduced seeding to low incidence areas).
on COVID	
deaths and	
severe disease	
Non-COVID	
impact (incl.	
social and	
psychological;	
excl.	
economic)	
Implementation	Exemptions and enforcement likely to be very complicated.
issues	

xi https://www.gov.uk/government/publications/spi-b-extended-paper-on-behavioural-evidence-on-the-reopening-of-large-events-and-venues-21-august-2020

ⁱ <u>https://www.gov.uk/government/publications/dhsconsgadho-direct-and-indirect-impacts-of-covid-19-on-excess-deaths-and-morbidity-15-july-2020</u>

ⁱⁱ <u>https://www.gov.uk/government/publications/covid-19-preparing-for-a-challenging-winter-202021-7-july-2020</u>

ⁱⁱⁱ <u>https://www.gov.uk/government/publications/tfc-risks-associated-with-the-reopening-of-education-settings-in-september-8-july-2020</u>

^{iv} To be published: The impact of financial and other targeted support on rates of self-isolation or quarantins (SPI-B 16 September)

^v https://www.gov.uk/government/publications/spi-b-consensus-on-bame-communication-22-july-2020

^{vi} https://www.gov.uk/government/publications/spi-b-consensus-on-reintroduction-of-measures-and-their-impact-on-rate-of-infection-22-june-2020

^{vii} https://www.gov.uk/government/publications/spi-b-well-being-and-household-connection-the-behavioural-considerations-of-bubbles-14-may-2020

viii To be published: SPI-B/EMG: MHCLG Housing Impacts Paper

^{ix} <u>https://www.gov.uk/government/publications/spi-b-well-being-and-household-connection-the-behavioural-considerations-of-bubbles-14-may-2020</u> * https://doi.org/10.1101/2020.03.20.20039537

^{xii} https://www.gov.uk/government/publications/managing-infection-risk-in-high-contact-occupations-11-june-2020

xiii https://www.gov.uk/government/publications/principles-for-managing-sars-cov-2-transmission-associated-with-further-education-3-september-2020

xiv https://www.gov.uk/government/publications/principles-for-managing-sars-cov-2-transmission-associated-with-higher-education-3-september-2020

^{xv} To be published – Duration of wearing face coverings